

ITS Markets Participant and Trading Fee Schedule



Effective from 01 July 2010

Fee categories set out in this Schedule are as follows:

- 1. Participation**
 - 1.1. Participant Application**
 - 1.2. Participant Annual Fees**
 - 1.3. Participant Fees for Late Lodgement of Prudential Returns**
- 2. Cash Market Trading Fees**
- 3. DTR Fees**
- 4. Listed Funds, Warrant & Structured Product Fees**
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Additional Fees

Published June 2010

Prices are effective 01 July 2010 and are subject to change without notice. Whilst every effort has been made to ensure that prices are accurate, ASX makes no warranty as to the accuracy of any price included as part of this schedule. If you require further clarification please contact your ASX Account Manager or ASX Customer Service (ph: 131 279).

GST is calculated in accordance with Division 9 of the A New Tax System (Goods and Services Tax) Act 1999.

1. Participation

Tables 1.1 and 1.2 outline the fees payable on lodgement of an application to become a Participant.

Table 1.1 Participant Application Fee

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
06500001	Participant Application Fee (ASX Market, ASX Clear & ASX Settlement Participant)	250,000	275,000	Per Application	
06500002	Participant Application Fee - Agency Broker	100,000	110,000	Per Application	
06500007	Participant Application Fee (ASX Market Participant) Principal Trader	50,000	55,000	Per Application	
06500006	ASX Market Participant Application Fee (act as Principal Trader & Market Maker in Derivatives)	20,000	22,000	Per Application	
06500006	ASX Market Participant (act as Principal Trader & Market Maker in Derivatives with Cash Market Access)	20,000	22,000	Per Application	
ASX Clear & ASX Settlement Settlement Participant Application Fee:					
06500003	Participant Application Fee (ASX Clear & ASX Settlement) General Clearing Participant	200,000	220,000	Per Application	
06500003	Direct Clearing Participant	200,000	220,000	Per Application	
ASX Settlement Participant Application Fee :					
02300740	Account Participant Application Fee	5,000	5,500	Per Application	
06500003	General Participant Application Fee	10,000	11,000	Per Application	
06500003	Specialist Participation Application Fee	5,000	5,500	Per Application	

Table 1.2 Participant Annual Fees

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
06500050	ASX Market Participant Market Participant which is a Trading Participant	2,500	2,750	Per Participant	Annual
06200351	Access to Integrated Trading System (ITS)	5,000	5,500	Per Participant	Annual
	Discount for access to ITS as Principal Trader & Market Maker only	50%			
06200080	Off-shore Participant Supervision Levy Applicable to off-shore Participants only	15,000	16,500		Annual
06200353	ASX Clear Participant General Clearing Participant	7,500	8,250	Per Participant	Annual
06200352	Direct Clearing Participant	5,000	5,500	Per Participant	Annual
06200354	ASX Settlement Participant Account Settlement Participant	2,500	2,750	Per Participant	Annual
06200355	General Settlement Participant	5,000	5,500	Per Participant	Annual
06200350	Specialist Settlement Participant	2,500	2,750	Per Participant	Annual

Table 1.3 Participant Fees for Late Lodgement of Prudential Returns

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
06350005	Prudential Return - Late Lodgement Fee	100.00	110.00	Per day for each Business Day a return is late	

2. Cash Market Trading Fees

Table 2.1 Cash Market Trading Fees

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
02900040	Equities Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900041	Equities On-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.10 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900042	Equities Off-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.05 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900043	Equities Auction Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.28 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900044	Equities Undisclosed Trade Fee	variable	variable	0.50 Basis Points Per Trade Per Side, plus GST	
02900046	Equities CentrePoint Trade Fee	variable	variable	0.50 Basis Points Per Trade Per Side, plus GST	
02900047	Equities CentrePoint Crossings Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900048	Equities VolumeMatch® Trade Fee	variable	variable	0.00 Basis Points Per Trade*	
02900140	Warrants Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus GST	
02900141	Warrant On-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900142	Warrants Off-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.075 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900143	Warrants Auction Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus GST	
02900240	Structured Product Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus GST	
02900241	Structured Product On-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900242	Structured Products Off-Market Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.075 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900243	Structured Products Auction Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus GST	
02900340	Interest Rate Security Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus	

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
02900341	Interest Rate Securities On-Market Cross Trade Fee (capped at \$75 per trade, per side)	variable	variable	GST 0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900342	Interest Rate Securities Off-Market Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.075 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900343	Interest Rate Securities Auction Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.31 Basis Points Per Trade Per Side, capped at \$75, plus GST	
02900344	Interest Rate Securities Undisclosed Trade Fee	variable	variable	0.50 Basis Points Per Trade Per Side, plus GST	
02900345	Interest Rate Securities CentrePoint Trade Fee	variable	variable	0.50 Basis Points Per Trade Per Side, plus GST	
02900346	Interest Rate Securities CentrePoint Crossing Trade Fees (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per Trade Per Side, Capped at \$75, plus GST	
02900060	ETF Special Trade Fee (capped at \$75 per trade, per side)	variable	variable	0.15 Basis Points Per ETF Portfolio, Capped at \$75, plus GST	

* VolumeMatch® fee holiday from 28 June 2010 until 30 September 2010.

3. DTR Fees

Table 3.1 Designated Trading Representative (DTR) Fees

Product Code	Description	GST Exclusive Fee \$AUD	GST Inclusive Fee \$AUD	Basis	Frequency
02900000	DTR (Designated Trading Representative) Fee <i>Payable for each DTR</i>	25.00	27.50	Per DTR per trading number	Monthly
05600320	DTR Training - 3 sessions <i>This fee covers 3 face-to-face training sessions</i>	240.00	264.00	Per 3 90 minute Sessions	
05600325	DTR Training - Additional Hours <i>This fee covers any additional face-to-face DTR training requested.</i>	120.00	132.00	Per Hour	
05600330	DTR Exam Fee	90.00	99.00	Per Attempt	

4. Listed Funds, Warrants & Structured Products Issuing Fees

The following sets out the schedule of fees payable by a Listed Fund, Warrant or Structured Product Issuer in relation to Admission to Trading Status on platforms including:

- Warrants;
- Trading Market – Funds;
- Trading Market – Structured Products;
- Quote Display Board – Funds; and
- Quote Display Board – Structured Products.

The fees that have been prescribed under Market Rule 10.3.14 are:

General Application Fees	On application for admission to trading status, a listed fund, warrant or structured product issuer must pay the application fees.	See Table 4.1
Turnover Fees	A listed fund, warrant or structured product issuer must pay the amount of the turnover fee on warrant and trading market series (both fund or structured product). Turnover fees are levied on a monthly basis in arrears.	See Table 4.2
Annual Fees	A quote display board - fund or structured product issuer (both trading market or quote display board) must pay the Annual Fee.	See Table 4.3
Corporate Transaction Warrant Fees	If, in the opinion of ASX, warrants are issued for the purpose of facilitating a corporate transaction, the warrant-issuer may elect to pay the fees calculated under the fee schedule detailed in Table 5 in lieu of other warrant fees. Annual fees are levied from 1 August until the earlier of expiry or the following 31 July. They are charged pro rata on a daily basis.	See Table 4.4
Listing Capacity Limitation Fee	Calculated monthly, the Listing Capacity Limitation Fee is due on warrant-series that fulfil the prescribed conditions.	See Table 4.5
ISIN Code Creation Fee	This fee must be paid for the creation of an ISIN code.	See Table 4.6

Additional Fees

Additional Fees	On a case by case basis, a listed fund, warrant or structured product issuer must pay additional fees for significant administrative or other expenses including external legal advice.
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Table 4.1 – Application Fees

02700210	New Warrant-Issuer	\$16,500 for the first Warrant-Series; <i>PLUS</i>	<i>Example – Product Disclosure Statement containing 3 Warrant-Series (12 months to expiry).</i>
06250001		Additional series of the same product are calculated based on the “Existing Warrant-Issuer with existing product” fee listed below; <i>PLUS</i>	<i>Application fees are \$19,525 = \$16,500 + (2 x \$1,100) + (3 x \$275)</i>
02700221		\$275 research, education and promotion fee per Warrant-Series calculated on a daily pro rata basis with a minimum fee of \$110.*	
06250002	New Fund or Structured Product Issuer	\$16,500 per new issuer.	
02700211	Existing Warrant-Issuer - new products or products with substantially different terms from other Warrants issued by that Warrant-Issuer	\$11,000 for the first Warrant-Series; <i>PLUS</i>	<i>Example - Product Disclosure Statement containing 5 Warrant-Series (12 months to expiry).</i>
06250001		Additional series of the same product are calculated based on the “Existing Warrant-Issuer with existing product” fee listed below; <i>PLUS</i>	<i>Application fees are \$16,775 = \$11,000 + (4 x \$1,100) + (5 x \$275)</i>
02700221		\$275 research, education and promotion fee per Warrant-Series calculated on a daily pro rata basis with a minimum fee of \$110.*	
06250005	Existing Fund/Structured Product issuer with new Fund or Structured Product	\$2,200 per new Funds series; either (Trading Market or Quote Display Board).	
06250004		\$1,100 per new Structured Product (Trading Market).	
06250003		\$550 per new Structured Product (Quote Display Board) series. Capped at 100 products per type.	<i>Example – 110 Structured Product (Quote Display Board) series are offered by an issuer. 110 x \$550 = \$60,500 but the fee is capped to 100 products per type so is only \$55,000 (100 x \$550).</i>
02700215	Existing Warrant-Issuer - existing products	\$550 for review of the disclosure document. <i>PLUS</i>	<i>Example 1 - Product Disclosure Statement containing 10 Warrant-Series (5 series expire in 2 months and 5 series expire in 6 months).</i>
06250001		\$1,100 for each Warrant Series in the application from the date trading commences (applied on a daily pro rata basis with a minimum fee of \$275 per Warrant-Series). <i>PLUS</i>	<i>Application fees are \$5,912.50 = \$550 + (5 x \$275) + (5 x \$550) + (5 x \$110) + (5 x \$137.50)</i>
02700221		\$275 research, education and promotion fee per Warrant-Series calculated on a daily pro rata basis with a minimum fee of \$110.*	

* The research, education and promotion fee is capped at \$275 per Warrant-Series. >365 days = \$275 maximum. <365 days Fee = (250/365 x number of days x \$110).

** Prices quoted in the above table are inclusive of GST.

Table 4.2 – Turnover Fees

02700222	Warrants or Structured Product (Trading) greater than one year	0.11% of premium traded capped at a maximum of \$11,000 per Warrant-Series during each 12 month period commencing from the first date of quotation.	<p><i>Example - a 1:1 Warrant-Series with a monthly premium traded of \$1,000,000 will have a turnover fee of \$1,100 (\$1,000,000 x 0.0011) for that month.</i></p> <p><i>Example - a 1:1 Warrant-Series with a monthly premium traded of \$5,000,000 will have a turnover fee of \$5,500 (\$5,000,000 x 0.0011) for that month.</i></p>
02700223	Warrants or Structured Products (Trading) less than or equal to one year	0.0825% of premium traded capped at a maximum of \$11,000 per Warrant-Series during each period that is less than 12 months commencing from the first date of quotation.	<p><i>Example - a 1:1 Warrant-Series with a monthly premium traded of \$1,000,000 will have a turnover fee of \$825 (\$1,000,000 x 0.000825) for that month if traded less than 12 months.</i></p> <p><i>Example - a 1:1 Warrant-Series with a monthly premium traded of \$5,000,000 will have a turnover fee of \$4125 (\$5,000,000 x 0.000825) for that month if traded less than 12 months.</i></p>
06250006	Structured Products (Trading Market) >1yr	0.11% of premium traded for series with a life of >1yr, capped at \$11,000 during each 12 month period from the first date of quotation.	<p><i>Example - a Structured Product (Trading Market) series with a life of >1yr and a premium traded of \$11,000,000 will have a turnover charge of \$12,100 (\$11,000,000 x 0.0011) for that period limited to \$11,000 per series in any twelve month period from the first date of quotation.</i></p>
06250007	Structured Products (Trading Market) <1yr	0.0825% of premium traded for series with a life of <1yr, capped at \$11,000 during each 12 month period from the first date of quotation.	<p><i>Example - a Structured Product (Trading Market) series with a life of <1yr and a monthly premium traded of \$1,000,000 will have a turnover fee of \$825 (\$1,000,000 x 0.000825).</i></p>

- Note: For the purposes of determining the date of first quotation, all Warrant-Series quoted prior to 1 July 2001 will be treated as having been quoted on that date (1 July 2001). This fee does not apply to Warrant-Series quoted prior to the introduction of the turnover fee on 15 November 2000.
- * Prices quoted in the above table are inclusive of GST.

Table 4.3 – Annual Fees

	Funds (Trading Market)	Annual Fees are levied from 1 August until the earlier of expiry or the following 31 July. They are charged pro-rata on a daily basis.		<i>Example – an issuer has 2 funds with FUM of \$75m and \$560m each. Fees are calculated per individual fund as the issuer has <=4 Funds. The fee for the 1st Fund is \$28,875 (\$75,000,000 x 0.000385). The 2nd fund fee would be \$148,500 plus 2.2 bps on FUM >\$500m. \$60m of FUM >\$500m will have a fee of \$13,200 (\$60,000,000 x 0.00022). The Annual Fee would be \$190,575 (\$28,875 + \$161,700).</i>
		Annual Listing Fee per:		
06250012		<u>Funds Under Management</u> <i>1 – 4 Fund</i>	<u>Annual listing fee</u>	
		Up to \$100m	3.85 bps of FUM <\$100m	
		\$100m to \$500m	\$38,500 + 2.75 bps of FUM >\$100m	
		\$500m to \$1,000m	\$148,500 + 2.2 bps of FUM >\$500m	
		\$1,000m to \$2,000m	\$258,500 + 1.65 bps of FUM >\$1,000m	
		Over \$2,000m	\$423,500 + 0.825 bps of FUM >\$2,000m	
06250011		<i>5 – 9 Fund</i>		
		Up to \$500m	2.2 bps of FUM under \$500m	
		\$500m to \$1,000m	\$110,000 + 1.65 bps of FUM >\$500m	
		\$1,000m to \$2,000m	\$192,500 + 1.375 bps of FUM >\$1,000m	
		\$2,000m to \$5,000m	\$330,000 + 0.825 bps of FUM >\$2,000m	
		Over \$5,000m	\$577,500 + 0.275 bps of FUM >\$5,000m	
06250010		<i>10+ Funds</i>		
		Up to \$1,000m	1.65 bps of FUM under \$1,000m	
		\$1,000m to \$2,000m	\$165,000 + 1.1 bps of FUM >\$1,000m	
		\$2,000m to \$5,000m	\$275,000 + 0.55 bps of FUM >\$2,000m	
		\$5,000m to \$10,000m	\$440,000 + 0.275 bps of FUM >\$5,000m	
		Over \$10,000m	\$577,500 + 0.11 bps of FUM >\$10,000m	
		Annual Listing fees above are charged per fund for issuers with 1-4 funds and on total aggregated FUM for issuers with >4 Funds.		
06250009	Funds (Quote Display Board)	<u>Funds Under Management</u>	<u>Annual listing fee</u>	
		<\$50m FUM	\$2,200 per Fund p.a.	
		\$50m to \$100m	\$4,400 per Fund p.a.	
		\$100m to \$200m	\$6,600 per Fund p.a.	
		\$200m to \$500m	\$8,800 per Fund p.a.	
		\$500m plus	\$11,000 per Fund p.a.	
06250008	Structured Products (Quote Display Board)	\$550 per product capped at 100 products per type.		An issuer has 105 Structured Products within a type of product. The fee is capped to 100 products at \$550 per type. The issuer would be charged \$55,000 (\$550 x 100).

* Prices quoted in the above table are inclusive of GST.

Table 4.4 – ‘Corporate Transaction Warrants’ Fees

06250001	Application Fee	<table border="1"> <thead> <tr> <th>Value of warrant series</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>Up to \$100,000</td> <td>\$5,500</td> </tr> <tr> <td>\$100,001 to \$500,000</td> <td>\$5,500 + 0.22% on excess over \$100,000</td> </tr> <tr> <td>\$500,001 to \$2.5m</td> <td>\$6,380 + 0.077% on excess over \$500,000</td> </tr> <tr> <td>\$2,500,001 to \$10m</td> <td>\$7,920 + 0.0308% on excess over \$2.5m</td> </tr> <tr> <td>\$10,000,001 to \$50m</td> <td>\$10,230 + 0.0088% on excess over \$10m</td> </tr> <tr> <td>\$50,000,001 to \$100m</td> <td>\$13,750 + 0.0044% on excess over \$50m</td> </tr> <tr> <td>Over \$100m</td> <td>\$15,950 + 0.0033% on excess over \$100m</td> </tr> </tbody> </table> <p>Value per warrant is the issue price (if issued for non-cash consideration, the amount fixed by ASX)* plus the highest of:</p> <ul style="list-style-type: none"> • Exercise price; and • 20 cents <p>* ASX normally fixes the amount by reference to the first sale price of the securities after normal trading commences (excluding special sales and crossings).</p>	Value of warrant series	Fee	Up to \$100,000	\$5,500	\$100,001 to \$500,000	\$5,500 + 0.22% on excess over \$100,000	\$500,001 to \$2.5m	\$6,380 + 0.077% on excess over \$500,000	\$2,500,001 to \$10m	\$7,920 + 0.0308% on excess over \$2.5m	\$10,000,001 to \$50m	\$10,230 + 0.0088% on excess over \$10m	\$50,000,001 to \$100m	\$13,750 + 0.0044% on excess over \$50m	Over \$100m	\$15,950 + 0.0033% on excess over \$100m	<p><i>Example – A Product Disclosure Statement offers 100m warrants at an issue price of \$3.50. The exercise price is \$1.25</i></p> <p><i>Application fee:</i> <i>Value = 100M x (\$3.50 + \$1.25) = \$475M</i> <i>Application fee = \$15,950 + 0.0033% x \$375m = \$15,950 + \$12,375 = \$28,325</i></p>
Value of warrant series	Fee																		
Up to \$100,000	\$5,500																		
\$100,001 to \$500,000	\$5,500 + 0.22% on excess over \$100,000																		
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Over \$100m	\$15,950 + 0.0033% on excess over \$100m																		
06250001	Annual Fee	<p>A Warrant-Issuer must pay the amount of the annual fee for the period of the warrant’s life exceeding 1 year. The annual fee applies from the second year of trading onwards pro rata on a daily basis.</p> <table border="1"> <thead> <tr> <th>Value of warrant series</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>Up to \$3m</td> <td>\$5,500</td> </tr> <tr> <td>\$3,000,001 to \$10m</td> <td>\$5,500 + 0.078571427% on excess over \$3m</td> </tr> <tr> <td>\$10,000,001 to \$100m</td> <td>\$11,000 + 0.008555558% on excess over \$10m</td> </tr> <tr> <td>\$100,000,001 to \$1,000m</td> <td>\$18,700 + 0.001588884% on excess over \$100m</td> </tr> <tr> <td>\$1,000,000,001 to \$10,000m</td> <td>\$33,000 + 0.000488884% on excess over \$1,000m</td> </tr> <tr> <td>Over \$10,000m</td> <td>\$77,000 + 0.000048884% capped at \$100,000.</td> </tr> </tbody> </table> <p>The value of each warrant is based on the volume weighted average price on the last 5 trading days of July. If there is no trading during this period ASX may fix some other amount as a proxy for fair market value.</p>	Value of warrant series	Fee	Up to \$3m	\$5,500	\$3,000,001 to \$10m	\$5,500 + 0.078571427% on excess over \$3m	\$10,000,001 to \$100m	\$11,000 + 0.008555558% on excess over \$10m	\$100,000,001 to \$1,000m	\$18,700 + 0.001588884% on excess over \$100m	\$1,000,000,001 to \$10,000m	\$33,000 + 0.000488884% on excess over \$1,000m	Over \$10,000m	\$77,000 + 0.000048884% capped at \$100,000.	<p><i>Example – A Warrant-Series with a time from the commencement of trading to expiry of less than or equal to one year - \$nil</i></p> <p><i>Example – There are 10m warrants on issue and the VWAP during the last 5 trading days of July was \$12.50. The length of time from commencement of trading to expiry is 18 months (hence annual fee pro rata over 6 months).</i></p> <p><i>Annual fee calculated as follows:</i> <i>Warrant value = 10m x \$12.50 = \$125m</i> <i>Annual fee = (6/12) x \$19,097.22 = \$9,548.61</i></p> <p><i>Note: \$19,097.22 = \$18,700 + (0.001588884% x \$25m)</i></p>		
Value of warrant series	Fee																		
Up to \$3m	\$5,500																		
\$3,000,001 to \$10m	\$5,500 + 0.078571427% on excess over \$3m																		
\$10,000,001 to \$100m	\$11,000 + 0.008555558% on excess over \$10m																		
\$100,000,001 to \$1,000m	\$18,700 + 0.001588884% on excess over \$100m																		
\$1,000,000,001 to \$10,000m	\$33,000 + 0.000488884% on excess over \$1,000m																		
Over \$10,000m	\$77,000 + 0.000048884% capped at \$100,000.																		

* Prices quoted in the above table are inclusive of GST.

Table 4.5 – Listing Capacity Limitation Fee

06250014	Listing Capacity Limitation Fee	Calculated monthly; Warrant & Structured Product Issuers will be charged the fee of \$91.66 for each series with a First Listing Date of 1 July 2009 or later, that has <\$1,000 billable trade in the last three calendar months and also has an Order Book (OB) change average of >1000 changes per day in ITS.	<p>i.e. (a) a series trades a sum of \$750 over its last three calendar months with an OB change daily AVG of 900 changes. No fee is due as the OB change daily AVG threshold of 1000 was not passed.</p> <p>(b) an Issuer has 2 series having cumulative trade of \$550 and \$1500 over the last three calendar months and an OB change daily AVG of 1100 and 700 respectively. While the Issuer has averaged 900 OB changes per series per day overall, unused OB changes from one series are not transferable to other series. Accordingly the first series would be charged the fee (trade <\$1000 and OB change AVG >1000) while the second would not (as it had <1000 OB change AVG).</p>
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* Prices quoted in the above table are inclusive of GST.

Table 4.6 – ISIN Code Creation Fee

06250013	ISIN code creation Fee	ISIN code creation fee is \$550 per ISIN.
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* Prices quoted in the above table are inclusive of GST.