

Senate Standing Committee on Economics

ANSWERS TO QUESTIONS ON NOTICE

Treasury Portfolio

Budget Estimates

31 May – 2 June 2011

Question No: BET 133

Topic: ASIC - Staffing Levels

Hansard Page: Written

Senator Bushby asked:

Answer:

What is the total expenditure on staffing for the Department and for all portfolio agencies? What is the SES and non-SES breakdown?

Based on ASIC's general ledger as at 6 July 2011, ASIC spent \$221.497m on employee expenses. Of the total employee expenses, approx \$16.873m relates to SES employees and the balance to relates to non-SES staff, agency temps and contractors.

What are the current staffing levels for SES and non-SES officers?

SES – 51

Non-SES - 1841

How many SES were employed in your Department and portfolio agencies as of today?

SES - 51

What is the breakdown by each level (each SES band, each Executive Level band and each APS band?

APS Class	Count
APS 1	39
APS 2	145
APS 3	122
APS 4	189
APS 5	68
APS 6	352
EXEC 1	443
EXEC 2	483
SES Band 1	51
Grand Total	1892

What is the gender breakdown by each level (each SES band, each Executive Level band and each APS band)?

APS Class	Female	Male	Total
APS 1	33	6	39
APS 2	117	28	145
APS 3	105	17	122
APS 4	117	72	189
APS 5	54	14	68
APS 6	231	121	352
EXEC 1	212	231	443
EXEC 2	212	271	483
SES Band 1	21	30	51
Grand Total	1102	790	1892

What is the breakdown by location for each level (each SES band, each Executive Level band and each APS band)?

APS Class	Adelaide	Brisbane	Canberra	Darwin	Hobart	Melbourne	Perth	Sydney	Traralgon	Total
APS 1								1	38	39
APS 2	6	11	3		2	12	8	16	87	145
APS 3	3	4	1		1	12	3	7	91	122
APS 4	11	29	1		1	41	8	57	41	189
APS 5	2	11	1	2	1	11	6	12	22	68
APS 6	20	46	5		2	73	23	143	40	352
EXEC 1	18	37	5	2	5	104	37	223	12	443
EXEC 2	11	38	5		6	141	31	240	11	483
SES Band1	4	2	1		1	11	1	31		51
Total	75	178	22	4	19	405	117	730	342	1892

What is the breakdown by each level of ongoing staff and non ongoing staff (each SES band, each Executive Level band and each APS band)?

Row Labels	Non-Ongoing	Ongoing	Total
APS 1	27	12	39
APS 2	26	119	145
APS 3		122	122
APS 4	22	167	189
APS 5		68	68
APS 6	26	326	352
EXEC 1	23	420	443
EXEC 2	31	452	483
SES Band1	27	24	51
Total	182	1710	1892

What have been the changes in staffing levels since February Estimates 2011? Why have these changes occurred? What have been the budgetary implications? In the case of reductions in staff numbers, how have these reductions been absorbed by the Department? What functions these staff performed have been sacrificed and why?

APS Class	Employee Changes since Feb 2011
APS 1	-2
APS 2	-11
APS 3	9
APS 4	-19
APS 5	-17
APS 6	-53
EXEC 1	-21
EXEC 2	-10
SES Band1	4
Grand Total	-120

Are there expected changes to current staffing levels over the next 12 months? If yes, provide details including a breakdown of each level staff (each SES band, each Executive Level band and each APS band) detailing the changes. Will this be different to what was reported in the 2010-11 Budget?

Expected changes to staffing will depend on the outcome of the ASIC Funding Review which is underway and is being conducted in conjunction with the Department of the Treasury and the Department of Finance and Deregulation.

Is there a target for staff reductions to achieve savings? What is that target and what strategy is being implemented to achieve this? Will staff reductions be used to achieve the Government's election commitment to maintain the 1.25 per cent efficiency dividend?

ASIC implements a range of measures to achieve the Government's efficiency dividend. These can include include reductions in staff costs, travel expenses, office supplies, consultants, forensic costs, computer expenses and corporate and operating costs.

ASIC continues to manage staff numbers within its overall budget allocation including the use of natural attrition, redundancies, secondments, recruitment strategies and internal transfers will be deployed to manage staff numbers to overall budget.

Have any voluntary or involuntary redundancies been offered to staff? If so, how have staff been identified for such offers? Are there such plans for the future?

In the 12 months to 31 May 2011 there were 59 redundancies of which 43 were voluntary and 16 were involuntary. The total cost for these redundancies was \$3.153 million.

Expected redundancies over the next three years will depend on the outcome of the ASIC Funding Review which is underway and is being conducted in conjunction with the Department of the Treasury and the

Department of Finance and Deregulation. The Review is expected to be concluded by December 2011 for consideration as part of the 2012-13 Budget process.

How many permanent staff recruited since February Estimates 2011? What level are these staff? Where is their location?

Row Labels	Canberra	Melbourne	Perth	Sydney	Total
APS 2	1				1
APS 4				2	2
APS 6				3	3
EXEC 1		2		2	4
EXEC 2	1	1	1	1	4
Total	2	3	1	8	14

Since February Estimates 2011, how many employees have been employed on contract and what is the average length of their employment period?

APS Class	Brisbane	Canberra	Melbourne	Perth	Sydney	Traralgon	Total
APS 1						7	7
APS 2	2			1	1	2	6
APS 4	2			1		3	6
APS 6	1	1			1	3	6
EXEC 1					1		1
EXEC 2					7		7
SES Band1				1	2		3
Total	5	1	3	2	18	7	36

Average Length is 10 months.

Have staffing numbers been reduced as a result of the current efficiency dividend and/or other budget cuts since February Estimates 2011? If so, where and at what level?

APS Class	Adelaide	Brisbane	Canberra	Hobart	Melbourne	Perth	Sydney	Traralgon	Total
APS 1									5
APS 2	2	2				2	2		13
APS 3						1			5
APS 4	1	3				4	1	7	5
APS 5	1	2				2	2		1
APS 6	5	4	1	1		27	16		4
EXEC 1	2	3				11	5	18	3
EXEC 2	3					8	1	22	2
SES Band 1						1	1		
Total	14	14	1	1	55	13	67	38	2

Are there any plans for staff reduction? If so, please advise details i.e. reduction target, how this will be achieved, services/programs to be cut etc.

Expected redundancies over the next three years will depend on the outcome of the ASIC Funding Review which is underway and is being conducted in conjunction with the Department of the Treasury and the Department of Finance and Deregulation. The Review is expected to be concluded by December 2011 for consideration as part of the 2012-13 Budget process.

What changes are underway or planned for graduate recruitment, cadetships or similar programs? If reductions or increases are envisaged please explain including reasons, target numbers etc.

ASIC remains committed to attracting and retaining graduates and we have recruited 23 graduates for 2012.

A cadetship program is not in place at ASIC.