

## Appendix 3

### Public hearings and witnesses

#### Melbourne, 6 March 2015

COULSTON, Ms Veronica, Private capacity

HAGGER, Mr Andrew, Chief Executive Officer, MLC, and Group Executive, NAB Wealth, National Australia Bank

MILLER, Mr Gregory, Executive General Manager, Wealth Advice, National Australia Bank.

MORATH, Mr Richard, Chairman, Advice and Licences Board, MLC, GWM Adviser Services Board, Godfrey Pembroke Advice and Licensee Board, and Apogee Board, National Australia Bank

MORRIS, Mr Jeff, Private capacity

#### Canberra, 21 April 2015

COULSTON, Ms Veronica, Private capacity

HAGGER, Mr Andrew Paul, Group Executive, NAB Wealth, National Australia Bank; and, Chief Executive Officer, MLC

HALPERN, Ms Naomi, Private capacity

HODGES, Mr Graham, Deputy Chief Executive Officer, ANZ Bank

MOORE, Mr Nicholas, Managing Director and Chief Executive Officer, Macquarie Group Ltd

MORRIS, Mr Jeff, Private capacity

NAREV, Mr Ian, Chief Executive Officer, Commonwealth Bank of Australia

PHILLIPS, Ms Joyce, Chief Executive Officer, Global Wealth Group, ANZ Bank

SPRING, Ms Annabel, Group Executive, Wealth Management, Commonwealth Bank of Australia

SWAN, Ms Merylyn, Private capacity

THORBURN, Mr Andrew, Group Chief Executive Officer, National Australia Bank

WARD, Mr Greg, Deputy Managing Director and Head of Banking and Financial Services Group, Macquarie Group Ltd

WILKIE, Ms Danielle, Private capacity

**Sydney, 7 July 2015**

KELAHER, Mr Christopher Francis, Managing Director, IOOF Holdings Limited

TANZER, Mr Greg, Commissioner, Australian Securities and Investments Commission

YANCO, Mr Greg, Senior Executive Leader, Market and Participant Supervision, Australian Securities and Investments Commission

**Sydney, 3 August 2015**

CORCORAN, Ms Danielle, Company Secretary and General Manager, Human Resources, IOOF

LEWIS, Mr Kevin Alan, Chief Compliance Officer, ASX Limited

SEXTON, Dr Roger, AM, Chairman, IOOF

SHACKELL, Mr Malcolm, Partner, PricewaterhouseCoopers

URWIN, Mr Rob, Head of Investigations, IOOF

**Melbourne, 4 August 2015**

BRODY, Mr Gerard Gavan, Chief Executive Officer, Consumer Action Law Centre

CROWHURST, Mr Nicolas, Company Secretary, Financial Ombudsman Service Australia

FIELD, Mr Philip, Lead Ombudsman, Banking and Finance, Financial Ombudsman Service Australia

GUTHRIE, Ms Fiona, Executive Director, Financial Counselling Australia

MUNCHENBERG, Mr Steven, Chief Executive Officer, Australian Bankers' Association

TREGILLIS, Mr Shane, Chief Ombudsman, Financial Ombudsman Service Australia

TURNER, Ms Erin, Campaigns Manager, Choice

**Canberra, 10 August 2015**

COOPER, Mr Bradley John, Chief Executive, BT Financial Group, Westpac Group

HARTZER, Mr Brian, Chief Executive Officer and Managing Director, Westpac Group

MELLER, Mr Craig Duncan, Chief Executive Officer and Managing Director, AMP Limited

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MELLER, Mr Craig Duncan, Chief Executive Officer and Managing Director, AMP Limited

**Melbourne, 30 September 2015\***

BAXTER, Ms Liesl, Private capacity

COHEN, Mr Simon Justin, Deputy Secretary, and Director, Consumer Affairs Victoria, Department of Justice and Regulation, Victoria

FARRANT, Miss Marnie, Senior Lawyer, Australian Securities & Investments Commission

GUY, Mr James, Private capacity

HAYNES, Mr Trevor, Private capacity

KINGSLEY, Mr Benjamin James, Chair, Property Investment Professionals of Australia

LANYON, Dr Elizabeth, Director, Regulation and Policy Division, Consumer Affairs Victoria,  
Department of Justice and Regulation, Victoria

McINTYRE, Mr Jamie, Chief Executive Officer, 21st Century Education and Media Group

MONKA, Ms Grazyna Elzbieta, Private capacity

MULLALY, Mr Tim, Senior Executive Leader, Australian Securities & Investments Commission

TAYLOR, Ms Sharon, General Manager, Professional Standards and Risk, Slater and Gordon  
Lawyers

**Melbourne, 28 October 2015**

MORRIS, Mr Jeff, Private capacity

PHILLIPS, Mr Russell Stanley, Private capacity

\*The hearing held in Melbourne on 30 September 2015 was exclusively relating to land banking schemes.

