

Appendix 2

Public hearings and witnesses

Wednesday 26 April 2017 - Sydney

Senators in attendance: Senators Hume, Ketter, Xenophon.

Witnesses:

BIRD, Ms Joanna, Senior Executive Leader, Australian Securities and Investments Commission

BRIGGS, Mr Blake, Senior Policy Manager, Financial Services Council

CARSON, Mr David, Corporate Regulatory Adviser, Finance Brokers Association of Australia

DAY, Mr Warren, Senior Executive Leader, Australian Securities and Investments Commission

FIELD, Mr Philip, Lead Ombudsman, Banking and Finance, Financial Ombudsman Service Australia

GREEN, Mr Chris, Group Senior Manager, Australian Securities and Investments Commission

HANSELL, Mr Allan, Director of Policy & Global Markets, Financial Services Council

KELL, Mr Peter, Deputy Chairman, Australian Securities and Investments Commission

KIRKLAND, Mr Alan, Chief Executive Officer, Choice

KRNCEVIC, Mr Jesse, Policy Manager, Financial Services Council

LANE, Ms Katherine, Co-Principal Solicitor, Financial Rights Legal Centre

MacRAE, Mr Drew, Policy and Advocacy Officer, Financial Rights Legal Centre

QUINN, Ms Susan, Senior Policy Officer, Consumer Action Law Centre

RICHARDSON, Mrs Bianca, Senior Policy Manager, Financial Services Council

SMITH, Dr June, Lead Ombudsman, Investments and Advice, Financial Ombudsman Service Australia

TEMPLE, Ms Katherine, Senior Policy Officer, Consumer Action Law Centre

TREGILLIS, Mr Shane, Chief Ombudsman, Financial Ombudsman Service Australia

TURNER, Ms Erin, Acting Director - Content, Campaigns and Communications, Choice

WHITE, Mr Peter James, Executive Director, Finance Brokers Association of Australia

Wednesday 28 June 2017 - Sydney

Senators in attendance: Senators Gallagher, Hume, Ketter, Xenophon.

Witnesses:

ANGRISANO, Mrs Julia, National Secretary, Finance Sector Union

BENNELL, Ms Susie, Managing Director, SR Group

CLANCY, Ms Alicia, National Industrial Officer, Finance Sector Union

COLIN, Mr Kilian, Finance Sector Union

KHOURY, Mr Philip, Private capacity

RUSSELL, Ms Debra, Private capacity

SEDGWICK, Mr Stephen, AO, FIPAA, Private capacity

WINDER, Dr Simon, Private capacity

Wednesday 28 June 2017 - Melbourne

Senators in attendance: Senators Hume, Ketter, Whish-Wilson.

Witnesses:

HALPERN, Ms Naomi, Private capacity.

HENRY, Ms Susan, Chair, Holt Norman Ashman Baker Action Group

JAMES, Mr Brendan, Shareholder, Cleveland Mining

JOHNSTON, Mr Robert, Head, Wealth Advisory, Banking and Financial Services, Macquarie Group Ltd

KORDA, Mr Mark, Managing Partner, Registered Liquidator, KordaMentha

McLENNAN, Mr Matthew, Executive Director, Group Legal, Macquarie Group Ltd

MENDELAWITZ, Mr David, Managing Director, Cleveland Mining Group

SIMPSON, Mr Glenn, Director, Cleveland Mining Group

WARD, Mr Greg, Deputy Managing Director, and Head, Banking and Financial Services, Macquarie Group Ltd

WEBSTER, Mr Bryan, Partner, Registered Liquidator, KordaMentha

Response to certain evidence given during public hearings

- 1 Correspondence from Mr Michael Rosenbaum - response to certain evidence given during a public hearing on 22 February 2018.