

Australian Securities and Investments Commission

Answers to Questions On Notice

Public hearing: Parliamentary Joint Committee on Corporations and Financial Services

19 March 2021

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**Division/Agency:** Australian Securities and Investment Commission

**Question No:** 002

**Committee Member:** Mr Patrick Gorman MP

**Question:**

Mr GORMAN: Does ASIC have many complaints about human rights breaches? Is that a common complaint that you receive from people who may have fraudulently transferred money from one entity to another?

Ms Armour: We would have to come back on notice to give you exact information on that, but my recollection, in the term that I have been a commissioner, is that it is a relatively infrequent matter; I'm not aware that it occurs regularly.

**Answer:**

ASIC rarely receives complaints about breaches of human rights. A search of records indicates that this is the only occasion proceedings have been commenced against ASIC alleging breaches of human rights.