

Senate Economics Legislation Committee
ANSWERS TO QUESTIONS ON NOTICE

Treasury Portfolio

Supplementary Budget Estimates

2015 - 2016

Department/Agency: ASIC

Question: SBT 513-515

Topic: Consultancies

Reference: written - 30 October 2015

Senator: Wong, Penny

Question:

Since 1 January 2015:

513. How many consultancies have been undertaken? Identify the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, and the method of procurement (ie. open tender, direct source, etc). Also include total value for all consultancies.
514. How many consultancies are planned for this calendar year? Have these been published in your Annual Procurement Plan (APP) on the AusTender website and if not why not? In each case please identify the subject matter, duration, cost and method of procurement as above, and the name of the consultant if known.
515. Have any consultancies not gone out for tender?
- a) List each, including name, cost and purpose
 - b) If so, why?

Answer:

513. There have been 30 consultancies commenced from 1 January to 30 October 2015. A list of these consultancies including the name of the consultant, the subject matter of the consultancy, the duration and cost of the arrangement, the method of procurement (ie. open tender, direct source, etc) and the total value for all consultancies is included at Attachment A.
514. There are 4 consultancies planned for the remainder of this calendar year. One relating to Architectural Services for Activity Based Working has been published on the Annual Procurement Plan (APP). The remainder have not been published on the APP because they will either be undertaken under an existing panel arrangement or the value is under the relevant procurement threshold of \$80,000 (including GST) as defined in the Commonwealth Procurement Rules (CPRs) and the procurement is not subject to an open approach to market. A list of consultancies planned for the remainder of this calendar year including the subject matter, duration, cost, method of procurement, and the name of the consultant is at Attachment B.

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515. No, all consultancies engaged from 1 January to 30 October 2015 have gone to tender in accordance with the tender method listed in Attachment A.

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Attachment A - Consultancies commenced between 1 January and 30 October 2015

| Supplier Name | Description | Start Date | End Date | Value (\$) | Procurement Method |
|--------------------------------------|---|-------------------|-----------------|-------------------|---------------------------|
| Access Testing Pty Ltd | Technical Testing of the VET Cert III be "Be Money Smart" digital resource. | 13-Jan-15 | 31-Mar-15 | 53,102.50 | Limited tender |
| Colleen Bronwyn Durant | Review of leadership development programme - consultancy services | 11-Feb-15 | 2-May-15 | 35,200.00 | Limited tender |
| Communicorp Group Pty Ltd | Behavioural Change Management for Digital ways of working - Perth Pilot | 11-Feb-15 | 20-Jul-15 | 126,000.00 | Open tender |
| Mediabrand Australia Pty Ltd T/as | Search Engine Optimisation (SEO) 2014-0005608 | 20-Feb-15 | 30-Jun-16 | 247,500.00 | Open tender |
| Kordamentha Pty Ltd | Preparation and delivery of workshop for WA Enforcement | 25-Feb-15 | 26-Jun-15 | 10,000.00 | Limited tender |
| Junipers Pty Ltd | Records Management Consultant | 2-Mar-15 | 2-Dec-15 | 35,000.00 | Limited tender |
| Kim Riordan | COBIT Review and Change Management Engagement | 2-Mar-15 | 30-Jun-15 | 59,675.00 | Limited tender |
| New Intelligence | Design and delivery of 2 day workshop for Melbourne team 15 & 16 April | 5-Mar-15 | 31-May-15 | 12,605.00 | Limited tender |
| Roar Film | Additional work to VET Certificate III digital resource | 9-Mar-15 | 31-Mar-15 | 12,750.00 | Limited tender |
| Grant Thornton Australia Limited | Independent Expert | 19-Mar-15 | 17-Apr-15 | 47,600.00 | Open tender |

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| Supplier Name | Description | Start Date | End Date | Value (\$) | Procurement Method |
|---|--|-------------------|-----------------|-------------------|---------------------------|
| PricewaterhouseCoopers | Behavioural Economics Financial Advice Message | 27-Mar-15 | 30-Jun-15 | 39,150.00 | Limited tender |
| FTI Consulting Technology (Syd) Pty Ltd | Consultancy services to assist data migration. | 6-Apr-15 | 3-Jul-15 | 74,250.00 | Limited tender |
| RMIT University | Research into Consumer Leases | 10-Apr-15 | 30-Jun-15 | 65,040.00 | Limited tender |
| Orima Research Pty Ltd | Research Services | 27-Apr-15 | 31-Aug-15 | 65,180.00 | Limited tender |
| CPT Global Limited | Mainframe Replication Consultant | 30-Apr-15 | 24-Dec-15 | 382,470.00 | Open tender |
| Iphix Ireland Limited | Consultant and half day workshop - digital reporting/solutions | 1-May-15 | 30-Jun-16 | 25,080.00 | Limited tender |
| AWB Consulting Services | Consulting | 4-May-15 | 14-Aug-16 | 68,400.00 | Limited tender |
| Finity Consulting Pty Limited | Actuary Review to determine Unclaimed Monies Provision model | 6-May-15 | 27-Jul-15 | 18,700.00 | Limited tender |
| Veldhoen & Company Pty Limited | Workplace Strategy Consulting Service | 19-May-15 | 29-Feb-16 | 248,912.40 | Open tender |
| Alan Llewellyn | Consultancy Services - Risk Management | 26-May-15 | 30-Jun-15 | 25,575.00 | Limited tender |
| Women in Banking and Finance | Consultant - participation of ASIC staff for mentoring program | 2-Jun-15 | 1-Jun-16 | 22,000.00 | Limited tender |

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| Supplier Name | Description | Start Date | End Date | Value (\$) | Procurement Method |
|----------------------------------|--|-------------------|-----------------|-----------------------|---------------------------|
| Deloitte Touche Tohmatsu | Data Analysis Services | 11-Jun-15 | 30-Dec-15 | 400,500.00 | Limited tender |
| Grant Thornton Australia Limited | Expert Opinion - audit | 17-Jun-15 | 6-Jul-15 | 30,250.00 | Open tender |
| UXC Connect Pty Ltd | UXC Connect AirWatch Professional Services | 26-Jun-15 | 29-Sep-16 | 27,500.00 | Open tender |
| CPT Global Australia Pty Ltd | Mainframe Replication - consultant | 30-Jun-15 | 24-Dec-15 | 18,150.00 | Open tender |
| Shelde Pty Ltd | HP Arcsight Environment consultant | 13-Jul-15 | 15-Jul-16 | 108,680.00 | Open tender |
| Orima Research Pty Ltd | Research - Overall survey services) | 29-Jul-15 | 30-Jun-16 | 31,680.00 | Limited tender |
| Comerton-Forde Consulting | Market Structure research | 31-Aug-15 | 30-Aug-17 | 308,880.00 | Limited tender |
| Gilimbaa Pty Ltd | Consultant for financial literacy resources for indigenous consumers incl. videos. | 1-Sep-15 | 30-Jun-16 | 53,900.00 | Limited tender |
| UXC Limited | PeopleSoft Peopletools Consultancy | 2-Oct-15 | 30-Oct-15 | 14,300.00 | Open tender |
| Total Value | | | | \$2,668,029.90 | |

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Attachment B – Planned Consultancies 30 October to 31 December 2015

| Supplier Name | Description | Start Date | End Date | Est Value (\$) | Procurement Method |
|----------------------|---------------------------------|-------------------|-----------------|-----------------------|---------------------------|
| Redcore | ICT Security Management | 16 /11/2015 | 27/05/2016 | \$217,800 | Open Tender |
| Not known | Security Consultant | Not known | Not known | \$48,000 | Open Tender |
| Not Known | Architectural Services - ABW | Not known | Not known | >\$80,000 | Open Tender |
| Not Known | Building Services Engineers ABW | Not known | Not known | <\$80,000 | Limited Tender |