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| <b>Inquiry</b>          | Parliamentary Joint Committee on Corporations and Financial Services,<br>Oversight of the Australian Securities and Investments Commission, the Takeovers Panel and the Corporations Legislation No. 1 of the 46th Parliament |
| <b>Agency</b>           | Australian Securities and Investments Commission  |
| <b>Question No.</b>     | 008   |
| <b>Reference</b>        | Spoken, 18 June 2021, Hansard page reference 38   |
| <b>Committee member</b> | Senator O'Neill   |

### Question

**Senator O'NEILL:** I'll go through the email chain. Just for clarity: at 2.10 on Monday 23 November, Aperion sends a letter to ASIC. At three o'clock, it's sent by the senior manager of the admin law team's chief legal office to Mr Kouts, who then flicks it to the graduate at 3:03. The graduate replies at 3.35. At 9.06 that evening there's a response from Mr Kouts, who I'm assuming is the team leader responsible in this instance. Is that correct?

**Mr Longo:** I'll have to take that on notice.

**Senator O'NEILL:** We're looking at the email chain. If you go to page 4 of 5 you will see the first communication from Aperion Law. Above that is the response, which was sent from the admin law team's chief legal office. It then goes, back on page 3, to Mr Kouts. Is Mr Kouts the person who was responsible for this particular matter?

**Mr Longo:** I'd have to take that on notice, but he would have been a member of the team that was looking at this.

### Answer

Terence Kouts is the Senior Specialist for fundraising and the Senior Manager of Sydney in the Corporations team. He reports to Claire LaBouchardiere, Senior Executive Leader. Terence Kouts was the senior person responsible for the review of the Nux prospectus.