

Appendix 1

Submissions Received

1. Mr Paul Moran
2. Dean Evans & Associates Pty. Limited
3. Empirical Capital Pty Ltd
4. Accounting Professional & Ethical Standards Board
5. Finance Sector Union
6. Financial Planning Association of Australia Limited
 - 6.1 Supplementary Submission
 - 6.2 Supplementary Submission
7. FINSIA
8. Professor Justin O'Brien & Dr George Gilligan
9. National Insurance Brokers Association of Australia
10. Mr Keith Compton
11. Superannuation Consumers' Centre
12. AMP
13. Australian Financial Markets Association
14. Association of Financial Advisers Ltd
15. CPA Australia & Chartered Accountants Australia and New Zealand
16. Consumer Credit Legal Service WA
17. Deloitte Touche Tohmatsu
18. Insurance Council of Australia
19. National Seniors Australia
20. CHOICE
21. Mr Robert Brown
22. Industry Super Australia & Australian Institute of Superannuation Trustees
23. BT Financial Group
24. Association of Independently Owned Financial Professionals
25. Australian Securities and Investments Commission
26. Financial Services Council
27. Australian Bankers' Association
28. Customer Owned Banking Association

29. SMSF Professionals' Association of Australia
30. Professor Charles Sampford
31. Mr Peter Corrie
32. Mr Richard Bungey
33. Financial Ombudsman Service
34. Axiom Wealth
35. Professional Standards Authority
36. Challenger Ltd
37. Mortgage and Finance Association of Australia
38. Banking and Finance Consumers Association
39. Mr Anthony Burke

Additional information received by the committee

1. Tabled Document: Proposed Education and Professional Standards Framework, received from the Financial Planning Association of Australia, received 14 October 2014.

Answers to questions on notice

1. Answer to questions on notice asked at a public hearing on 13 October 2014, received from Mortgage and Finance Association of Australia on 14 October 2014.
2. Answer to written questions on notice sent on 29 October 2014, received from Professor George Gilligan and Professor Justin O'Brien on 13 October 2014.
3. Answer to questions on notice asked at a public hearing on 13 October 2014, received from Professor George Gilligan on 6 November 2014.
4. Answers to questions on notice asked at a public hearing on 14 October 2014, received from the Australian Bankers Association on 4 November 2014.
5. Answers to written questions on notice sent on 29 October 2014, received from the Australian Bankers Association on 13 November 2014.
6. Answers to questions on notice asked at a public hearing on 14 October 2014, received from the Association of Financial Advisers on 3 November 2014.
7. Answers to questions on notice asked at a public hearing on 14 October 2014, received from the Customer Owned Banking Association on 3 November 2014.
8. Answers to questions on notice asked at a public hearing on 14 October 2014, received from the Financial Services Council on 3 November 2014.
9. Answers to questions on notice asked at a public hearing on 13 October 2014, received from the Finance Sector Union on 3 November 2014.

10. Answers to questions on notice asked at a public hearing on 13 October 2014, received from the SMSF Professionals Association of Australia on 3 November 2014.
11. Answers to questions on notice asked at a public hearing on 13 October 2014, received from Treasury on 3 November 2014.
12. Answers to written questions on notice sent on 29 October 2014, received from Treasury on 14 November 2014.
13. Answers to questions on notice asked at a public hearing on 14 October 2014, received from Australian Securities and Investments Commission on 7 November 2014.
14. Answers to written questions on notice sent on 29 October 2014, received from Australian Securities and Investments Commission on 17 November 2014.
15. Answers to written questions on notice sent on 29 October 2014, received from Financial Services Institute of Australasia on 11 November 2014.
16. Answers to questions on notice asked at a public hearing on 13 October 2014, received from CPA Australia and Chartered Accountants Australia and New Zealand on 3 November 2014.
17. Answers to questions on notice asked at a public hearing on 14 October 2014, received from the Financial Planning Association of Australia on 29 October 2014.