

## Senate Standing Committee on Economics

### ANSWERS TO QUESTIONS ON NOTICE

Treasury Portfolio

Supplementary Budget Estimates

19 – 20 October 2011

Question No: SBT 358 - 362  
Topic: ASIC Consultancies  
Hansard Page: Written

Senator Bushy asked:

358. How many consultancies were undertaken in 2010-11?
- a) Identify the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, and the method of procurement (ie. open tender, direct source, etc).
  - b) Also include total value for all consultancies.
359. Were there any changes to any of the portfolio's tenders in 2010-11? Detail any changes
360. How many consultancies have been undertaken or are underway this FYTD?
- a) Identify the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, and the method of procurement (ie. open tender, direct source, etc).
  - b) Also include total value for all consultancies.
361. Does each department and agency stand by its current tenders on the Austenders website?
- a) Have any changes or corrections been made for any tenders advertised on to Government Tenders website ([www.tenders.gov.au](http://www.tenders.gov.au)) for tenders advertised this financial year? Explain.
  - b) Are up to date with reporting requirements?
362. How many consultancies are planned for this calendar year?
- a) Have these been published in your Annual Procurement Plan (APP) on the AusTender website and if not why not?
  - b) In each case please identify the subject matter, duration, cost and method of procurement as above, and the name of the consultant if known.

Answer:

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- a) There were 38 consultancies undertaken by ASIC in 2010- 11. The following table lists all consultancies undertaken by ASIC that commenced after 30 June 2010 and were completed before 1 July 2011 including the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, and the method of procurement.

(Note: The table excludes any ongoing consultancies commenced prior to 1 July 2010 and/or concluding after 30 June 2011).

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
Hudson Recruitment	Instructional design consultant - consumer credit.	1-Jul-10	30-Sep-10	60,000.00	Open
Hudson Global Pty Ltd	Consultant - Personnel recruitment	1-Jul-10	30-Sep-10	20,000.00	Open
GAAP Consulting Services	Accounting services	1-Jul-10	1-Jan-11	80,000.00	Open
WMSC Consulting	Business & IT Analysis.	12-Jul-10	1-Dec-10	28,490.00	Select
AMR Associates	Business intelligence consulting services	13-Jul-10	31-Dec-10	110,000.00	Direct
Comerton Forde Consulting	Business intelligence consulting services.	15-Jul-10	31-Mar-11	220,000.00	Direct
Lincolne Scott Pty Ltd	Information technology consultation services Melbourne.	29-Jul-10	1-Apr-11	58,949.00	Direct
Strategy Steps Pty Limited	Compliance review of online guidance.	3-Aug-10	30-Jun-11	78,000.00	Select
SFS Economics Pty Ltd	Business intelligence consulting services	18-Aug-10	30-Jun-11	62,500.00	Select
Allen Consulting Group	Survey administration & design incl. preliminary findings report & draft final report.	23-Aug-10	30-Jun-11	223,999.00	Direct
Impress Design	Design and purchase merchandising products for the new consumer website.	30-Aug-10	30-Jun-11	75,500.00	Direct
UXC Limited / Eclipse	Senior Tech Consultant.	30-Aug-10	31-Dec-10	88,000.00	Open
Deloitte Touche Tohmatsu	Consultancy - accounting services.	2-Sep-10	15-Dec-10	82,500.00	Open
Oakton Services Pty Limited	Information technology consultation services	16-Sep-10	31-Jan-11	389,125.00	Direct
Changels	Change Management Consulting for Brisbane Project.	20-Sep-10	10-Dec-10	53,240.00	Select

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
Savv-e Pty Ltd	short advertisement / sting for new consumer website.	24-Sep-10	24-Jun-11	23,454.00	Select
Centre for Public Management Pty Ltd	Business intelligence consulting services	30-Sep-10	19-Nov-10	20,400.00	Direct
Cox Inall Communications	Consultancy for media relations.	30-Sep-10	30-Dec-10	80,000.00	Select
Green Valentine	Consultation, design, facilitating - graduate programme development and expenses.	11-Oct-10	15-Nov-10	11,800.00	Select
Mercer (Australia) Pty Ltd	Personnel recruitment Consultancy Service	14-Oct-10	26-Nov-10	17,325.00	Open
Adaptive Frameworks	Assessment of ASIC's P3M3 Capability.	19-Oct-10	17-Dec-10	54,703.00	Open
Susan Bell Research	On completion of Research stages of 1, 2 and 3.	27-Oct-10	11-Feb-11	40,000.00	Direct
Doll Martin Associates	Risk Management Framework.	1-Nov-10	3-Apr-11	13,860.00	Direct
Avocado Consulting Service	Control M Consultant.	1-Nov-10	1-May-11	158,400.00	Open
UXC Consulting Pty Ltd	SOA Development.	1-Nov-10	30-Jun-11	211,200.00	Open
UXC Consulting Pty Ltd	IT Services Design and Development.	1-Nov-10	1-Feb-11	93,830.00	Open
Expert Witness Consulting Services	Analysis of Circuit Breakers	9-Nov-10	1-Dec-10	15,000.00	Direct
SMS Management & Technology	IT Ops Consult.	18-Nov-10	17-Apr-11	224,400.00	Open
Savills (Vic) Pty Ltd	Provision of office leasing services - Melbourne CBD.	1-Dec-10	1-Jun-11	55,000.00	Open
CB Ellis (GCS) Pty Ltd	Consultancy Services Canberra.	1-Dec-10	30-Apr-11	24,375.00	Select
UXC Limited	Data Centre Consultation.	6-Dec-10	31-Jan-11	161,700.00	Open
Fusion Graduate Management Solutions	Graduate Recruitment.	15-Dec-10	30-Jun-11	66,350.00	Direct
UXC Limited	A4553657 - Entity Assoc Model Design - 7/1/11 - 30/6/11.	7-Jan-11	30-Jun-11	258,500.00	Open
Hewlett Packard	A562887 - Hewlett Packard consultant - 17/01/11 - 16/05/11	17-Jan-11	16-May-11	73,471.20	Open
Prime Health Group	Office Ergonomics Sessions/Training.	1-Mar-11	30-Jun-11	12,479.50	Select

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
Hewlett Packard	Consultant - Hewlett Packard.	20-Mar-11	14-Jun-11	73,471.20	Open
Bite-Sized Training Workshops	Workshops - change at work.	4-Apr-11	8-Apr-11	19,733.20	Open
Universal McCann	CPD2011/4586 - Advertising for credit and responsible borrowing.	11-Apr-11	30-Jun-11	186,393.00	Direct

b) The total Value of consultancies undertaken in 2010-11 (listed above) was \$3,526,148.10.

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There were no significant changes to any of ASIC's tenders in 2010-11 other than routine clarifications managed by tender addendums.

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There have been 33 consultancies undertaken or underway this FYTD.

a) The following table lists all consultancies undertaken by ASIC or that are underway this FYTD and includes the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, and the method of procurement:

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
Doll Martin Associates Pty Ltd	A783545 (2) - Consultancy for High Level IT Reporting 11/12	1-Jul-11	30-Jun-12	142,560.00	Open
Doll Martin Associates Pty Ltd	A783545 - Software Management - Consultancy	15-Aug-11	30-Dec-11	75,240.00	Open
UXC Limited	ITS2011/17856 (2) - IT Consultant	14-Aug-11	26-Aug-11	16,000.00	Open
Usability One	ISP2010/18523 - Conducting user testing on login functionalities	7-Jul-11	7-Oct-11	16,170.00	Open
GFK Blue Moon Research & Planning P/L	A716666 - Procurement for provision of research services for consumer testing of the Retirement Guide	15-Jul-11	14-Sep-11	29,975.00	Direct
Oakton Services Pty Limited	ITS 2009/18851 - Solution Architecture Resource - External Portals	1-Aug-11	30-Sep-11	57,750.00	Direct

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
UXC Limited	ITS2011/962(8) - SOA Interface - Maturity	1-Aug-11	20-Oct-11	77,000.00	Open
Objective Corporation Limited	ITS2011/6936 - Consultant - Applink Deployment	25-Jul-11	30-Dec-11	92,950.00	Open
SMS Management and Technology	ITS2011/3889 - Enhancements to ECS	19-Jul-11	31-Jul-11	16,500.00	Direct
INTEC1 PTY LIMITED	ISP2010/37067 - Decommissioning & make good of security systems - at Melbourne	1-Jul-11	30-Jun-12	31,146.50	Select
UXC Limited	ITS2011/962(7) Specialist - ETM Interface	1-Aug-11	30-Sep-11	67,760.00	Open
UXC Limited	ITS2011/962-6 - Consultancy - External Portals SOA Developer	14-Jul-11	13-Aug-11	35,200.00	Open
Stratsec.net Pty Ltd	ITS2010/9116 - Portal Security Review	25-Jul-11	31-Aug-11	14,080.00	Direct
Howard Rowland Consulting P/L	ISP2008/11180 - Technical Surveillance Counter measure reviews.	1-Jul-11	30-Jun-12	249,500.00	Direct
DOLL MARTIN ASSOCIATES PTY LIMITED	BENEFIT REALISATION	1/7/11	30/9/11	23,760.00	Open
DOLL MARTIN ASSOCIATES PTY LIMITED	STAR PROGRESS REPORT (10 DAYS)	25/7/11	16/8/11	18,000.00	Open
UXC LIMITED	SOA INTERFACE D/SOM MATURITY ACCEL. 01/08/11 - 12/10/11	1/8/11	12/10/11	70,000.00	Open
OBJECTIVE CORPORATION LIMITED	APPLINK DEPLOYMENT	25/7/11	30/12/12	84,500.00	Direct
EXPERT WITNESS CONSULTING SERVICES P/IL	ANALYSIS OF CIRCUIT BREAKERS	9/10/11	1/12/12	13,636.36	Direct
VERIZON AUSTRALIA PTY LTD	RELOCATION OF SECURE EMAIL GATEWAY SERVICE	2/8/11	9/8/11	17,472.40	Open
DOLL MARTIN ASSOCIATES PTY LIMITED	STAR BENEFITS MANAGEMENT	15/8/11	30/12/11	68,400.00	Open
DOLL MARTIN ASSOCIATES PTY LIMITED	HIGH LEVEL IT REPORTING 01/07/11-30/06/12	1/7/11	30/6/12	129,600.00	Open
WMSC CONSULTING PTY LIMITED	Provide assistance with the development of application and databases to ASIC's website 11-12	1/7/11	30/6/12	200,000.00	Direct

Name of Consultant	Subject Matter of the Consultancy	Start Date	End Date	Value	Procurement Method
ORACLE CORPORATION (AUST) PTY LTD	External Portals Security Advice -	24/8/11	31/10/11	36,075.00	Direct
COX INALL COMMUNICATIONS	Communication strategy for Mortgage Stress Campaign	1/9/11	30/9/11	18,181.82	Direct
FLAME GROUP PTY LTD	Talent and Succession Framework 16/07/2011 - 30/09/2011	16/7/11	30/0/11	20,000.00	Direct
OBJECTIVE CORPORATION LIMITED	MAPPING & MIGRATION SUPPORT -	1/9/11	31/1/12	46,575.00	Open
GLOBAL 360 (AUSTRALIA) PTY LTD	Professional Services	1/7/11	30/6/15	892,720.00	Direct
HEWLETT PACKARD	Data Centre Relocation planning and due Diligence	30/9/11	30/12/11	38,388.00	Select
BUSINESS ASPECT PTY LTD	Change Management Team	27/10/11	26/1/12	99,360.00	Open – procurement off a panel
PECKVONHARTEL	PECKVONHARTEL CONSULTANCY FEES - CANBERRA FITOUT 2012	1/9/11	31/8/12	38,500.00	Select
IMPRESSDESIGN	Design and layout of the CALD kit	7/11/11	7/3/12	25,154.55	Open – off Centrelink Design panel

b) The total value of all consultancies listed in the table above is \$2,789,404.63

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Yes, all tenders advertised on Austender are current and ASIC's Annual Procurement plan was last updated on 6 November 2011.

- a) There were no significant changes or corrections to any of ASIC's current tender other than routine clarifications managed by tender addendums.
- b) ASIC is slightly behind on its contract reporting obligations as a result of a transition to a new Finance System however we expect to be up-to-date with reporting requirements by the end of November 2011.

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At this stage, there are no other consultancies planned for the remainder of this calendar year.