

## **Appendix 2**

### **Public hearings and witnesses**

*Melbourne, 17 August 2018*

#### **Australian Securities and Investments Commission**

Mr James Shipton, Chair

Mr Daniel Crennan QC, Deputy Chair

Ms Cathie Armour, Commissioner

Mr John Price, Commissioner

Mr Warren Day, Senior Executive Leader, Assessment and Intelligence, and Regional Commissioner—Victoria

Mr Greg Kirk, Senior Executive Leader, Strategy Group

Ms Louise Macaulay, Senior Executive Leader, Financial Advisers

Mr Michael Saadat, Senior Executive Leader, Deposit Takers, Credit and Insurers, and Regional Commissioner—New South Wales

Mr David McGuinness, Senior Executive Leader, Financial Services Enforcement

Ms Alex Purvis, Senior Manager, Investors and Financial Consumers and Investment Managers and Superannuation

*Canberra, 18 October 2018*

#### **Commonwealth Director of Public Prosecutions**

Ms Sarah McNaughton, SC, Director

Mr Berdj Tchakerian, Acting Deputy Director, Commercial, Financial and Corruption Practice Group

Ms Fiona O'Brien, Acting Chief Corporate Officer

*Canberra, 19 October 2018*

**Australian Securities and Investments Commission**

Mr James Shipton, Chair

Mr Peter Kell, Deputy Chair

Mr Daniel Crennan QC, Deputy Chair

Ms Cathie Armour, Commissioner

Mr John Price, Commissioner

Ms Danielle Press, Commissioner

Mr Carlos Iglesias, Chief of Operations

Mr Warren Day, Senior Executive Leader, Assessment and Intelligence, and  
Regional Commissioner—Victoria,

Ms Jane Eccleston, Senior Executive Leader, Investment, Managers and  
Superannuation

Ms Laura Higgins, Senior Executive Leader, Financial Capability

Mr Greg Kirk, Senior Executive Leader, Strategy Group

Ms Louise Macaulay, Chief Supervisory Officer

Mr Tim Mullaly, Senior Executive Leader, Financial Services Enforcement

Mr Michael Saadat, Senior Executive Leader, Deposit Takers, Credit and  
Insurers, and Regional Commissioner—New South Wales