

Senate Economics Legislation Committee
ANSWERS TO QUESTIONS ON NOTICE
Treasury Portfolio
Additional Estimates
2015 - 2016

Department/Agency: AOFM
Question: AET 2875-2876
Topic: Departmental Staff Misconduct
Reference: written - 19 February 2016
Senator: Ludwig, Joe

Question:

Since the change of Prime Minister on 14 September, 2015:

2875. Please provide a copy of the departmental staff code of conduct.

2876. Have there been any identified breaches of this code of conduct by departmental staff?

- a) If yes, list the breaches identified, broken by staffing classification level.
- b) If yes, what remedy was put in place to manage the breach? If no remedy has been put in place, why not?
- c) If yes, when was the breach identified? By whom? When was the Minister made aware?
- d) If yes, were there any legal ramifications for the department or staff member? Please detail.

Answer:

Since the change of Prime Minister on 14 September, 2015:

2875.

WORK CONDUCT

AOFM Employees must behave in a manner consistent with the APS Code of Conduct, APS Values and APS Employment Principles located in sections 13, 10 and 10A respectively of the Public Service Act 1999.

In its dealings with financial markets, the AOFM adheres to the Australian Financial Markets Association Code of Ethics and Code of Conduct; this has been made available to all AOFM Employees on the AOFM intranet.

Conflicts of interest

AOFM's attitude and response to conflicts of interest are contained in the AOFM's *Conflicts of Interest Policy*.

Public statements and comments

Public statements or comments which could give rise to a public perception that they in some way represent official views of the AOFM shall be made only with the prior approval of the CEO.

Alcohol and drugs

AOFM Employees will not:

- allow the consumption of alcohol or other substances (e.g. prescription medication or other drugs) to adversely affect their work performance or conduct; or
- consume alcohol while on the AOFM premises except where related to official duties or subject to the CEO's approval and conditions.

2876. No.

- a) Not applicable.
- b) Not applicable.
- c) Not applicable.
- d) Not applicable.