

# 2014 State of the Service Agency Survey

10 June to 25 July 2014

#### AGENCY HEAD CERTIFICATION

Your agency head should complete the following details after approving your agency's response to this survey. The completed page should be received by the Australian Public Service Commission by close of business **Friday 25 July 2014** (please see instructions on page 3).

I am satisfied that the information provided in this survey is complete and accurate, to the best of my knowledge.  Signature:
Name of agency head:
Name of agency:
Date:

#### INTRODUCTION

#### What is the purpose of this survey?

The agency survey is a census of all Australian Public Service (APS) agencies with at least 20 employees, and is conducted as part of the information collection process for the State of the Service Report 2013–14. The Australian Public Service Commissioner has a statutory obligation to report to parliament on the state of the APS each year. Section 44(3) of the *Public Service Act 1999* states that an agency head must give the Commissioner whatever information the Commissioner requires for the purpose of preparing the State of the Service report.

The information collected through the agency survey will also be used to inform human capital strategies and for other research and evaluation purposes.

Results from the 2014 APS employee census will be used to complement the information gathered through this survey of agencies.

#### Who should complete the survey?

One person (such as the Agency Contact Officer) should take responsibility for coordinating input to the survey from the relevant areas of your agency that are responsible for the issues examined in the survey. Once your agency has completed the survey, a copy of the survey and your agency's responses can be printed out so that your agency head can verify the completeness and accuracy of the survey responses by filling in the certification box on the front page of the survey.

#### How should the survey be completed?

Your agency's responses must be submitted online. The online survey can be accessed through the State of the Service agency survey portal at <a href="https://www.orima.com.au/apsc">https://www.orima.com.au/apsc</a> using your agency's identification and password that have been provided via email to your Agency Contact Officer. Please read the instructions carefully once you login to the online survey. Different sections of the survey can be completed by different users at the same time. However, only one user should access any one section of the survey at any time.

It is suggested that you use the PDF or Word versions of this survey to assess what information needs to be gathered and collated <u>before</u> you begin the process of entering your responses into the online version of the survey. You may also find it helpful to complete the survey on a paper copy of the PDF or Word versions, and then enter your responses into the online form as each section is completed.

As you complete each section, you should mark it as 'approved' and enter the relevant contact information for that section using the 'Approve Each Section' page on the portal. This contact information is primarily intended to assist your agency in its internal checking and authorisation processes.

When the responses have been cleared by your agency head and the certification box has been signed, you should lock your agency's responses using the button on the 'Agency Head Certification' page on the portal.

ORIMA Research will download agency responses from the online database following the end of the main fieldwork period (COB Friday 25 July 2014).

# What if we need to clarify or qualify our answers?

General comment boxes have been provided at the end of each section of the survey. The comment boxes can be used to provide comments, clarifications and/or qualifications on any of the questions in that section.

### Will actions my agency has taken but not yet completed be recognised?

Throughout this survey, allowance has been made for instances where agencies are in the process of implementing new systems or processes or changing existing arrangements. This means that agencies should only indicate that they have measures or processes in place if they are currently operational and being used by staff. The following table provides guidance on how agencies should interpret the possible survey responses.

Response	Interpretation
Yes—whole agency	Measure or process is currently in place and operational across your whole agency
Yes—part agency	Measure or process is currently in place and operational in part of your agency
Being developed	A firm decision has been taken to implement the measure or process and action is underway to implement within the next 12 months
No -	The measure or process is not currently in place or being developed within the agency (as defined above)

# Should I answer 'Yes—whole agency' if only part of my agency has a measure or process in place?

In the current APS environment, some functions such as learning and development are devolved to line areas within agencies and it is possible that some line areas may have measures or processes in place while others do not. You should answer 'Yes—whole agency' if your entire agency has a measure or process in place, or 'Yes—part agency' if only part of your agency has a measure or process in place.

For example, the survey might ask whether your agency used online training in 2013–14 as a means of promoting awareness among employees about how they can report misconduct. In Agency X, 4 of its 12 business units may have used online training to promote awareness but the remaining 8 did not. In this situation, Agency X would answer 'Yes—part agency' because only part of the agency used online training.

# What confidentiality and security measures are in place?

Agencies' survey results will be used as a key information source for the State of the Service Report 2013–14 and agency level data or information may be included in the report or in related evaluations on specific issues raised by the report.

The online survey responses are stored in a secure password-protected environment. The State of the Service report is subject to parliamentary scrutiny.

# What happens after my agency takes part in the survey?

The collated survey responses will be sent by ORIMA Research to the Commission to inform the State of the Service Report 2013–14. You may be contacted after you have taken part in the survey for clarification of your responses.

### When is the survey due?

Please submit the survey online by COB Friday 25 July 2014. Prior to this, you will need to ensure that your agency head has completed and signed the certification box on the cover page of the survey.

Please email (after scanning) to <u>stateoftheservice@apsc.gov.au</u> or fax the completed and signed agency head certification to Marie Rowles on (02) 6250 4997.

## How should copies of documents be provided as requested in some questions?

Some of the questions in the survey will ask your agency to provide copies of particular documents. You can either:

- upload relevant documents using the upload facility on the agency survey portal (preferred method)
- send an email with the documents as electronic attachments (use screen shots for web pages) to stateoftheservice@apsc.gov.au or
- send hard copies of the documents to the following address:

State of the Service Team
Australian Public Service Commission
Level 6, 16 Furzer Street
PHILLIP ACT 2606

#### Who do I talk to for further information?

If you have any queries relating to this survey, please contact Chris Sadler from ORIMA Research at chris.sadler@orima.com or 1800 806 950.

If you have any general enquiries about the State of the Service report or the survey process, please contact Angie Sturrock at <a href="mailto:angela.sturrock@apsc.gov.au">angela.sturrock@apsc.gov.au</a> or (02) 6202 3716 at the Commission.

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# A. APS VALUES

1. Which of the following did your agency use during 2013-14 to embed the APS Values?

****	Yes— whole agency	Yes— part agency	Being Developed	No
a. The agency's strategic plan and operational/business plans reflect the APS Values	01	02	03	04
b. Internal agency communications strategies support and reinforce the APS Values	01	02	03	04
c. On-boarding and other learning and development activities incorporate 'how to live' the APS Values, including how to make good value-based decisions	01	02	03	04
d. APS Values are built into agency governance practices	01	02	03	04
e. Performance management frameworks take into account the way in which employees uphold the APS Values	01	02	03	04
f. Modeling of APS Values is formally incorporated into leader performance assessments	01	02	03	04
g. APS Values are clearly reflected in agency management policies and procedures including employment policies	01	02	03	04
h. Reward and recognition schemes reinforce and promote APS Values	01	02	03	04
Agency strategies exist that identify areas of risk in upholding APS Values and APS Code of Conduct	01	02	03	04
j. Agency has processes that ensure transparency of decision-making including appropriate record keeping	01	02	03	0 4

Comme	nt Box A: AP	S Values		INTEGRITY SYSTEMS
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				priority spring allocati

# **B. INTEGRITY SYSTEMS**

2. Which of the following strategies did your agency use during 2013–14 to manage integrity risk?

	Yes—all positions	Yes—high risk positions	No
a. Pre-employment screening	01	02	03
<ul> <li>Integrity or character assessments during employment, or at change of role</li> </ul>	01	02	03
c. Regular one-on-one discussions with employees about integrity risk matters	01	02	03
d. Regular ethics training	01	O 2	03
e. Training for managers about managing integrity risk	01	O 2	03
f. Drug or alcohol testing	01	02	03
g. Contact register for reporting of private associations that may conflict with an employee's role	01	02	03
h. Application of declaration of conflict of interest policies to non-SES employees	01	02	03
i. Outside employment policies	01	02	03
j. Gifts and benefits policies and reporting requirements	01	02	03
k. Programme of staff rotation	01	02	03
Advice to staff about the outcomes of misconduct or integrity investigations	01	02	03
m.Other [Please specify]	01	02	03

3.	Briefly describe the strategies that were most effective in improving your agency's ability to manage integrity risk:

Comment Box B: Integrity Systems	APS CODE OF CONDUCT
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Manuface to reclamble	5. Jouthous Citiz which have been

# C. APS CODE OF CONDUCT

4. How many employees in your agency were the subject of an investigation into a suspected breach of the APS Code of Conduct that was finalised in 2013–14? Note. Please report only those investigations conducted in accordance with your agency's procedures under section 15(3) of the Public Service Act 1999. Do not include enquiries that did not proceed to an investigation using those procedures.

......[If '0' skip to next section]

5. Of the investigations finalised in 2013–14, for each element of the APS Code of Conduct in the table below, please indicate how many employees were investigated for a suspected breach, and how many were found to have breached:

Elements of Code of Conduct	Number of employees	
	Investigated	Breached
Behave honestly and with integrity in connection with APS employment (s. 13(1))		
b. Act with care and diligence in connection with APS employment (s. 13(2))		
c. When acting in connection with APS employment, treat everyone with respect and courtesy, and without harassment (s. 13(3))		
<ul> <li>d. When acting in connection with APS employment, comply with all applicable Australian laws (s. 13(4))</li> </ul>	2	
e. Comply with any lawful and reasonable direction given by someone in the employee's Agency who has authority to give the direction (s. 13(5))		
f. Maintain appropriate confidentiality about dealings that the employee has with any Minister or Minster's member of staff (s. 13(6))		
<ul> <li>g. Disclose, and take reasonable steps to avoid, any conflict of interest (real or apparent) in connection with APS employment (s. 13(7))</li> </ul>		
h. Use Commonwealth resources in a proper manner (s. 13(8))		
<ol> <li>Not provide false or misleading information in response to a request for information that is made for official purposes in connection with the employee's APS employment (s. 13(9))</li> </ol>		
<ol> <li>Not make improper use of: inside information, or the employee's duties, status, power or authority, in order to gain, or seek to gain, a benefit or advantage for the employee or for any other person (s. 13 (10))</li> </ol>		
k. At all times behave in a way that upholds the APS Values and APS Employment Principles, and the integrity and good reputation of the employee's Agency and the APS (s. 13(11))		
<ol> <li>While on duty overseas, at all times behave in a way that upholds the good reputation of Australia (s. 13(12))</li> </ol>		
m. Comply with any other conduct requirement that is prescribed by the regulations (s. 13(13))		

		Number of employees
a.	A Public Interest Disclosure	igdaeyni assiyolome edi.i
b.	A report made to a central conduct or ethics unit or nominated person in a human resources area	THE DESIGN PLACE.
C.	A report made to an employee advice or counselling unit	
d.	A report made to a fraud prevention and control unit or hotline	
e.	A report made to another hotline	
f.	A report made to an email reporting address	
g.	A report generated by a compliance/monitoring system (e.g. audit)	
h.	Other [Please specify]	
	add up to the number provided in question 4.]	Number
а.	Breach found and sanction applied	
b.	Breach found no sanction applied—employee resigned prior to sanction decision	
C.	Breach found no sanction applied—other reason	
d.	No breach found (for any element of the Code)	
e.	Investigation discontinued—employee resigned	
f.	Investigation discontinued—other reason [Please specify]	
•••		
8.	Of the employees in question 7a (Breach found and sanction applied), how me the following sanctions: [Note: An employee can be counted against more	any were given each of than one sanction.]
		Number of employees
a.	Termination of employment	olo-grafi i via admini da
b.	Reduction in classification	many but a service and w
C.	Re-assignment of duties	Secure villa sur al primi compr Transcriptor de securitario sulla
d.	Reduction in salary	
e.	Deductions from salary by way of a fine	harve w less gallagerette probe
f	Reprimand	

9.	How many of the employ	rees reported in question 4 were investigated for corrupt <sup>1</sup> behaviour?
	[If '0' skip to quest	
10.	For the employees invest behaviours were identified behaviour:	tigated for corrupt behaviour, briefly describe the type of behaviours, how the ed and an estimate of the number of employees involved for each type of
		entry ( ) will have the receipt of the control of t
		(libus, p.e) making proclamor uspandgram of 1 street proclamor in
11.	How many of the employ	vees reported in question 4 were investigated for bullying or harassment <sup>2</sup> ?
Cor	nment Box C: APS Code	e of Conduct
		and a second state of the second state of the total number for
		[And Brook of Inchivers and name and all particles
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		mathrese of oursi temperal acyclomes—Ledoga middinos on Porto Tican i
		The state of the s
		being as a more than the particular of the parti
		[Villiage exacts] rows and metallical air rows

Bribery, domestic and foreign—obtaining, offering or soliciting secret commission, kickbacks or gratuities

Fraud, forgery, embezzlement

Theft or misappropriation of official assets

Nepotism and cronyism

- Acting (or failing to act) in the presence of an undisclosed conflict of interest
- Unlawful disclosure of government information
- Blackmail
- Perverting the course of justice
- Colluding, conspiring with or harbouring, criminals

<sup>&</sup>lt;sup>1</sup> For the purposes of this survey corruption is the dishonest or biased exercise of a Commonwealth public official's functions. A distinguishing characteristic of corrupt behaviour is that it involves conduct that would usually justify serious penalties, such as termination of employment or criminal prosecution. Corrupt behaviour includes:

<sup>&</sup>lt;sup>2</sup> For the purpose of this survey, workplace harassment entails offensive, belittling or threatening behaviour directed at an individual or group of APS employees. The behaviour is unwelcome, unsolicited, usually unreciprocated and usually (but not always) repeated. While there is no standard definition of workplace bullying, it is generally used to describe repeated workplace behaviour that could reasonably be considered to be humiliating, intimidating, threatening or demeaning to an individual or group of individuals. It can be overt or covert.

# D. REVIEWS OF ACTION

	did your agency finalise in 2013–14?
	The first and subsequently of all property and the first manage from a manage from a manage from a manage from
Comment Bo	x D: Reviews of Action
	Symmetry or a series of the se

# E. AGENCY CAPABILITY

	section rela uture.	ates to agency capabilities that are important in preparing the Ar o to most the original are
You	may wish	to involve your senior executives at an early stage to complete this section.
		your agency define as productivity in your business context?
14.	Does your	agency have a framework in place to measure productivity?
		Yes—whole agency [Please provide any relevant documentation related to this framework]
	02	Yes—part agency [Please provide any relevant documentation related to this framework]
	03	
	04	No
15.		ays does your agency report on agency productivity?
	What med	hanisms, if any, did your agency put in place in 2013–14 to build a culture of personal bility?

#### Government deregulation agenda

- 17. The Government's red tape reduction programme (part of the government's pre-election commitment policy Boosting Productivity and Reducing Regulation) seeks to achieve substantial savings through red tape reductions. As part of implementing this, the government requires agencies to include in SES performance agreements a criterion providing for quantified reductions in red tape. Has your agency included a criterion on quantified reductions in red tape in SES performance agreements?
  - Yes—for all SES employees [Please provide copies of internal guidance relating to implementing these arrangements]
  - 02 Yes—only for SES employees who have direct responsibility for regulation/deregulation [Please provide copies of internal guidance relating to implementing these arrangements]
  - O 3 Being developed
  - O4 No

18.	Please briefly describe the initiatives to reduce red tape that have been implemented within your agency in 2013–14:	or were planned
		140
Digi	tal transition	
19.	What steps did your agency take during 2013–14 to implement the digital transition potoward comprehensive digital information management? [Please select all that apply	

- - Implemented strategies to achieve 2015 target<sup>4</sup> 01
  - 02 Addressed areas of weakness from your agency's check-up self-assessment
  - Implemented business processes that are fully digital resulting in no paper records being 03 created
  - 04 Other [Please specify] .....
  - No steps taken—no plans to move toward digital information management 05
  - No steps taken—policy already implemented and agency is fully digital 06

#### Stakeholder engagement

- 20. Did your agency undertake action to improve stakeholder engagement in 2013-14?
  - O 1 Action is underway to improve this capability
  - Have plans to take action to improve this capability in the next 12 months 02 [Please go to question 22]
  - O 3 Will investigate options for improving this capability in the next 12 months [Please go to question 22]
  - May investigate options for improving this capability [Please go to question 22] 04
  - No action is necessary [Please go to question 22]

<sup>3</sup> See http://www.naa.gov.au/records-management/digital-transition-policy/ for further information.

<sup>&</sup>lt;sup>4</sup> See http://www.naa.gov.au/records-management/digital-transition-policy/ for further information.

Australian Public Service Commission	Austra	lian	Public	Service	Commissio
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		11 41
Internal	resource	allocation

26.	Did your a	gency undertake action to improve internal resource allocation in 2013-14?
	01	Action is underway to improve this capability
	02	Have plans to take action to improve this capability in the next 12 months  [Please go to question 28]
	03	Will investigate options for improving this capability in the next 12 months [Please go to question 28]
	04	May investigate options for improving this capability [Please go to question 28]
	05	No action is necessary [Please go to question 28]
27.	Please pro	ovide up to three examples of action your agency undertook in 2013–14 to improve internal allocation.
	i)	
	ii)	Company of the sent of adjustment of the sent of the s
	iii)	
	••••	ть еконорти од А.1 – С.1 05 гл. абори <mark>ја</mark> вни усиларе пури остае ту еврупни е е и Л.1 – 1 А. Во пторгоме на
28.	Has your a	agency identified any barriers to improving resource allocation?
Dec	ision-maki	ing delegation
29.		agency undertake action to improve decision-making delegation in 2013–14?
	01	Action is underway to improve this capability  Have plans to take action to improve this capability in the next 12 months
	O 3	[Please go to question 31]  Will investigate options for improving this capability in the next 12 months  [Please go to question 31]
	04	May investigate options for improving this capability [Please go to question 31]
	05	No action is necessary [Please go to question 31]
30.	Please pro	ovide up to three examples of action your agency undertook in 2013–14 to improve decision-
	50	
		amica (1 km ai) ni di feres en l'involunt d'anic peres l'écritics

May investigate options for improving this capability [Please go to question 37]

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04

[Please go to question 37]

No action is necessary [Please go to question 37]

36.	Please manag	ovide up to three examples of action your agency undertook in 2013–14 to improve change nent.	
	i)		
	ii)		
	iii)	[24 (CE + 5 c) op   2 (49)	
37.	Has yo	agency identified any barriers to improving change management?	
			•••
Wo	rkforce	nning	
38.	Did you	agency undertake action to improve workforce planning in 2013–14?	
	0	Action is underway to improve this capability	
	0	Have plans to take action to improve this capability in the next 12 months [Please go to question 40]	
	0	Will investigate options for improving this capability in the next 12 months [Please go to question 40]	
	0	May investigate options for improving this capability [Please go to question 40]	
	0	No action is necessary [Please go to question 40]	
39.	Please plannin	ovide up to three examples of action your agency undertook in 2013–14 to improve workford	е
	i)		
	ii)		
	iii)		
40.	Has you	agency identified any barriers to improving workforce planning?	

Staff performance	management
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41.	Did your a	agency undertake action to improve staff performance management in 2013–14?	
71.	01	Action is underway to improve this capability	
	02	Have plans to take action to improve this capability in the next 12 months [Please go to question 43]	
	03	Will investigate options for improving this capability in the next 12 months [Please go to question 43]	
	04	May investigate options for improving this capability [Please go to question 43]	
	05	No action is necessary [Please go to question 43]	
	00	The desiration is necessary to reason as a first of	
42.		ovide up to three examples of action your agency undertook in 2013–14 to improve staff noe management.	
	i)		
	ii)		
	,		
	:::\		
	iii)		
	****		
4.0		s your agency identified any barriers to improving staff performance management?	
43.	Has your	agency identified any partiers to improving start performance management.	
		pringers, the result is a single and Arright is a share a factor and analysis to a	
••••			
Age	ency aligni	ment	
44.	Please br	iefly describe up to three key mechanisms your agency used in 2013—14 to support alignment y business with stakeholder needs.	
	i)		
	•••		
	ii)		
	***		
	iii)		
	***		
		There is a second of the secon	
45.	Please br	riefly describe up to three key mechanisms your agency used in 2013—14 to support alignment on across business units at all levels.	
	i)		
	ii)		
	iii)		
	•••		

This year's State of the Service report will include a number of case studies to highlight key themes and concepts within the report. Case studies will be included in the report based on how well they illustrate the key theme or concept under discussion.

It should be noted, provision of a case study to the Australian Public Service Commission does not guarantee its inclusion in the report. A compendium of all case studies received, however, will be published on the Commission's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the State of the Service report on 1 December 2014.

- 46. Does your agency have a case study related to agency capability that you would like to highlight in the State of the Service report?
  - O1 Yes
  - O 2 No [Please skip to next section]

47. Please include a brief description and the details of a relevant portion Commission will follow up with this contact post the agency survivate of the Service report. Thank you.	oint of contact for this case study. The rey and during the preparation of the
	g 200 ( ) - 44°
Comment Box E: Agency Capability	A CONTRACTOR OF THE CONTRACTOR

### F. OPEN GOVERNMENT

- 48. Did your agency provide any guidance material for employees in 2013–14 on the use of social media and networking tools? [Please select all that apply]
  - O 1 Yes—technical guidance on how to use social media and networking tools
  - O 2 Yes—guidance on how to represent yourself online (e.g. consistent with the APS Values and APS Code of Conduct) when using social media and networking tools for work purposes
  - O 3 Yes—guidance on how to represent yourself online (e.g. consistent with the APS Values and APS Code of Conduct) when using social media and networking tools in a professional capacity for non-job related purposes (e.g. in forums where participants call on their professional expertise in areas such as management, engineering or science.)
  - O 4 Yes—guidance on how to represent yourself online (e.g. consistent with the APS Values and APS Code of Conduct) when using social media and networking tools for other personal or home use (not including professional network participation)
  - O 5 Yes—as part of more general information technology guidance
  - O 6 Being developed
  - O7 No
- 49. Did your agency provide any formal training to employees in 2013–14 on the use of social media and networking tools for work purposes?
  - O 1 Yes-whole agency
  - O 2 Yes-part agency
  - O 3 Being developed
  - O4 No
  - O 5 NA—employees do not have access to social media and networking tools in the workplace
- 50. How many Freedom of Information (FOI) requests did your agency receive in 2013–14? [Please enter the number of requests—enter '0' if none received]

This year's State of the Service report will include a number of case studies to highlight key themes and concepts within the report. Case studies will be included in the report based on how well they illustrate the key theme or concept under discussion.

It should be noted, provision of a case study to the Australian Public Service Commission does not guarantee its inclusion in the report. A compendium of all case studies received, however, will be published on the Commission's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the State of the Service report on 1 December 2014.

- 51. Does your agency have a case study related to open government that you would like to highlight in the State of the Service report?
  - O1 Yes
  - O 2 No [Please skip to next section]

52.	Please include a brief description and the details of a relevant point of contact for this case study. The Commission will follow up with this contact post the agency survey and during the preparation of the State of the Service report. Thank you.
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Con	nment Box F: Open Government
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	metry's environmental years, and a factorial last
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	. March on the left of the control o

# G. WORKPLACE DIVERSITY

53.	Did your a	gency have a workplace diversity programme in place during 2013–14?
	01	Yes—whole agency
	02	Yes—part agency
	03	Being developed [Please go to question 55]
	04	No [Please go to question 55]
54.	Is your ag	ency's workplace diversity programme published on your agency's external website?
	01	Yes
	02	No programme 3 segú el mos la
55.		hods did your agency use to improve employee disclosure of diversity status in 2013–14?
	01	Modified the agency's human resource system
	02	Directly encouraged employees to update their diversity status on the agency's human resource system [Please specify how many times employees were encouraged to update]
	03	Enlisted managers to encourage employees to update their diversity status on the agency human resource system
	04	Promoted the value of employee disclosure of diversity status
	05	Clarified definitions of diversity to employees
	06	Other [Please specify]
	07	None of the above
56.	During 20	13-14, how many Indigenous Australians applied for positions within your agency?
57.	During 20	13-14, how many people with disability applied for positions within your agency?
Em	ployment	of Indigenous Australians
58.	Did your a	gency have a formal Indigenous Employment Strategy (IES) <sup>5</sup> in place during 2013–14?
	01	Yes—whole agency [Please provide a copy]
	02	Yes—part agency [Please provide a copy]
	03	Being developed [Please go to question 60]
	04	No [Please go to question 60]
59.	Is your ag	ency's IES published on your agency's external website?
	01	Yes
	02	No

<sup>&</sup>lt;sup>5</sup> An Indigenous Employment Strategy focuses on the agency and asks what it is doing to improve the way it employs and retains Indigenous Australians. Its emphasis is internal i.e. what workplace environment, recruitment and retention issues are affecting Indigenous employees in this agency.

- 60. In 2013–14 were your agency's Indigenous employment practices incorporated into: [Please select all that apply]
  - O 1 Reconciliation Action Plan<sup>6</sup>
  - O 2 Workplace diversity programme
  - O 3 Other document(s) [Please specify] .....
  - O 4 Not incorporated into any documents
- 61. During 2013–14, did your agency use any of the following measures to recruit and/or retain Indigenous Australians?

The multisamp of to parced bet	Yes— whole agency	Yes— part agency	Being Developed	No	Not applicable (no Indigenous employees)
a. Special measures <sup>7</sup> employment	01	02	03	04	0.5
b. Identified positions <sup>8</sup>	01	02	03	04	05
c. Advertising employment opportunities in Indigenous media (e.g. print, radio)	01	. 02	03	04	05
<ul> <li>d. Participating in the Australian Public Service Commission's Pathways whole of government Indigenous recruitment initiatives for entry level, cadet and graduate recruits</li> </ul>	01	02	03	04	O 5
e. Operating an internal agency-based Indigenous employee network	01	02	03	04	O 5
f. Providing culturally specific training programmes for Indigenous employees	01	02	03	04	05
g. Providing targeted leadership development opportunities	01	02	03	04	05
h. Providing mentoring and/or coaching to Indigenous employees	01	02	03	04	O 5
Providing mobility and/or secondment opportunities into mainstream positions	01	02	03	04	O 5
j. Encouraging staff to participate in cultural events (e.g. Reconciliation Week, National Aboriginal and Islander Day Observance Committee [NAIDOC] Week etc.)	01	02	03	04	O 5
k. Other recruitment and/or retention strategies	01	02	03	04	05

<sup>&</sup>lt;sup>6</sup> A Reconciliation Action Plan (RAP) is a tool to help agencies build positive relationships between Indigenous and non-Indigenous Australians. A RAP represents a framework for identifying specific actions and realistic targets to contribute to the improvement of the lives of Indigenous Australians in a wider sense, rather than solely at the agency business level.

Public Service Commissioner's Directions 4.2, 4.3 and 4.6A allow agency heads to limit specified employment opportunities to Indigenous applicants within the framework provided by Commonwealth discrimination legislation.

Agency heads can create positions that require an understanding of Indigenous culture and issues faced by Indigenous Australians, and the ability to deal effectively and sensitively with these issues. While it is likely that these positions will be occupied by Indigenous Australians, recruitment is on the basis of merit and is not confined to Indigenous Australians.

67. Is your agency a member of any Indigenous business directories and/or organisations?

O 1 Yes [Please specify which directories and/or organisations]

O 2 No [Please go to question 69]

68.	Please specify the number, and total value	e, of contracts sourced through these memberships in	n
	2013–14:	Transcript to seller in the property of the	
	2 0 8		

Contracts				•										•		
Total value		_														

### Employment of people with disability

- 69. Did your agency have a formal strategy in place (e.g. a Disability Action Plan) for the employment of people with disability during 2013–14?
  - O 1 Yes-whole agency
  - O 2 Yes-part agency
  - O 3 Being developed
  - O4 No
- 70. During 2013–14, did your agency use any of the following measures to promote the employment of people with disability:

	Yes whole agency	Yes— part agency	Being Developed	No	Not applicable (no employees with disability)
Provided opportunities for people with disability to gain skills and experience under an agency-based employment scheme	01	02	03	04	O 5
b. Identified a SES employee to act as a senior level advocate for employees with disability	01	02	03	04	O 5
c. Provided a documented and clear process for employees with disability to request reasonable adjustments	01	02	O 3	04	O 5
d. Operated an agency network for employees with disability	01	02	03	04	05
e. Agreed to participate in the RecruitAbility Scheme trial	01	02	03	04	0.5
f. Other	01	02	03	04	05

71. Which of the following strategies did your agency use in 2013–14 to promote to internal stakeholders the business case for employing people with disability?

[Please select all that apply]

- O 1 Awareness raising through training programmes
- O 2 Information on the intranet
- O 3 Use of promotional material (e.g. pamphlets)
- O 4 Formal speeches
- O 5 Other
- O 6 No strategies used

- 72. During 2013–14, did your agency apply the RecruitAbility scheme to any advertised vacancies?
  - O 1 Yes [Please specify the number of vacancies] ......
  - O2 No
- 73. Which of the following covered the cost of any reasonable adjustments in 2013–14?
  - O 1 Central budget
  - O 2 Unit level budget
  - O 3 JobAccess—employment assistance fund
  - O4 Other
  - O 5 Not applicable—no reasonable adjustments required
- 74. Does your agency offer disability awareness (including mental illness) programmes to employees?

	Mandatory	Voluntary	Being Developed	No
a. Yes—for all employees	01	02	03	04
b. Yes—for employees new to the agency	01	02	O 3	04
c. Yes—other [Please specify which group(s) of employees undertake these programmes]	01	02	O 3	04
	lio li	O Naws	troop rel certain	

- 75. Did your agency employ any people with disability through a disability employment service provider on an **ongoing** basis during 2013–14?
  - O 1 Yes [Please specify the number] .....
  - O2 No
  - O 3 Data not collected
- 76. Did your agency employ any people with disability through a disability employment service provider on a **non-ongoing** basis during 2013–14?
  - O 1 Yes [Please specify the number] .....
  - O2 No
  - O 3 Data not collected
- 77. Did your agency employ any people with disability through a disability employment service provider under clause 4.3A of the Australian Public Service Commissioner's Directions during 2013–14?
  - O 1 Yes [Please specify the number] .....
  - O2 No
  - O 3 Data not collected
- 78. Did your agency employ any people with an intellectual disability through special employment measures under clause 2.16 of the Australian Public Service Commissioner's Directions during 2013–14?
  - O 1 Yes [Please specify the number] .....
  - O2 No
  - O 3 Data not collected

#### Women in leadership

79. Please indicate the number of men and women in your agency's senior leadership group, irrespective of their classification level (excluding EA and other support staff):

Level	Male	Female
a. Agency head	Anaba Asiasia	
b. Direct report to agency head	ca - Fo	a to to it is manage a security in a si
c. Direct reports to those who report directly to the agency head		rodically remains of the something at the something of th

Comment box G: \	Workplace Dive	ersity	simment of the commence of the
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413			Maria and agrandors had section that a mariatrophical fallor repend

# H. PERFORMANCE MANAGEMENT

80. Did your agency have the following measures in place in 2013-14 to assist in implementing its

performance management system?

	Yes—whole agency	Yes—part agency	Being developed	No
a. A formal performance appraisal process that is documented and conducted periodically	01	02	O 3	04
b. A performance culture where managers and staff engage in regular feedback and discussion on an informal basis	01	02	O 3	04
c. Multi-source feedback for managers	01	02	03	0 4
d. A clear statement of performance expectations derived through discussion with staff	01	02	O 3	04
e. Performance appraisals which include key role accountabilities and performance indicators	01	02	03	04
f. Measures that encourage the active management of high performance and talent among employees	01	02	O 3	0 4
g. Processes that encourage the active management of underperforming staff	01	02	O 3	0 4
h. Support and assistance for line managers to develop skills in performance management	01	02	03	04
<ul> <li>i. Individualised learning and development plans</li> </ul>	01	02	O 3	0 4
<ul> <li>j. Linkages between performance assessment and salary progression</li> </ul>	01	O 2	O 3	0 4
k. A performance bonus scheme for individuals	01	02	O 3	0 4
<ol> <li>Review and reward of groups/teams as well as individuals</li> </ol>	01	0 2	O 3	0 4
<ul> <li>m. A reward and recognition system that is not linked to financial rewards</li> </ul>	01	O 2	O 3	04
n. A process to help ensure consistency in assessment	01	02	O 3	0 4
Periodic evaluation of the performance management system	01	O 2	O 3	04
p. Training for staff in receiving feedback	01	O 2	03	04
q. Training for managers in providing feedback, specifically when managing underperforming staff	01	O 2	O 3	04
r. Other	01	02	03	04

81. Did your agency have the following mechanisms in place during 2013–14 to ensure line managers implement the agency's performance management systems?

	Yes—whole agency	Yes—part agency	Being developed	No
A defined timeline for the completion of agreement phases	01	02	03	04
b. Key performance indicators relating to performance management included in line managers' performance agreements	01	02	03	04
c. Agency-wide performance indicators relating to performance management included in more senior managers' performance agreements	01	02	03	04
d. Managers rewarded for superior staff management skills	01	02	03	04
Managers with a need to improve their staff management skills identified and assistance provided	01	02	O 3	04
f. Compliance with agency performance management directives tested (e.g. in staff surveys)	01	02	03	04
g. An electronic system (i.e. computer based performance management system)	01	02	03	04
h. Other [Please specify]	01	02	03	04

- 82. Is your agency's performance appraisal process linked to any of the following functions? [Please select all that apply]
  - O 1 Outcomes sought by government
  - O 2 Agency operational or strategic planning (short or long term)
  - O 3 Agency learning and development activity planning
  - O 4 Work unit planning
  - O 5 Succession planning
  - O 6 Identification of skill imbalances across agency
  - O 7 Identification and management of high performers
  - O 8 Identification and management of low performers
  - O 9 Development of talent management strategies
  - O 10 Development of underperformance management strategies
  - O 11 Other
  - O 12 None of the above

2014 State of the Service Agency Survey - 10 June to 25 July 2014

<sup>&</sup>lt;sup>9</sup> Employees here refer to the relevant number of people employed directly by your agency during 2013–14.

INCLUDE	EXCLUDE
Full-time and part-time ongoing employees paid through payroll.	Non-ongoing staff engaged for duties that are irregular or intermittent.
Full-time and part-time non-ongoing employees paid through payroll who are engaged for a specified term or for the duration of a specified task.	Workers paid through a third party.

88. How many of the employees who were the subject of a finalised underperformand question 87, had the following outcome?	ce process reported in
Type of outcome	Number of employees
a. Termination of employment	
b. Reduction in classification	
c. Deferral of pay progression	(iii
d. Re-assignment of duties	
e. Process successful; performance improved to acceptable standard	D hopes and personally
f. Other [Please specify]	mere worm that a state
89. What mechanisms did your agency put in place in 2013–14 to build a culture of p management?	
90. Please describe up to three steps taken by your agency in 2013–14 to improve the giving and receiving feedback:  i)	
ii)	
iii)	erencia de la compresa
91. Please describe up to three steps taken by your agency in 2013–14 to improve the developing performance agreements:	ne skills of managers in
i)	
ii)	
iii)	

92.	struct	urin	g work	p to three steps taken by your agency in 2013–14 to improve the skills of managers in (structuring work is an APS core skill, for example, planning and prioritising work, resource and time management and risk management):
	i) ·			
	ii)			
	iii)			
cond	cepts w	ithin	the rep	Service report will include a number of case studies to highlight key themes and ort. Case studies will be included in the report based on how well they illustrate the inder discussion.
guar on th	antee ine Com	ts in	clusion sion's S	vision of a case study to the Australian Public Service Commission does not in the report. A compendium of all case studies received, however, will be published OSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the port on 1 December 2014.
93.	highlig	ht in	the Sta	have a case study related to performance management that you would like to ate of the Service report?
	12.7	COUNTY OF	Yes No [PI	ease skip to next section]
94.	Comm	nissi	on will fo	orief description and the details of a relevant point of contact for this case study. The bllow up with this contact post the agency survey and during the preparation of the se report. Thank you.
			******	
Com	ment	Вох	H: Per	ormance Management
				Charles Jest 2011 of the latest made by your agency in 2012. In to have the latest agency of the latest agreements.

# I. WORKFORCE PLANNING

95.	How many	voluntary redundancies did your agency offer in 2013–14? [if none, please go to question 9
		Out Desired with otherwise greaters are as an experienced and the contract of
96.		y employees in your agency expressed an interest in accepting an offer of voluntary by in 2013–14?
		the manufacture of the property of the second and the second of the seco
97.	How many	voluntary redundancies were accepted by employees in 2013–14?
98.	Did your a	gency have a documented workforce plan for 2013–14?
	01	Yes—whole agency [Please provide a copy of your workforce plan]
	02	Yes—part agency [Please provide a copy of your workforce plan]
	03	Being developed [Please go to question 103]
	04	No [Please go to question 103]
99.	Was this y	your agency's first documented workforce plan?
	01	Yes
	02	No
100	Mhat is th	e timeframe for your agency's workforce plan?
100.	01	One year
	02	Two years
	03	
		Three years
	04	Four or more years
101.	How does	your agency evaluate the effectiveness of your workforce plan?
		tel el l'illa messam comune roducte ante la formalisment per la recept attain conscionat patra
•••••	• • • • • • • • • • • • • • • • • • • •	
102.	Does this	workforce plan cover 2014–15?
	01	Yes [Please go to question 104]
	02	No
103.	Is your age	ency developing a workforce plan for 2014–15?
	01	Yes
	02	No

404	Diagon only	ect up to five of the greatest workforce risks facing your agency in the next five years.
104.	IPlease se	lect up to five options only]
		Addressing capability gaps due to a reduction in agency size
	02	Addressing capability gaps due to a changing operating environment
	0.3	Inadequate resources for changing business needs
	04	Dealing with changing business needs due to varying needs of clients
	05	Skill shortages which impact on agency capability
	06	Recruiting appropriately skilled people
	07	Loss of corporate knowledge or talent due to retirement
	08	Retaining appropriately skilled employees
	09	Underdeveloped management or leadership capability among middle managers
		Retaining employees with a high potential for succession
	0.11	Limited career advancement or mobility opportunities for employees
		Other
		trade on transport and the value of the second of the seco
105	Which of the progressing	he following is the greatest challenge experienced by your agency in implementing and/or g workforce planning:
	01	Uncertainty about the future
	02	Difficulties mapping current capabilities in order to predict future capability requirements
	03	A lack of workforce planning experience
	04	Resources, time or cost involved in undertaking the task
	05	Limitations of the existing human resources management system
	06	Changes in funding or staffing
	07	Other [Please specify]
con	cepts within	te of the Service report will include a number of case studies to highlight key themes and the report. Case studies will be included in the report based on how well they illustrate the procept under discussion.
gua on t	rantee its in the Commis	ted, provision of a case study to the Australian Public Service Commission does not aclusion in the report. A compendium of all case studies received, however, will be published sion's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the rvice report on 1 December 2014.
106	. Does your	r agency have a case study related to workforce planning that you would like to highlight in the see Service report?
		Yes
	02	No [Please skip to next section]
	Commissi State of th	clude a brief description and the details of a relevant point of contact for this case study. The fon will follow up with this contact post the agency survey and during the preparation of the service report. Thank you.
Charles		

Comment Box I: Workforce Planning	TALENT MANAGEMENT	
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	Hamilto Carrier of Chelland (1974)	

# J. TALENT MANAGEMENT

108. Did your a apply]	gency have an active talent management strategy in 2013-14? [Please select all that
01	Yes—for SES only
	Yes—for EL2
03	Yes—for other employees [Please specify]
04	Being developed [Please go to question 110]
05	No [Please go to question 110]
	no [i iouco go to queen ]
109. What was	the main purpose of your talent management strategy?
01	Building leadership bench strength
02	Retaining high potential employees
03	Development of high potential employees
04	Better understanding of the workforce
0.5	Other
110. Please bri	efly describe any key skill set gaps identified by your agency at the SES level:
111. Please bri	efly describe the key challenges your agency faces in developing talent in 2013-14:
110 Dloose bri	efly describe any key strategies employed by your agency to develop talent in 2013–14:
112. Please bit	elly describe any key strategies employed by your agency to develop talent in 2010 11.
70,000,000,000,000	

113. Have taler	nt management practices in your agency changed in the past 3 years?
01	Yes—commenced talent management
02	Yes—improved existing talent management
03	No—already had a mature talent management approach [Please go to question 115]
04	No—don't do talent management [Please skip to next section]
05	Other [Please specify]
APS appro	13–14 did the APSC Strategic Centre for Leadership, Learning and Development's whole-of- bach to talent management influence practices in your agency? elect all that apply]
01	Yes—agency used APSC criteria (performance, ability, aspiration and engagement) to identify talent/high potential
02	Yes—agency used/adapted APSC nomination process for talent management
O 3	Yes—agency adopted/adapted APSC talent management principles
04	Yes—agency targeted different cohorts than the APSC
O 5	Yes—other [Please specify]
06	No—agency has not been influenced by the APSC approach
concepts within	e of the Service report will include a number of case studies to highlight key themes and the report. Case studies will be included in the report based on how well they illustrate the oncept under discussion.
guarantee its incon the Commiss	ed, provision of a case study to the Australian Public Service Commission does not clusion in the report. A compendium of all case studies received, however, will be published sion's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the vice report on 1 December 2014.
	agency have a case study related to talent management that you would like to highlight in fithe Service report?
01	
02	No [Please skip to next section]
Commission	lude a brief description and the details of a relevant point of contact for this case study. The on will follow up with this contact post the agency survey and during the preparation of the e Service report. Thank you.
,	

omment Box J: Talent	Management
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### K. RECRUITMENT AND RETENTION

- 117. Which of the following did your agency use to measure recruitment performance in 2013–14? [Please select all that apply]
  - O 1 Time-to-fill statistics (e.g. time to finalise competitive selection exercises or time to fill positions)
  - O 2 Cost of recruitment activities
  - O 3 Advertising effectiveness (e.g. numbers of applications received, interviews conducted or suitable candidates achieved)
  - O 4 Feedback from selection advisory committees
  - O 5 Feedback from new recruits
  - O 6 Feedback from line areas and management on the recruitment process
  - O 7 Feedback from unsuccessful candidates on their satisfaction with the recruitment process (e.g. perceived fairness of the process, feedback received)
  - O 8 Probation reports on new recruits
  - O 9 Statistics on recruitment outcomes (e.g. internal/external candidate ratio, retention, turnover of new recruits)
  - O 10 Evaluation of bulk recruitment rounds
  - O 11 Feedback from employee surveys (e.g. on attraction factors or recruitment processes)

efly describe the key impact experienced by your agency of the slowed recruitment environment in APS in 2013–14:

- 119. Did your agency use any strategies in 2013-14 to improve retention?
  - O 1 Yes-whole agency
  - O 2 Yes-part agency
  - O 3 Being developed [Please go to question 121]
  - O 4 No [Please go to question 121]

120. If your agency used any of the following retention strategies, please indicate whether these strategies were effective:

	Yes	No	NA
a. Management or leadership training	01	02	03
b. Study assistance	01	02	03
c. Inclusion of flexible work practices in industrial agreements	01	02	03
d. Wellbeing programmes	01	02	03
e. Internal mobility opportunities	01	02	03
f. Retention allowances in industrial agreements	01	02	03
g. Project allowances	01	02	03
h. Provisions for employees in remote locations	01	02	03
i. Performance management system	01	02	03
j. Performance bonuses	01	02	03
k. Other	01	02	03

### Exit interviews/surveys

121.	During 2013-14,	did your agency	conduct any	exit interviews/s	urveys for	employees	leaving the	3
	agency? [Please	select all that a	ipply]					

- O 1 Yes-exit interviews
- O 2 Yes-agency-specific exit surveys
- O 3 Yes-APS Exit Survey
- O 4 No [Please go to next section]

122. When were	the exit interviews/surve	ys undertaken? [F	Please sel	ect all that	apply
----------------	---------------------------	-------------------	------------	--------------	-------

- O 1 Prior to employees leaving the agency
- O 2 After employees had left the agency

common reasons v	ne any themes your agenc why employees were leavir y groups, genders, classi	ng the agency including a	ny particular difference	

Comment Box K: Recruitment and Retention	LEARWING AND U
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the same of the formation of the political and the political and the same of t	

## L. LEARNING AND DEVELOPMENT

business/agency strategy during 2013-14?

O 1 Yes—whole agency
O 2 Yes—part agency

124. Did your agency have a formal learning and development plan in place that was linked to

O 3 Being developed O 4 No
125. Please briefly describe any key workforce learning and development needs identified by your agency:
126. Please briefly describe the key strategies employed by your agency to meet these learning and development needs in 2013–14:
127. Please briefly describe the key challenges your agency faced in meeting these learning and development needs in 2013–14:
128. In your agency, is employee development viewed as the responsibility of managers?  O 1 Yes  O 2 No [Please go to question 130]
129. Please briefly describe the strategies your agency uses to make employee development the responsibility of managers:

	14, did your agency use any of the following strategies to encourage learning through on-the- ience? [Please select all that apply]
01	
02	Participating in working groups, committees or taskforces
03	Work placements
04	Community activities or volunteering
05	Other [Please specify]
	14, did your agency formally evaluate the extent to which learning takes place through on-the iences, tasks, and problem solving?
01	Yes [Please specify]
02	No argue estemberg dest employ of a property of the
	gency, is investment in leadership, learning and development linked to the APS Leadership Skills Strategy 2012–13? <sup>10</sup>
01	Yes—whole agency
02	Yes—part agency
03	No [Please go to question 134]
	the APS Leadership and Core Skills Strategy 2012–13 influenced your agency's internal to learning and development? [Please select all that apply]
01	Agency efforts directed to cohorts that are not targeted in the strategy
02	Agency efforts directed to developing capabilities and skills that are not targeted in the strategy
03	Agency aligned internal leadership development approaches to the strategy
04	Agency adopted the frameworks in the strategy
05	Agency developed a new complementary internal strategy
06	Agency realigned its existing strategy
07	Reduced effort developing leadership programmes due to those being implemented under the strategy
0 8	Reduced effort developing management programmes due to those being implemented under the strategy
09	Reduced effort developing core and foundation skills programmes due to those being implemented under the strategy
0 10	Other [Please specify]

<sup>10</sup> http://www.apsc.gov.au/learn/leadership-and-core-skills

134.		ne following APS core skills learning programmes do you intend to run in your agency in the onths (2014–15)? [Please select all that apply]	
	01	APS Ethics and Values	
	02	Understanding government	
	03	Performance management	
	04	Dealing with change	
		Working in teams	
	06	Working with diversity	
	07	Structuring work	
	0.8	Compelling communications	
	09	Building relationships and engagement	
	0 10	Coaching and developing others	
	0 11	Building and leading high performance teams	
	0 12	Planning and managing change	
	0 13	Getting the most out of diversity	
	0 14	Briefing and responding to APS decision-makers, ministers and parliament	
	0 15	Working with the Minister	
	0 16	Developing Cabinet submissions	
	0 17	Appearing before parliamentary committees	
	O 18	Managing relationships with Ministers, stakeholders and others	
	0 19	None of the above [Please briefly describe the reasons none of the above apply]	
		C	
		U.S. Agency Affords displaying developing anyone and a second and the second and	
		ge till	
135.	Which of the implemental	ne following are the greatest workforce challenges experienced by your agency in ing and/or progressing APS core skills learning programmes? [Select all that apply]	
	01	Uncertainty about the future	
	02	Difficulties mapping current capabilities in implementing core skills programmes	
	03	Internal learning and development capability	
	04	Existing contractual arrangements	
9	05	Relevance to business needs	
4	06	Other [Please specify]	
1			

136. Which of the following skills are the top learning and development priorities for your agency in the next 12 months (2014–15)? [Please select up to five skills in each category]

### Core Skills

- O 1 APS Ethics and Values
- O 2 APS Legal and Ethical Frameworks
- O 3 APS decision-making
- O 4 APS risk management
- O 5 Procurement essentials
- O 6 Contract management
- O 7 Understanding government
- O 8 Compelling communications
- O 9 Building relationships and engagement
- O 10 Working in teams
- O 11 Working with diversity
- O 12 Dealing with change
- O 13 Working with stakeholders
- O 14 Working across agencies/boundaries
- O 15 Professional public service skills: policy, delivery, regulation
- O 16 Developing business cases
- O 17 Analytical thinking
- O 18 Structuring work
- O 19 Other [Please specify] .....

### Management skills

- O 20 Accountability in the public sector
- O 21 Creating public value
- O 22 Financial management and budgeting
- O 23 Project and programme management
- O 24 Designing government business models
- O 25 Business acumen—purchasing services for government
- O 26 Risk management
- O 27 Briefing and responding to APS decision-makers, ministers and parliament
- O 28 Working with the Minister
- O 29 Developing Cabinet submissions
- O 30 Appearing before parliamentary committees
- O 31 Coaching and developing others
- O 32 Building and leading high performance teams
- O 33 Planning and managing change
- O 34 Getting the most out of diversity
- O 35 Organisational design
- O 36 Programme evaluation
- O 37 Other [Please specify] .....

This year's State of the Service report will include a number of case studies to highlight key themes and concepts within the report. Case studies will be included in the report based on how well they illustrate the key theme or concept under discussion.

It should be noted, provision of a case study to the Australian Public Service Commission does not guarantee its inclusion in the report. A compendium of all case studies received, however, will be published on the Commission's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the State of the Service report on 1 December 2014.

- 137. Does your agency have a case study related to learning and development that you would like to highlight in the State of the Service report?
  - O1 Yes
  - O 2 No [Please skip to next section]

	Succession of the Company of the Com
138. Please include a brief description and the details of a Commission will follow up with this contact post the State of the Service report. Thank you.	a relevant point of contact for this case study. The agency survey and during the preparation of the
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	Alect Control Solding to the Control Solding S
Comment Box L: Learning and Development	Data com Picana conclud
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	m in externion see
The state of the s	

### M. LEAVE MANAGEMENT

The questions in this section ask for employee numbers on the basis of average full time equivalent. If you cannot provide information on this basis of average full time equivalent please use average headcount.

Please use the following definitions for employee numbers:

Average full-time equivalent (FTE) refers to the average number of FTE employees in your agency during 2013–14. Part-time employees are converted to full-time equivalents.

#### Include:

All active full-time and part-time ongoing employees paid through payroll.

Part-time employees need to be converted to FTE based on actual hours worked.

All active full-time and part-time **non-ongoing** employees paid through payroll who are **engaged for a** specified term or for the duration of a specified task.

Part-time employees need to be converted to FTE based on actual hours worked.

#### Exclude:

Overtime.

Non-ongoing staff engaged for duties that are irregular or intermittent.

Workers paid through a third party.

Employees on unpaid leave.

Agency heads, statutory office holders and committee officers.

If your agency is relatively stable in size, then average staffing numbers can be calculated by averaging the number of people who are on the agency's payroll (excluding non-ongoing staff who are engaged for duties that are irregular or intermittent) at 1 July 2013 and 30 June 2014. Otherwise, if your agency has experienced some major change in workforce composition, then it may be necessary to calculate the average number of employees using the pay periods that fall within the period 1 July 2013 and 30 June 2014 (excluding non-ongoing staff who are engaged for duties that are irregular or intermittent).

If there are substantial numbers of employees operating under different agreed standard work hours (e.g. part-time employees), it is necessary to pro-rata the number of hours per employee. The following example illustrates how this can be done.

#### Example:

Standard hours per fortnight = 75 hours and there are 200 staff.

But this includes 20 part-time staff:

10 staff work 40 hours per fortnight

 $10 \times (40 \text{hrs}/75 \text{hrs}) = 5.33 \text{ FTE}$ 

6 staff work 30 hours per fortnight

 $6 \times (30 \text{hrs}/75 \text{hrs}) = 2.40 \text{ FTE}$ 

4 staff work 25 hours per fortnight

4 x (25hrs/75hrs) = 1.33 FTE

Therefore, total FTE =

= 180 (full-time staff) + [5.33 + 2.40 + 1.33] (part-time staff)

= 189.06 FTE

For further information please see the Common Workforce Metrics Guide on the Commission's website <a href="http://www.apsc.gov.au/publications-and-media/current-publications/workforce-analysis/appendix-a">http://www.apsc.gov.au/publications-and-media/current-publications/workforce-analysis/appendix-a</a>

Average headcount refers to the	e average number of people employed directly by your	agency during
2013–14. Average headcount inchours worked, other working arra	licates the number of employees working for the organisatio	n, regardless of
Include:		
All full-time and part-time ongoing	g employees.	
All full-time and part-time non-on a specified task.	going employees who are engaged for a specified term or fo	or the duration of
Exclude:		
Overtime.		
Non-ongoing staff engaged for de	uties that are irregular or intermittent.	
Workers paid through a third part	y.	
Agency heads, statutory office ho	olders and committee officers.	
For further information please se	e the Common Workforce Metrics Guide on the Commission ons-and-media/current-publications/workforce-analysis/appe	n's website endix-a
139. Please list the number of av [If average FTE is not avail	rerage FTE APS employees in your agency in 2013–14.	
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FTE [or		o figural to fise
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		and the second s
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<sup>&</sup>lt;sup>11</sup> See page 49 for definition of FTE and Headcount.

142. Please complete the following table relating to maternity and parental leave taken by employees in 2013–14:

Leave type*	Number of employees	FTE days** PAID	Headcount days (only if FTE days not available) PAID	FTE** days UNPAID	Headcount days (only if FTE days not available) UNPAID
a. Maternity	1 2010				COLSESSED AND STREET
b. Parental leave (excluding maternity)					

<sup>\*</sup> Maternity and parental leave does not include carer's leave. If your agency does not collect this information please enter NA in the appropriate cell.

### Unscheduled leave

<u>Unscheduled leave</u> is defined as leave taken in recognition of circumstances that can generally arise irregularly or unexpectedly, making it difficult to plan, approve or budget for in advance, and which is inclusive of planned medical procedures.

For the purposes of this survey, please use the following definitions for absence type.

**Sick**—A workplace absence, <u>regardless of duration</u>, whether paid or unpaid, due to personal illness or injury or to undergo a planned medical procedure.

Carer's—A workplace absence, <u>regardless of duration</u>, whether paid or unpaid, to provide care or support for a member of the employee's immediate family or household who requires care or support.

Compensation—A workplace absence resulting from personal injury or disease sustained out of, or in the course of, employment (i.e. work related) and accepted by Comcare. This leave includes the total number of days or part-days an employee is absent from work due to incapacity. It <u>excludes</u> time spent at work on rehabilitation programmes, where rehabilitation takes place at the workplace in paid employment.

Specific types of miscellaneous/other—A workplace absence, regardless of duration, whether paid or unpaid, that is taken upon the death of a member of the employee's immediate family or household (bereavement), or to spend time with a seriously ill, injured or dying person who is a member of the employee's immediate family or household (compassionate), or in the event of an unexpected emergency.

**Unauthorised absence**—A workplace absence, <u>regardless of duration</u>, whether paid or unpaid, that given the circumstances is not supported or approved by management. For example an absence due to participation in workplace disputes.

Leave taken for the following events are *not* to be included:

- Annual/recreational leave
- Long service leave
- Adoption leave
- Purchased leave
- Maternity, paternity and parental leave
- War service leave
- Leave to move house, for study/exams, graduation, ceremonial, jury duty, emergency services duty and Defence Force reserve training/service.

These events are considered either planned events, or as in the case of emergency services duty and Defence Force reserve training/service, are actively supported by the APS.

<sup>\*\*</sup> Where there was no maternity or parental leave in your agency, please enter '0'.

143. Please list the total number of FTE days in 2013-14 for each of the following unscheduled leave categories for APS employees in your agency.

[If FTE is not available, use headcount]

If your agency's human resource system does not allow your agency to report unscheduled leave by the following categories, please indicate here and enter the total number of days of unscheduled leave in your agency in 2013-14 in the total row of the table below.

Unscheduled leave type	FTE days*	Headcount days*  (only if FTE days not available)
a. Sick		gotan
b. Carer's		
c. Compensation	materials agent's leader thyrou	ton and primarile you does not
d. Specific types of miscellaneous/other		
e. Unauthorised absence		
f. Total 2013-14 unscheduled leave		· Setal beinber

<sup>\*</sup> Where there was no unscheduled leave of a particular type in your agency, please enter '0'.

144. During 2013-14, did your agency report the following data relating to unscheduled leave:

Category	Yes at agency level	Yes at group/division level	Yes at lower level	No	Not able to disaggregate data
a. Days of the week leave was taken	01	02	03	04	O 5
b. Sex of employees taking leave	01	02	O 3	04	05
c. APS classification of employees taking leave	01	02	O 3	04	O 5
d. Age of employees taking leave	01	O 2	О3	04	05
e. Work location (by state/region) of employees taking leave	01	02	O 3	04	05
f. Job family/occupation group	01	02	O 3	04	0.5

145. Which of the following strategies did your agency use in 2013-14 to manage unscheduled leave:

	Yes— whole agency	Yes—part agency	Being Developed	No
Promoted a balanced view of workplace absence (i.e. support for genuinely sick or injured employees while deterring any discretionary absence)	01	02	03	04
<ul> <li>Built on an understanding of the underlying causes of workplace absence and the impact of culture, practices and leadership</li> </ul>	01	02	O 3	04
c. Implemented the short and longer term practices needed to address the underlying causes of workplace absence	.01	02	03	04
d. Communicated agency expectations and approach to managing workplace absence	01	02	03	04
e. Clearly defined roles and responsibilities for line managers in managing workplace absence	01	02	03	04
f. Provided support and training to line managers to build their capability to actively address any problematic absences	01	02	03	04
g. Monitored workplace absence, identified trends and highlighted areas for further investigation [If selecting 'Yes', please specify the lowest classification level within your agency that this information was made available to, and how frequently it was made available to that level]	01	02	O 3	04
h. Raised awareness of health and safety issues and promoted employee wellbeing	01	02	O 3	04
i. Other [Please specify]	01	02	О 3	04
j. None [Please go to question 149]	01	02	03	04

146. Which of the strategies used by your agency to manage <b>unscheduled</b> effective and why?	leave in 2013–14 were the	ne most
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147. During 2013–14, did your agency formally evaluate any of its unscheduled leave strategies?

- O1 Yes
- O 2 No [Please go to question 149]

148. What were the findings of the formal evaluation (e.g. effectiveness, any areas for improvement etc.)?
, Geronia Enterior acord
Managing long-term leave
149. If your agency used any strategies to specifically manage employees while on long term leave (e.g. maternity leave, compensation leave, etc.) in 2013–14, which strategies were the most effective and
why?
150. If your agency used any strategies to specifically manage employees returning to work after long term leave (e.g. maternity leave, compensation leave, etc.) in 2013–14, which strategies were the most effective and why?
TO TO 'S CO TO PROTESTING OF THE PARTY OF TH
This year's State of the Service report will include a number of case studies to highlight key themes and concepts within the report. Case studies will be included in the report based on how well they illustrate the key theme or concept under discussion.
It should be noted, provision of a case study to the Australian Public Service Commission does not guarantee its inclusion in the report. A compendium of all case studies received, however, will be published on the Commission's SOSR Exchange website (www.apsc.gov.au/sosr-exchange) post the tabling of the State of the Service report on 1 December 2014.
151. Does your agency have a case study related to leave management that you would like to highlight in the State of the Service report?
O 1 Yes
O 2 No [Please skip to next section]

2014 State of the Service Agency Survey - 10 June to 25 July 2014

152. Please include a brief description and the details of a re Commission will follow up with this contact post the age State of the Service report. Thank you.	elevant point of contact for this case study. The ency survey and during the preparation of the
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	STOCKED COLOR DURING BUILDING TO COLOR OF THE COLOR OF TH
	Services In 6 from 18 yro Medud. 5.0
Comment Box M: Leave Management	and the second s
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N. HEALTH AND	D WELLBEING
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153.	Did your a	gency use any of the following strategies in 2013–14 to accommodate employees with ealth issues? [Please select all that apply]
	01	Flexible working arrangements
	02	Supervisory support and assistance
	03	Social inclusion strategies
	04	Workplace diversity strategies
	05	Up-skilling
	06	Targeted training
	. 07	Health resources and services
	08	Other [Please specify]
	09	None of the above
154.	Does your assessme 1999)?	ragency have any policies or guidance in place relating to fitness for duty or fitness for duty ents (i.e. medical examinations directed under regulation 3.2 of the Public Service Regulations
	01	Yes
	02	No .
155.	Which of t	the following flexible work practices does your agency provide to employees?  elect all that apply]
	01	the office, usually in a home office or an office location that is not their normal workplace)
	02	Home-based work (where employees work from home, not dependent on accessing work IT environment, not necessarily a formal arrangement)
	03	Compressed hours (e.g. working normal full-time hours in a nine-day fortnight)
	04	Purchased leave
	05	Flex-time
	06	Part-time hours
	07	None of these
	08	Other [Please specify]
156	Does you	r agency experience any particular challenges in providing employees with access to flexible
100	work prac	tices?

Comment Box N:	Health and Wellbeing		
			-

Thank you for completing this survey.

If you have any questions about the survey or the State of the Service reporting process more generally, please contact Angie Sturrock at the Commission on (02) 6202 3716 or angela.sturrock@apsc.gov.au

If you have any technical questions about this survey or the website through which the survey is administered, please contact Chris Sadler from ORIMA Research on 1800 806 950.

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Comment Box N: Health and At History

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your lave any questions about the survey or the State of the Service reporting process mure conversity, please contact Angle Surrock at the Commission on (03) 1202 37 15 ...

If you have any included questions about this survey or the website through valor the enrysy is attended to be an account to the same of t