

Appendix 3

Public hearings and witnesses

SYDNEY, 19 FEBRUARY 2014

ARMOUR, Ms Cathie, Commissioner, Australian Securities and Investments Commission

BACON, Mr John, General Manager Professional Standards, Financial Planning Association

BINEHAM, Mr Marc, Vice President, Association of Financial Advisers

BROWN, Associate Prof. David, Adelaide Law School, University of Adelaide

DAY, Mr Warren, Senior Executive Leader, Stakeholder Services, and
Regional Commissioner for Victoria, Australian Securities and Investments Commission

DE GORI, Mr Dante, General Manager Policy and Conduct, Financial Planning Association

DRUM, Mr Paul, Head of Policy, CPA Australia

FOX, Mr Brad, Chief Executive Officer, Association of Financial Advisers

GILLIGAN, Dr George, Private capacity

KELL, Mr Peter, Deputy Chairman, Australian Securities and Investments Commission

KINGSFORD SMITH, Prof. Dimity, Private capacity

KIRK, Mr Greg, Senior Executive Leader, Strategy Group, Australian Securities and
Investments Commission

LE MIRE, Dr Suzanne, Senior Lecturer, Adelaide Law School, University of Adelaide

MALLEY, Mr Alex, Chief Executive Officer, CPA Australia

MAWSON, Mr David, ASIC Workplace Delegate, Community and Public Sector Union

MEDCRAFT, Mr Greg, Chairman, Australian Securities and Investments Commission

O'BRIEN, Prof. Justin, Private capacity

PRICE, Mr John, Commissioner, Australian Securities and Investments Commission

RANTALL, Mr Mark, Chief Executive Officer, Financial Planning Association

SAVUNDRA, Mr Chris, Senior Executive Leader, Markets Enforcement,
Australian Securities and Investments Commission

TANZER, Mr Greg, Commissioner, Australian Securities and Investments Commission

WATERS, Mr Alistair, Deputy National President, Community and Public Sector Union

WHITE, Mr Lee, Chief Executive Officer, Institute of Chartered Accountants Australia

SYDNEY, 20 FEBRUARY 2014

BRAILEY, Ms Denise, President, Banking and Finance Consumers Support Association

BRODY, Mr Gerard, Chief Executive Officer, Consumer Action Law Centre

CAMPO, Ms Robbie, Deputy Chief Executive, Industry Super Australia

COX, Mrs Karen, Coordinator, Consumer Credit Legal Centre Inc. (NSW)

DAM, Ms Allison, Head of Policy and Compliance, Credit Ombudsman Service

DYER, Mr Bruce, Member, Corporations Committee, Business Law Section,
Law Council of Australia

FIELD, Mr Philip, Lead Ombudsman, Banking and Finance, Financial Ombudsman Service

GOODISON, Mr Scott, Head of Dispute Resolution, Credit Ombudsman Service

HAYNES, Mr David, Executive Manager, Policy and Research,
Australian Institute of Superannuation Trustees

KEEVES, Mr John, Chairman, Business Law Section, Law Council of Australia

NEAVE, Mr Colin, Commonwealth Ombudsman

TREGILLIS, Mr Shane, Chief Ombudsman, Financial Ombudsman Service

VENGA, Mr Raj, Chief Executive Officer and Ombudsman, Credit Ombudsman Service

VOLPATO, Ms Karen, Senior Policy Adviser,
Australian Institute of Superannuation Trustees

WATTS, Mr Richard, Policy and Legal Counsel, Industry Super Australia

CANBERRA, 21 FEBRUARY 2014

BAXT, Professor Bob, Private capacity

BROWN, Ms Diane, Acting General Manager, Corporations and Capital Markets Division,
Department of the Treasury

COBURN, Mr Niall, Private capacity

FRASER, Mr Bede, Acting General Manager, Retail Investor Division, Markets Group,
Department of the Treasury

CANBERRA, 2 APRIL 2014

DAVIDSON, Mr Graeme, Deputy Director, Commonwealth Director of Public Prosecutions

FYSH, Dr Stuart, Private capacity

HARRIS, Mr Jason, Private capacity

JENSEN, Mr Rod, Principal Legal Officer, Commercial,
International and Counter-Terrorism, Commonwealth Director of Public Prosecutions

LOMBE, Mr David, President,
Australian Restructuring Insolvency and Turnaround Association

McCANN, Mr Michael, Deputy President,
Australian Restructuring Insolvency and Turnaround Association

MURRAY, Mr Michael, Legal Director,
Australian Restructuring Insolvency and Turnaround Association

WHEELDON, Mr James, Private capacity

WINTER, Mr John, Chief Executive Officer,
Australian Restructuring Insolvency and Turnaround Association

CANBERRA, 10 APRIL 2014

ARMOUR, Ms Cathie, Commissioner, Australian Securities and Investments Commission

BERRILL, Mr John, Lawyer and Principal, Maurice Blackburn Lawyers

BIRD, Ms Joanna, Senior Executive Leader, Financial Advisers,
Australian Securities and Investments Commission

BOWDEN, Dr Peter, Private capacity

BRAND, Dr Vivienne, Senior Lecturer, Flinders Law School, Flinders University

BRAUND, Mrs Janice, Private capacity

BROWN, Mr Adrian, Senior Executive Leader, Insolvency Practitioners and Liquidators

BROWN, Professor AJ, Professor of Public Policy and Law,
Centre for Governance and Public Policy, Griffith University

COHEN, Mr David, General Counsel and Group Executive, Group Corporate Affairs,
Commonwealth Bank of Australia

DAY, Mr Warren, Senior Executive Leader, Stakeholder Services,
Australian Securities and Investments Commission

GRATION, Mr Douglas, Director, Governance Institute of Australia

KELL, Mr Peter, Deputy Chairman, Australian Securities and Investments Commission

KIRK, Mr Greg, Senior Executive Leader, Deposit Takers, Credit and Insurance Providers

LOMBARD, Dr Sulette, Senior Lecturer, Flinders Law School, Flinders University

MEDCRAFT, Mr Greg, Chairman, Australian Securities and Investments Commission

MORRIS, Mr Jeffrey, Private capacity

MULLALY, Mr Tim, Senior Executive Leader, Financial Services Enforcement,
Australian Securities and Investments Commission

PERKOVIC, Ms Marianne, Executive General Manager, Wealth Management Advice,
Commonwealth Bank of Australia

PRICE, Mr John, Commissioner, Australian Securities and Investments Commission

SAVUNDRA, Mr Chris, Senior Executive Leader, Markets Enforcement,
Australian Securities and Investments Commission

SHEEHY, Mr Tim, Chief Executive, Governance Institute of Australia

SPRING, Ms Annabel, Group Executive, Wealth Management,
Commonwealth Bank of Australia

SWAN, Mrs Marilyn, Private capacity

TANZER, Mr Greg, Commissioner, Australian Securities and Investments Commission

WOLFE, Mr Simon, Head of Research/Legal, Blueprint for Free Speech