Senate Committee: Education and Employment

QUESTION ON NOTICE
Budget Estimates 2017 - 2018

Outcome: Agency: ASQA

Department of Education and Training Question No. SQ17-000569

Senator Cameron, Doug asked on 31 May 2017, Proof Hansard page 27

ASQA: types of breaches

Question

Senator CAMERON: Do you have documentation that allows you to assess the different types of breaches and the concomitant action that you would take?
Prof. Lavarch: Yes, we do. We have a thing we call the response option action tool, which our staff utilise. In very broad terms, it lists all the regulatory obligations, be they in the legislation or in the supporting instruments of the RTO standards—the relative seriousness of the overall imperative that the provider operate in a way that learners achieve good competencies which the country can have confidence in. If it goes to the core of that, you get a higher level of response directed by the tool. If it is at a lower level, such as that not all marketing material had the RTO logo on it or something like that, then it might be a lesser response—a direction, or particularly going to the provider to rectify that issue.
Senator CAMERON: Can we get a copy of the response option action tool?
Prof. Lavarch: Yes; we will supply that.

Answer

The Australian Skills Quality Authority (ASQA) has provided the following response.

ASQA uses a Response Option Assessment Tool (ROAT) when non-compliances are identified during an audit or evidence review. The tool provides a range of responses depending on the severity of the non-compliance. A copy of ASQA's ROAT is attached.