

Appendix 2

Witnesses who gave evidence at public hearings

CANBERRA, 24 JUNE 2009

Australian Securities and Investments Commission

BIRD, Ms Joanna, Senior Executive Specialist, Strategic Policy

COOPER, Mr Jeremy, Deputy Chairman

D'ALOSIO, Mr Tony, Chairman

ERSKINE, Mr Alex, Chief Economist

RICKARD, Ms Delia, Senior Executive Leader, Consumers and Retail Investors

MELBOURNE, 26 AUGUST 2009

MLC Limited

McINERNEY, Mr Dallas, Manager, Government and Regulatory Affairs

NUNN, Mr Richard, Executive General Manager, Advice and Marketing, MLC and NAB Wealth

TUCKER, Mr Steve, Chief Executive Officer

Financial Ombudsman Service

MAYNARD, Ms Alison, Ombudsman, Investments, Life Insurance and Superannuation

ANZ Banking Group

COHEN, Mr Geoff, Managing Director, Investment and Insurance

HODGES, Mr Graham, Deputy Chief Executive Officer

NASH, Ms Jane, Head of Government and Regulatory Affairs

SANTAMARIA, Mr Bob, Group General Counsel

CPA Australia Ltd

DAVISON, Mr Michael, Senior Policy Adviser, Superannuation

DRUM, Mr Paul, General Manager, Policy and Research

Accounting Professional and Ethical Standards Board

BLACK, Mr Stuart, Director/Board Member

DAY, Mr William, Director/Board Member

WIJESINGHE, Mr Channa, Technical Director

Guardian Financial Planning

BROWNING, Mr Steven, Executive Manager

CARTER, Mr David, Executive General Manager, Advice Solutions Suncorp

FinaMetrica Pty Ltd and Worcester Consulting

RESNIK, Mr Aaron Paul, Chief Executive Officer

WORCESTER, Mr Peter Alan, Managing Director

Argyle Lawyers

SMITH, Ms June, Principal

ARMSTRONG, Professor Anona, Private capacity

CANBERRA, 28 AUGUST 2009***Treasury***

LIM, Mr Michael, Analyst, Investor Protection Unit, Corporations and Financial Services Division

MILLER, Mr Geoffrey, General Manager, Corporations and Financial Services Division

PARKER, Ms Cherie Rebecca, Analyst, Investor Protection Unit, Corporations and Financial Services Division

SELLARS, Mr Andrew, Senior Adviser, Corporations and Financial Services Division

SEWELL, Mr Mark Francis, Manager, Corporations and Financial Services Division

Financial Planning Association

BATTISTELLA, Mr Julian, Certified Financial Planner and Member

BLOCH, Ms Jo-Anne, Chief Executive Officer

CHONG, Mr Seng Wing, Member and Chair, Regulations Committee

SANDERS, Mr Deen, Deputy Chief Executive Officer and Head of Professionalism

Investment and Financial Services Association Ltd

BROGDEN, Mr John, Chief Executive Officer

CODINA, Mr Martin, Senior Policy Manager

O'REILLY, Mr David, Policy Director

Securities and Derivatives Industry Association

CLARK, Mr Douglas, Policy Executive

HORSFIELD, Mr David, Managing Director and Chief Executive Officer

Insurance Council of Australia

ANNING, Mr John Melville, General Manager Policy Regulation

THOMPSON, Ms Catherine Louise, Member Professional Indemnity Insurance Committee

Institute of Actuaries of Australia

COOK, Mr Anthony, Chairman, Wealth Management Committee

RAFE, Mr Barry Edwin, Vice President

CAIRNS, 1 SEPTEMBER 2009

DALLE CORT, Mr Gus (Gildo), Private capacity

BATES, Mr Quentin Michael, Private capacity

BATES, Mrs Sandra, Private capacity

DALE, Mr Jack Kenneth, Private capacity

DALE, Mrs Frances Norinne, Private capacity

Open Microphone Session

BOTH, Mr Mark, Private capacity

FOWLER, Mr Jason Robert, Private capacity

GRAINER, Mr Francis John, Private capacity

GRAINER, Mrs Sandra Alice, Private capacity

MACCOLL, Mrs Kathleen (Kate), Private capacity

McCLEAN, Ms Margaret Teresa, Private capacity

TUCK, Ms Joanne Mary Alice, Private capacity

WEIR, Mr Mark, Private capacity

TOWNSVILLE, 2 SEPTEMBER 2009

McCULLOCH, Mr David Robert, Private capacity

DEVNEY, Mrs Kristy Lee, Private capacity

RICHARDS, Mrs Carmela, Private capacity

QUINTON, Ms Desley, Private capacity

ABDY, Ms Lorna, Private capacity

DIXON, Mr Paul, Private capacity

DIXON, Mrs Jill, Private capacity

POWER, Mr Trevor Mark, Private capacity

JACKSON, Mr Andrew, Private capacity

Open Microphone Session

LYNHAM, Mr Graham, Private capacity

REYNOLDS, Mr Steve, Private capacity

AHERN, Mr Anthony Harry James, Private capacity

SCOTT, Mrs Cheryl Diann, Private capacity

RASMUSSEN, Mr Graeme Jorgen, Private capacity

BRISBANE, 3 SEPTEMBER 2009

CASSIMATIS, Mr Emmanuel, Private capacity

Australian Investors Association

FORSYTH, Mr Jolyon, President

McKENZIE, Mr Scott, Vice-President

KING, Ms Sharron, Private capacity

Storm Investors Consumer Action Group

ANDERSON, Mr Graham John, Secretary/Treasurer

O'BRIEN, Mr Noel Terence, Co-Chairman

WEIR, Mr Mark Robert, Co-Chairman

JELICH, Mr Radomir (Ron), Private capacity

McARDLE, Mr Sean, Private capacity

Stonehouse Wealth Management

HANCOCK, Mr Benjamin William, Partner/Senior Adviser

SYDNEY, 4 SEPTEMBER 2009

Institute of Chartered Accountants in Australia

ELVY, Mr Hugh, Head, Financial Planning

WHITE, Mr Lee, General Manager, Leadership and Quality

Trustee Corporations Association of Australia

ATKIN, Mr John, Managing Director, Trust Company Ltd; and Executive Committee Member

FITZGERALD, Mr Anthony George, Managing Director, State Trustees Ltd; and National President

Association of Financial Advisers Ltd

BATEMAN, Mr Dennis, National Treasurer

KALANTZIS, Ms Christina, Co-chair, Government and Policy Committee, Association of Financial Advisers; and Principal, Alexis Compliance and Risk Solutions Pty Ltd

KLIPIN, Mr Richard, Chief Executive Officer

NOWAK, Mr Michael, Queensland Chair, GenXt Committee, and Adviser

TAGGART, Mr James, National President

Quantum Financial Services Australia

MACKAY, Mr Tim, Director and Certified Financial Planner

MACKAY, Ms Claire, Director and Certified Financial Planner

Commonwealth Bank of Australia

COHEN, Mr David Antony Keith, Group General Counsel

COMYN, Mr Matthew Peter, Executive General Manager, Equities and Margin Lending

FRENCH, Dr Brendan James, General Manager, Customer Relations

GUNNING, Mr Timothy James, General Manager, Commonwealth Financial Planning

McEWAN, Mr Ross Maxwell, Group Executive, Retail Banking Services

NAREV, Mr Ian Mark, Group Executive Manager, Business and Private Banking

CHOICE

FREEMAN, Ms Elissa, Senior Policy Officer

Professional Investment Services

EVANS, Mr Grahame, Managing Director

PETRIK, Miss Bianca, Corporate Development Manager

CANBERRA, 16 SEPTEMBER 2009

Australian Securities and Investments Commission

BIRD, Ms Joanna, Senior Executive Specialist, Strategic Policy

D'ALOSIO, Mr Tony, Chairman

HANRAHAN, Dr Pamela, Senior Executive Leader, Investment Managers

KOROMILAS, Ms Deborah, Senior Executive Leader, Financial Advisers

MEDCRAFT, Mr Greg, Commissioner

RICKARD, Ms Delia, Senior Executive Leader, Financial Literacy and Consumers and Retail Investors

Bank of Queensland

KANGATHARAN, Mr Ram, Chief Financial Officer

LIDDY, Mr David, Managing Director and Chief Executive Officer

CANBERRA, 28 OCTOBER 2009

Macquarie Bank Limited

SHEPPARD, Mr Richard, Managing Director and CEO

VAN DER WESTHUYZEN, Mr Peter, Executive Director

Commonwealth Bank

COHEN, Mr David, Group General Counsel

COMYN, Mr Matthew, Executive General Manager, Equities & Margin Lending

FRENCH, Dr Brendan, General Manager Customer Relations

NAREV, Mr Ian, Group Executive, Business & Private Banking

NORRIS, Mr Ralph, Chief Executive Officer

Transcripts of the public hearings were published on the inquiry webpage at:

http://www.aph.gov.au/senate/committee/corporations_ctte/fps/hearings/index.htm

