

Senate Economics Legislation Committee
ANSWERS TO QUESTIONS ON NOTICE

Treasury Portfolio

Supplementary Budget Estimates

2015 - 2016

Department/Agency: ASIC

Question: SBT 483-486

Topic: Legal Costs

Reference: written - 30 October 2015

Senator: Wong, Penny

Question:

Since 1 January 2015:

483. List all legal costs incurred by the department or agency
484. List the total cost for these items, broken down by source of legal advice, hours retained or taken to prepare the advice and the level of counsel used in preparing the advice, whether the advice was internal or external
485. List cost spend briefing Counsel, broken down by hours spent briefing, whether it was direct or indirect briefing, the gender ratio of Counsel, how each Counsel was engaged (departmental, ministerial)
486. How was each piece of advice procured? Detail the method of identifying legal advice

Answer:

483. External legal expenditure by ASIC for the period 1 January 2015 to 31 October 2015 is as follows:

Counsel Fees	2,967,296	2,967,296
External Solicitors	776,084	776,084
Grand Total	3,743,380	3,743,380

484. In order to provide details of the hours retained or taken to prepare the advice, we would need to review all the memorandum of costs provided to ASIC in each matter, which would involve significant resources. Only external expenditure figures are provided. The total cost of each legal advice (including litigation expenditure) detailing the source of the legal expenditure is as follows:

A J DEVER PTY LTD 34,087.28

ALAN SHEARER 2,959.09

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ALLYGROUP	31,413.75
ANDREW CONNOLLY	2,825.00
ANNA MARGRIT MITCHELMORE	2,123.64
AUSTRALIAN GOVERNMENT SOLICITOR	127,319.91
CORRS CHAMBERS WESTGARTH	49,958.41
DAVID GEOFFREY HEALEY	14,438.64
GILLIAN WALKER	1,959.32
GORDON & JACKSON	2,009.09
GREG O'MAHONEY	46,572.73
JOANNE SHEPARD	12,500.00
JOHN HALLEY	33,259.32
KRISTINA STERN	19,179.40
LIST A BARRISTERS	61,568.82
LIST G PTY LTD (BARRISTERS)	14,450.45
MADELEINE AVENELL	9,550.91
ROHAN HARDCASTLE	9,554.54
TAMARA LYNETTE PHILLIPS	8,597.82
TIFFANY WONG	

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	5,810.61
YOUNG'S LIST PTY LTD	17,608.63
GRAHAM CONNOLLY T/AS	11,051.88
MINTER ELLISON	5,214.00
DEPARTMENT OF FINANCE & DEREGULATION	4,000.00
JOHN VAUGHAN	9,640.00
JAMES ARNOTT	3,911.82
RIDER LEVETT BUCKNALL WA PTY LTD	5,000.00
JAMES WATSON	10,454.01
MICHAEL BRYAN JOSHUA LEE SC	1,326.83
RACHEL FRANCOIS	1,000.00
JOSEPH GARAS	875.99
MARK J STEELE	18,253.64
GREG JOHNSON	320.25
MARK DOUGLAS	6,229.59
ASHURST AUSTRALIA	2,000.00
PERRY HERZFELD	11,193.18
VANESSA MARIE BOSNJAK	7,757.58
MINTER ELLISLON DARWIN (L BENNETT)	4,484.29

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HENRY DAVIS YORK LAWYERS	73,920.00
A J DEVER PTY LTD	6,803.64
LIST A BARRISTERS	8,655.00
	25,797.50
JOHN HALLEY	15,767.50
LIST A BARRISTERS	12,298.86
TIFFANY WONG	21,272.73
	18,947.50
A J DEVER PTY LTD	3,828.18
MADELEINE AVENELL	16,847.28
LIST G PTY LTD (BARRISTERS)	2,881.84
LINDA BLACK	9,587.37
	(22,755.20)
GORDON & JACKSON	22,755.20
	(15,351.59)
LIST A BARRISTERS	188,739.86
RON MERKEL QC	104,917.27
STEWART MAIDEN	

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	17,842.27
	-
LIST G PTY LTD (BARRISTERS)	20,731.37
	2,601.50
A J DEVER PTY LTD	44,489.77
BARRISTERS LOGISTICS PTY LTD	11,454.55
FOLEY'S LIST PTY LTD	26,045.45
LIST A BARRISTERS	26,530.45
	(23,234.30)
LIST A BARRISTERS	33,540.91
	(9,454.54)
DR JAMES RENWICK	29,057.60
	246,724.99
AARON CORNISH	14,600.00
AUSTRALIAN GOVERNMENT SOLICITOR	20,441.59
ELIZABETH A CHEESEMAN	46,560.13
GORDON & JACKSON	51,140.27
JUSTIN SIMPKINS	13,650.90

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	(6,699.00)
R M DERRINGTON	112,050.00
SCOTT SEEFELD	43,079.82
RICHARD HOOKER	8,339.09
JAMES HMELNITSKY	1,341.82
MADDOCKS	42,678.92
GREENS LIST BARRISTERS	10,450.00
	-
AUSTRALIAN GOVERNMENT SOLICITOR	2,678.00
	-
PETER RUSSELL (BARRISTER)	4,358.18
NATALIE CHARLESWORTH	2,659.09
	150.00
	54,269.91
ALLYGROUP	43,521.25
GREG O'MAHONEY	9,454.55
JAMES HMELNITSKY	10,729.10
ROHAN HARDCASTLE	10,883.64
	8,937.50
DAVID R STACK	

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	6,617.27
	22,000.00
	(2,625.00)
ANDREW CONNOLLY	17,200.00
	-
JENNIFER SINGLE	24,316.97
SARAH PRITCHARD	20,565.45
	-
	(34,761.81)
MADDOCKS	17,841.68
LIST A BARRISTERS	5,610.00
A J DEVER PTY LTD	6,212.27
KRISTINA STERN	15,699.31
ALAN SHEARER	838.41
	-
LENNON'S LIST BARRISTERS CLERKS	15,807.27
LIST A BARRISTERS	19,141.82
A J DEVER PTY LTD	11,338.18
LIST A BARRISTERS	5,745.46
	(48,000.00)

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STEVEN FORREST	14,837.15
	13,651.67
GORDON & JACKSON	39,427.19
KERRY CLARK	4,650.00
WENDY GILLAN	6,090.91
LIST G PTY LTD (BARRISTERS)	6,022.73
SCOTT MCLEOD	24,321.14
DAVID GEOFFREY HEALEY	485.45
	112,125.20
	(10,000.00)
LIST A BARRISTERS	3,363.64
MARK STEELE	4,274.27
SCOTT SEEFELD	2,787.91
	110,405.46
AUSTRALIAN GOVERNMENT SOLICITOR	57,007.30
LIST A BARRISTERS	3,490.91
	(2,882.95)
CORRS CHAMBERS WESTGARTH	46,486.75

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	(38,327.27)
JOHN HALLEY	57,613.00
ROHAN HARDCASTLE	147,244.63
	88,001.82
ELIZABETH A CHEESEMAN	90,240.23
KIM ANDERSON	19,151.82
THOMAS PRINCE	52,323.63
	500.00
LIST G PTY LTD (BARRISTERS)	3,818.43
A J DEVER PTY LTD	3,758.64
GORDON & JACKSON	28,227.27
	(7,605.45)
PETER RUSSELL (BARRISTER)	17,621.81
	(5,000.00)
DAVID R STACK	4,528.18
	-
TAMARA LYNETTE PHILLIPS	11,943.65
	1,227.27
ANNA MARGRIT MITCHELMORE	

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	8,636.36
	-
SCOTT SEEFELD	18,863.63
THOMAS SULLIVAN	9,545.45
	8,143.50
DAVID GEOFFREY HEALEY	4,488.63
	4,781.00
BARRISTERS LOGISTICS PTY LTD	4,363.64
LIST A BARRISTERS	12,131.51
A J DEVER PTY LTD	3,665.45
	1,660.00
LIST A BARRISTERS	2,263.64
	1,816.36
GORDON & JACKSON	25,000.00
MELDRUM & HYLAND BARRISTER'S CLERK	32,727.28
LIST A BARRISTERS	2,637.09
	(2,000.00)
MARGARET HOCH	32,894.55
MCMASTER SECURITIES PTY LTD	

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	4,292.50
PETER J DAVIS QC	18,932.00
SEAN COOPER	35,232.89
	(300.00)
LIST G PTY LTD (BARRISTERS)	6,333.03
LIST G PTY LTD (BARRISTERS)	8,034.08
YOUNG'S LIST PTY LTD	12,806.82
ELIZABETH A CHEESEMAN	22,108.18
	16,316.36
LIST A BARRISTERS	38,541.82
GREENS LIST BARRISTERS	4,352.73
LIST A BARRISTERS	4,813.64
LIST G PTY LTD (BARRISTERS)	582.27
GORDON & JACKSON	29,217.74
LIST A BARRISTERS	17,753.64
	-
A J DEVER PTY LTD	5,150.00
	7,500.00
DAVID GEOFFREY HEALEY	42,017.04

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JEREMY CLARKE	66,534.55
NAOMI OREB	3,875.45
RICHARD MCHUGH S.C.	6,361.82
TIFFANY WONG	16,791.66
DARREN JACKSON	321.59
K A VERNON	1,532.94
SAMUEL VANDONGEN SC	26,584.10
	(59.23)
IMTIAZ AHMED	17,023.64
JOHN HALLEY	54,934.50
MICHAEL O'MEARA	14,876.36
STEPHANIE PATTERSON	23,931.82
MELAINIE HINDMAN	1,059.55
SARAH MCNAUGHTON	23,863.64
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	3,743,380.27

485. The ratio of male to female briefings for 1 January 2015 to 31 October is 113:54. Most counsel briefings are direct briefings. On the rare occasion an indirect briefing may occur. Counsel are engaged directly by operational teams requiring services. A standard engagement letter which complies with the requirements of the Legal Services Directions is used.

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486. To detail how each piece of advice was procured would require a review of each of the individual engagements and require significant resources.