Senate Standing Committee on Environment and Communications

Answers to Senate Estimates Questions on Notice

Additional Estimates February 2017

Communications Portfolio

Australia Post

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Question No: 88

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Australia Post

Hansard Ref: Written, 14/03/2017

Topic: Internal Audit

Senator Urquhart, Anne asked:

Please list all internal audits commenced in 2015-16 FY in table format as per the Index

Answer:

Purpose of Audit	Date audit commenced	Date audit completed	Audit firm	Costs paid for audit services ($)
Australia Post Assets – assess the effectiveness of key controls over assets' life-cycle	Jul 2015	Oct 2015	N/A	Nil
Customer 1 Whole of Trade Contract - test the effectiveness of controls that manage the Customer 1/Australia Post contractual relationship	Jul 2015	Nov 2015	N/A	Nil
Chain of Responsibility - assess compliance with the legislation covering fatigue management, fleet maintenance, mass/load constraint compliance, non-compliance identification processes, policies and frameworks	Aug 2015	Oct 2015	N/A	Nil
Star Track Requisition to Pay - review of process controls	Aug 2015	Dec 2015	N/A	Nil
Parcels Optimisation Program – provide assurance over critical success factors leading to program benefit realisation	Sep 2015	Oct 2015	N/A	Nil
Customer 2 Contract Review – Phase 1 assess the plan to optimise and implement a new operating model	Oct 2015	Nov 2015	N/A	Nil
Cyber Security - assess the implementation of the Group Information Security Policy and the supporting standards across Australia Post Group (including StarTrack, SecurePay, Decipha and POLI) across 16 cyber security focus areas	Oct 2015	Apr 2016	Zimbani – cyber security experts	$99,750
Privacy Compliance – review the effectiveness of Post's Privacy Reform	Nov 2015	Jan 2016	N/A	Nil

Purpose of Audit	Date audit commenced	Date audit completed	Audit firm	Costs paid for audit services ($)
Program in embedding the required process and control changes to ensure adherence to the Privacy Act				
Chain of Responsibility – detailed review of Star Track Fatigue Management processes	Nov 2015	Dec 2015	N/A	Nil
Star Track Payroll – assess the effectiveness of key controls within the StarTrack payroll process for award and contract staff	Jan 2016	Feb 2016	N/A	Nil
Star Track Fixed Assets – assess the effectiveness of key controls over assets' life-cycle	Jan 2016	Mar 2016	N/A	Nil
Subsidiary Superannuation – review of Subsidiary Superannuation processes including controls, calculations, payment and information sharing between Subsidiaries and funds	Jan 2016	May 2016	N/A	Nil
Reform of Letters Services Automation Program - review progress of new mail sorting equipment into Dandenong Letters Facility (Victoria), Northgate Mail Centre (Queensland) and Sydney West Letters Facility (New South Wales)	Feb 2016	Apr 2016	N/A	Nil
Chain of Responsibility Fatigue Management Follow up – assess recently implemented StarTrack fatigue management controls that address previous audit observations	Feb 2016	Mar 2016	N/A	Nil
Anti Money Laundering/Counter Terrorism Financing - review the effectiveness of controls implemented to address AML/CTF compliance	Mar 2016	May 2016	N/A	Nil
Australian Financial Services Licence Review – assess the control environment to ensure Australia Post continues to provide financial services efficiently, honestly and fairly	Mar 2016	May 2016	N/A	Nil
Tier 1 Risks – review of risk management program	Mar 2016	Mar 2016	N/A	Nil
Customer 2 Contract Review –	Apr 2016	Jun 2016	N/A	Nil

Purpose of Audit	Date audit commenced	Date audit completed	Audit firm	Costs paid for audit services ($)
Phase 2 assess progress to achieving the project benefits within expected timeframes				
Decipha - assess key controls supporting sales, operations, technology and information security	Apr 2016	July 2016	N/A	Nil
Serious Safety Metrics - review appropriateness and effectiveness of existing measures of reporting serious injuries and injury severity	Jun 2016	Nov 2016	N/A	Nil