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Topic: ASIC Information Sheet 151

Ms HAMMOND: And then that second stage where a decision is made as to whether to go to enforcement or not—who makes that?

Mr Price: Apologies if I've confused you. It's sort of the same thing. Once you set up a formal investigation, that's when it would typically transfer to one of our enforcement units. What I was going to say is that there's an ASIC information sheet. It's a little out of date, but it has a flowchart in it that might be helpful in terms of how these decisions are made. It's *ASIC Information Sheet 151*. I think the flowchart is on the second page. **We can provide that to you on notice.** I've sort of described the assessment and intelligence phase of our work, and so it might be an opportune time for—

Answer

Link to [ASIC Information Sheet 151 – ASIC's Approach to Enforcement](#)