Supplementary Budget Estimates 2019-20 - ASIS questions on notice

No	Senator	BroadTopic	QuestionText
1	Katy Gallagher	External consultants	In relation to the use of all external consultants in the Department or agencies within the portfolio, can the following be provided. a. For each of the last six financial years from 2013-14 to 2018-19, the total amount spent on external consultants, including: i. contracts tagged as a "consultancy". ii. contracts not defined as a "consultancy", but tagged as "business intelligence consulting services", "information technology consultation services", "management advisory services", "management support services", "organisational structure consultation", "risk management consultation services" or "strategic planning consultation services"
			b. The total amount of full time equivalent hours (FTE's) provided by external consultants in 2018-19. c. The total amount of variances granted to external consultant contracts (including those specified in 1(a)(i) above) in 2018-19. d. A breakdown by consultant, specifications and project completion for 2018-19.
2	Katy Gallagher	Information technology consultant spend	In relation to expenditure on information technology in the Department or agencies within the portfolio, can the following be provided. a. For each of the last six financial years from 2013-14 to 2018-19, the total amount spent on information technology consultation services b. The total amount of full time equivalent hours (FTE's) provided by information technology consultation services in 2018-19. c. The total amount contracted to information technology consultation services in 2018-19. d. The total amount of variances granted to information technology consultation services contracts in 2018-19. e. A breakdown by consultant, specifications and project completion for 2018-19.
3	Katy Gallagher	External contractors	In relation to the use of all external contractors in the Department or agencies within the portfolio, can the following be provided: a. The total amount spent on all contracts for Management and Business Professionals and Administrative Services for each of the last six financial years from 2013-14 to 2018-19. b. The total amount spent on all contracts tagged as "Temporary Personnel Services" for each of the last six financial years from 2013-14 to 2018-19. c. The total number of external contractors employed in 2018-19. d. The aggregate total remuneration payable for all external contractors employed in 2018-19. e. The total number of FTE hours provided by external contractors in 2018-19.
4	Katy Gallagher	Information technology contractors	In relation to the use of external information technology contractors in the Department or agencies within the portfolio, can the following be provided: a. The total amount spent on external contractors for each of the last six financial years from 2013-14 to 2018-19. b. The total number of external contractors employed in 2018-19. c. The aggregate total remuneration payable for all external contractors employed in 2018-19. d. The total number of FTE hours provided by external contractors in 2018-19.
5	Katy Gallagher	Grants	Please provide, for all administered and discretionary grant programs administered by each department and agency within the portfolio: a. Name of the administered or discretionary grant program. b. The recipient of the grant. c. The ABN or ACN of the grant recipient. d. The charitable status of the grant recipient. e. Who authorised the grant payment. f. For each year of the budget and forward estimates: i. What is the total funding budgeted for the program; ii. How much funding has been contracted and allocated; iii. How much funding has been committed but not allocated; iv. How much funding is uncommitted, uncontracted and unallocated.

6	Katy Gallaghas	Cost of APS staff	The total cost of all staff employed under the Public Service Act for each of the last six financial years from 2013-14 to 2018-19.
7	Katy Gallagher Kimberley	Executive Management	In relation to executive management for the Department and its agencies, can the following be provided for FY 2018-19 and 2019-20 to date:
,	Kitching	Exceutive ividingement	a. The total number of executive management positions
			b. The aggregate total remuneration payable for all executive management positions.
			c. The change in the number of executive manager positions.
			d. The change in aggregate total remuneration payable for all executive management positions.
	Kimberley	Ministerial functions	In relation to any functions or official receptions hosted by Ministers or Assistant Ministers in the portfolio since 1 July 2018, can the following be
	Kitching		provided:
			a. List of functions.
			b. List of all attendees.
			c. Function venue.
			d. Itemised list of costs (GST inclusive).
			e. Details of any food served.
			f. Details of any wines or champagnes served including brand and vintage.
			g. Any available photographs of the function.
)	Kimberley	Departmental functions	h. Details of any entertainment provided. In relation to expenditure on any functions or official receptions etc hosted by the Department or agencies within the portfolio since 1 July 2018,
	Kitiberiey	Departmental functions	can the following be provided:
	Kitching		a. List of functions.
			b. List of all attendees.
			c. Function venue.
			d. Itemised list of costs (GST inclusive).
			e. Details of any food served.
			f. Details of any wines or champagnes served including brand and vintage.
			g. Any available photographs of the function.
			h. Details of any entertainment provided.
LO	Kimberley	Executive office upgrades	Have any furniture, fixtures or fittings of the Secretary's office, or the offices of any Deputy Secretaries been upgraded since 1 July 2018. If so, call
	Kitching		an itemised list of costs please be provided (GST inclusive).
.1	Kimberley	Facilities upgrades	1. Were there any upgrades to facility premises at any of the Departments or agencies since 1 July 2018. This includes but is not limited to: staff
	Kitching		room refurbishments, kitchen refurbishments, bathroom refurbishments, the purchase of any new fridges, coffee machines, or other kitchen
			equipment.
			2. If so, can a detailed description of the relevant facilities upgrades be provided together with an itemised list of costs (GST inclusive).
			2. If so, can a detailed description of the relevant facilities upgrades be provided together with an itemised list of costs (OST inclusive).
			3. If so, can any photographs of the upgraded facilities be provided.
.2	Kimberley	Staff travel	What is the total cost of staff travel for departmental/agency employees for FY 2018-19 and FY 2019-20 to date.
	Kitching		
.3	Kimberley	Legal costs	What are the total legal costs for the Department/agency for FY 2018-19 and FY 2019-20 to date.
	Kitching		
L4	Kimberley	Secretary Travel	Can an itemised list of the costs of all domestic and international travel undertaken by the Secretary of the Department since 1 July 2018 be
	Kitching		provided including:
			a. Flights for the Secretary as well as any accompanying departmental officials, and identify the airline and class of travel.
			b. Ground transport for the Secretary as well as any accompanying departmental officials.
			c. Accommodation for the Secretary as well as any accompanying departmental officials, and identify the hotels the party stayed at and the room
			category in which the party stayed.

			d Marie and other incidentals for the Country of th
			d. Meals and other incidentals for the Secretary as well as any accompanying departmental officials. Any available menus, receipts for meals at restaurants and the like should also be provided.
			e. Any available photographs documenting the Secretary's travel should also be provided.
15	Kimberley	Freedom of information	1. Please list the number of Freedom of Information Act requests ('FOI requests') received by the Department for the following years:
13		rreedom of information	
	Kitching		a. 2013-14;
			b. 2014-15;
			c. 2015-16;
			d. 2016-17;
			e. 2018-19; and
			f. 2019-20 to date.
			2. For each year above, please provide:
			a. The number of FOI requests the Department granted in full;
			b. The number of FOI requests the Department granted in part;
			c. The number of FOI requests the Department refused in full; and
			d. The number of FOI requests the Department refused for practical reasons under the Freedom of Information Act.
			3. For each year above, please also provide:
			a. The number of times the Department failed to make any decision on a FOI request within the 30 day statutory period; and
			b. The number of times a request to the Department resulted in a practical refusal (i.e. no decision was made on the request).
l			4. For each year above, please also provide:
			a. The number of times the Department's FOI decisions have been appealed to the OAIC; and
			b. The number of times has the OAIC overturned – in whole or in part – the Department's decision to refuse access to material.
16	Kimberley	Freedom of information	5. Please provide the staffing (both ASL and headcount) of staff at the Department who work exclusively on FOI requests, broken down by APS level
	Kitching		(e.g. three EL1s, four APS6s, one SES) for each of the following years:
			a. 2013-14;
			b. 2014-15;
			c. 2015-16;
			d. 2016-17;
			e. 2018-19; and
			f. 2019-20 to date.
			6. For each of the years above, please also list the number of officers who are designated decision makers under the Freedom of Information Act
			1982 within the Department.
			7. In the past 12 months, has the Department seconded additional resources to processing Freedom of Information requests? If so, please detail
			those resources by APS level.
			those resources by at 3 level.
			8. Please provide the number of officers who are currently designated decision makers under the Freedom of Information Act 1982 within the
			Minister's office.
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			9. Please provide the number of FOI requests currently under consideration by the Department. Please also provide the number of these requests
			that are currently overdue in response.
			10. Does the department consult or inform the Minister when it receives Freedom of Information requests? If so:

			a. How many times has this occurred in the past twelve months; and
			b. Please outline the process by which the Department consults the Minister.
			b. Flease outline the process by which the Department consults the Minister.
			11. Has the Department consulted or informed another Department or agency about any FOI request in the past twelve months. If so, please
			provide the legal basis on which that consultation occurred (e.g. third party consultation, transfer of request).
17	Kimberley	Briefings	Has the Department/agency or the Minister's office provided briefings to independents/minor parties in the Senate or House of Representatives. If
	Kitching	3 8	so, can the following be provided:
			a. The subject matter of the briefing.
			b. The location and date of the briefing.
			c. Who proposed the briefing.
			d. Attendees of the briefing by level/position
18	Kimberley	Acting Minister	1. Can the Department provide all leave periods of the portfolio Minister from 24 August 2018 to date.
	Kitching	arrangements	
			2. Can the Department further provide acting Minister arrangements for each leave period.
19	Kimberley	Departmental staff	Can a list of Departmental/agency allowances and reimbursements available to employees be provided.
	Kitching	allowances	
20	Kimberley	Market research	1. Does the Department/agency undertake any polling or market research in relation to government policies or proposed policies.
	Kitching		
			2. If so, can the Department provide an itemised list of:
			a. Subject matter
			b. Company
			c. Costs
			d. Contract date period.
			3. Can the Department/agency advise what, if any, research was shared with the Minister or their office and the date and format in which this
			occurred.
21	Kimberley	Advertising and	1. What was the Department/agency's total expenditure on advertising and information campaigns for FY 2018-19 and for the current financial year
	Kitching	information campaigns	to date.
			2. What advertising and information campaigns did the Department/agency run in each relevant period. For each campaign, please provide:
			a. When approval was first sought.
			b. The date of approval, including whether the advertising went through the Independent Campaign Committee process.
			c. the timeline for each campaign, including any variation to the original proposed timeline.
			3. Can an itemised list of all Austender Contract Notice numbers for all advertising and information campaign contracts in each period be provided.
22	Kimberley	Promotional merchandise	1. What was the Department/agency's total expenditure on promotional merchandise for FY 2018-19.
	Kitching		
			2. Can an itemised list of all Austender Contract Notice numbers for all promotional merchandise contracts in that period please be provided.
22	IC:	B distribution in the control of the	3. Can photographs or samples of relevant promotional merchandise please be provided.
23	Kimberley	Ministerial overseas travel	1. Can an itemised list of the costs met by the department or agency for all international travel undertaken by Ministers or Assistant Ministers in the
	Kitching		portfolio since 1 July 2018 please be provided including:
			a. Flights for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials, together with the airline and class of travel.
			b. Ground transport for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any
			accompanying departmental officials.
			c. Accommodation for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any
	I		1 c. Accommodation for the Minister and any accompanying members of the Minister's personal staff of family members, as well as any

			accompanying departmental officials, and identify the hotels the party stayed at and the room category in which the party stayed. d. Meals and other incidentals for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials. Any available menus, receipts for meals at restaurants and the like should also be provided. e. Any available photographs documenting the Minister's travel should also be provided.
24	Kimberley Kitching	Social media influencers	 What was the Department/agency's total expenditure on social media influencers for FY 2018-19 and 2019-20 to date. What advertising or information campaigns did the Department/agency use social media influencers to promote. Can a copy of all relevant social media influencer posts please be provided. Can an itemised list of all Austender Contract Notice numbers for all relevant social media influencer contracts please be provided.
25	Kimberley Kitching	Commissioned reports and reviews	 Since 24 August 2018, how many Reports or Reviews have been commissioned. Please provide details of each report including: Date commissioned. Date report handed to Government. Date of public release. Terms of Reference. Committee members and/or Reviewers. How much did each report cost/or is estimated to cost. The background and credentials of the Review personnel. The remuneration arrangements applicable to the Review personnel, including fees, disbursements and travel. The cost of any travel attached to the conduct of the Review. How many departmental staff were involved in each report and at what level. What is the current status of each report. When is the Government intending to respond to each report if it has not already done so.
26	Kimberley Kitching	Board appointments	 Provide an update of portfolio boards, including board title, terms of appointment, tenure of appointment and members. What is the gender ratio on each board and across the portfolio? Please detail any board appointments made from 1 July 2018 to date. What has been the total value of all Board Director fees and disbursements paid? What is the value of all domestic travel by Board Directors? What is the value of all international travel by Board Directors?
27	Kimberley Kitching	Appointments - briefs prepared	1. How many times has the Department prepared a brief for statutory authorities, executive agencies, advisory boards, government business enterprises or any other Commonwealth body which includes a reference to a former Liberal or National member of parliament at a state, territory or federal level? 2. For each brief prepared, can the Department advise: a. The former member. b. The board or entity. c. Whether the request originated from the Minister's office. d. Whether the appointment was made.
28	Kimberley Kitching	Stationery	How much has been spent on ministerial stationery requirements in FY 2019-19 and FY 2019-20 to date?
29	Kimberley Kitching	Media monitoring	 What is the total cost of media monitoring services, including press clippings, electronic media transcripts etcetera, provided to the each Minister's office for FY 2018-19 and FY 2019-20 to date? Which agency or agencies provided these services. Can an itemised list of Austender Contract notice numbers for any media monitoring contracts in each period please be provided c. What is the estimated budget to provide these services for the year FY 2019-20. What was the total cost of media monitoring services, including press clippings, electronic media transcripts etcetera, provided to the department/agency for FY 2018-19 and FY 2019-20 to date? Which agency or agencies provided these services. Can an itemised list of Austender Contract Notice numbers for any media monitoring contracts in each period please be provided

30	Kimberley	Communications staff	For all departments and agencies, please provide – in relation to all public relations, communications and media staff – the following:
30	Kitching	Communications stan	To all departments and agencies, please provide in relation to all public relations, communications and media stair the following.
			1. By Department or agency:
			a. How many ongoing staff, the classification, the type of work they undertake and their location.
			b. How many non-ongoing staff, their classification, type of work they undertake and their location.
			c. How many contractors, their classification, type of work they undertake and their location.
			d. How many are graphic designers.
			e. How many are media managers.
			f. How many organise events.
			2. Do any departments/agencies have independent media studios.
			a. If yes, why.
			b. When was it established.
			c. What is the set up cost.
			d. What is the ongoing cost.
			e. How many staff work there and what are their classifications.
31	Kimberley	Departmental staff in	1. Can the Department provide an update on the total number of departmental staff seconded to ministerial offices, including:
	Kitching	Minister's office	a. Duration of secondment.
			b. APS level.
			2. Can the Department provide an update on the total number of DLOs/CLOs for ministerial offices including APS level.
32	Kimberley	Compensation for	1. How many claims have been received under the Compensation for Detriment caused by Defective Administration scheme (CDDA) by the
	Kitching	Detriment caused by	Department for FY 2018-19?
		Defective Administration	
		(CDDA) scheme payments	2. How many claims were:
			a. Accepted.
			b. Rejected.
			c. Under consideration.
			3. Of the accepted claims, can the Department provide:
			a. Details of the claim, subject to relevant privacy considerations
			b. The date payment was made
			c. The decision maker.
33	Kimberley Kitching	Congestion busting	1. Can the Department/agency advise how it is "congestion busting" in relation to bureaucratic bottlenecks and regulatory bottlenecks?
			2. Have any additional resources been allocated within the Department to achieve "congestion busting" within the department?
34	Kimberley	Recruitment	1. What amount has been expended by the department/agency on external recruitment or executive search services in FY 2018-19 and FY 2019-20
	Kitching		to date?
			2. Which services were utilised? Can an itemised list be provided?
35	Kimberley	Staffing	1. How many full-time equivalent staff are engaged at 21 October 2019? How does this differ from the figures presented in Budget Paper 4 in the
	Kitching		2019-20 Budget?
			2. How many of these positions are (a) on-going and (b) non-ongoing?
			3. How many redundancies have occurred in FY 2018-19 and FY 2019-20 to date? How many were:
			a. voluntary
			b. involuntary.
			4. How many of those redundancies occurred as a result of departmental restructuring? What is the total cost of those redundancies?

			5. What was the total value in dollar terms of all termination payments paid to exiting staff?
			6. How much overtime or equivalent has been paid to staff in FY 2018-19 and FY 2019-20 to date?
			7. How many section 37 notices under the Public Service Act 1999 have been offered in FY 2018-19 and FY 2019-20 to date?
2.0	Vinalanda.	Composite	, ,
36	Kimberley Kitching	Comcare	1. For FY 2018-19 and FY2019-20 to date, can the Department advise whether it has been the subject of any investigations involving Comcare? If yes, please provide details of the circumstances and the status.
			2. Can the Department advise the number of sanctions it has received from Comcare in the FY2019-20 to date?
37	Kimberley Kitching	Fair Work Commission	For FY 2018-19 and FY2019-20 to date, how many references have been made to the Fair Work Commission within the Department or agency?
38	Kimberley Kitching	Fair Work Ombudsman	For FY 2018-19 and FY2019-20 to date, how many references have been made to the Fair Work Ombudsman within the Department or agency?
39	Kimberley Kitching	Office of the Merit Protection Commissioner	For FY 2018-19 and FY2019-20 to date, how many references have been made to the Office of the Merit Protection Commissioner within the Department or agency?
40	Kimberley Kitching	Public interest disclosures	For FY 2018-19 and FY2019-20 to date, how many public interest disclosures have been received?
41	Katy Gallagher	Protective Security Policy Framework - overall compliance and reporting	The Australian Government set a target date for government entities to achieve compliance with the Australian Signals Directorate's Top Four mitigation strategies as detailed in the Protected Security Policy Framework (PSPF), INFOSEC 10 core requirements (https://www.protectivesecurity.gov.au/information/safeguarding-information-from-cyber-threats/Documents/pspf-infosec-10-safeguarding-information-cyber-threats.pdf) by 30 June 2014 (ANAO, Audit Report No. 42 (2016–17), p. 7). Non-corporate Commonwealth entities are required to apply the Mandatory 4 whereas it is only considered best practice for corporate Commonwealth entities and wholly-owned Commonwealth
			companies. ASD had stated that implementing the top 4 mitigation strategies will be able to prevent over 85% of unauthorised intrusions. 1. Is the Department compliant with the core requirements in the Protected Security Policy Framework, INFOSEC 10: Safeguarding information from cyber threats policy? 2. Under the Public Governance, Performance and Accountability Act 2013, all non-corporate Commonwealth entities are required to report annually to the Attorney-General on the implementation of the Protected Security Policy Framework (PSPF). Has the Department provided an annual report to the Attorney general in 2015, 2016, 2017, 2018 and 2019 on compliance with the INFOSEC 10 core requirements?
42	Katy Gallagher	Protective Security Policy Framework - Mandatory 4 implementation	The Australian Government set a target date for government entities to achieve compliance with the Australian Signals Directorate's Top Four mitigation strategies as detailed in the Protected Security Policy Framework (PSPF), INFOSEC 10 core requirements (https://www.protectivesecurity.gov.au/information/safeguarding-information-from-cyber-threats/Documents/pspf-infosec-10-safeguarding-information-cyber-threats.pdf) by 30 June 2014 (ANAO, Audit Report No. 42 (2016–17), p. 7). Non-corporate Commonwealth entities are required to apply the Mandatory 4 whereas it is only considered best practice for corporate Commonwealth entities and wholly-owned Commonwealth companies. ASD had stated that implementing the top 4 mitigation strategies will be able to prevent over 85% of unauthorised intrusions. 1. Has the Department implemented Protected Security Policy Framework INFOSEC 10, requirement 1: application whitelisting? a. According to the Essential Eight Maturity Model (https://www.cyber.gov.au/publications/essential-eight-maturity-model) what is the maturity of application whitelisting implementation?
			2. Has the Department implemented Protected Security Policy Framework INFOSEC 10, requirement 2: patching applications? a. According to the Essential Eight Maturity Model, what is the maturity of patching applications implementation?
			3. Has the Department implemented Protected Security Policy Framework INFOSEC 10, requirement 3: restriction of administrative privileges? a. According to the Essential Eight Maturity Model, what is the maturity of 'restrict administrative privileges' implementation?
			4. Has the Department implemented Protected Security Policy Framework INFOSEC 10, requirement 4: patching operating systems? a. According to the Essential Eight Maturity Model, what is the maturity of 'Patching operating systems' implementation?

	Katy Gallagher	Protective Security Policy	The Australian Government set a target date for government entities to achieve compliance with the Australian Signals Directorate's Top Four
		Framework - Essential 8	mitigation strategies as detailed in the Protected Security Policy Framework (PSPF), INFOSEC 10 core requirements
		implementation	(https://www.protectivesecurity.gov.au/information/safeguarding-information-from-cyber-threats/Documents/pspf-infosec-10-safeguarding-
			information-cyber-threats.pdf) by 30 June 2014 (ANAO, Audit Report No. 42 (2016–17), p. 7). Non-corporate Commonwealth entities are required
			to apply the Mandatory 4 whereas it is only considered best practice for corporate Commonwealth entities and wholly-owned Commonwealth
			companies. ASD had stated that implementing the top 4 mitigation strategies will be able to prevent over 85% of unauthorised intrusions.
			1. Has the Department implemented Protected Security Policy Framework INFOSEC 10, C.4, 27 (a): configuring Microsoft Office macro settings?
			a. According to the Essential Eight Maturity Model what is the maturity of 'configuring Microsoft Office macro settings' implementation?
			2. Has the Department implemented Protected Security Policy Framework INFOSEC 10, C.4, 27 (b): user application hardening?
			a. According to the Essential Eight Maturity Model what is the maturity of 'configuring Microsoft Office macro settings' implementation?
			3. Has the Department implemented Protected Security Policy Framework INFOSEC 10, C.4, 27 (c): multi-factor authentication?
			a. According to the Essential Eight Maturity Model what is the maturity of 'multi-factor authentication' implementation?
			4. Has the Department implemented Protected Security Policy Framework INFOSEC 10, C.4, 27 (d): daily backups?
			a. According to the Essential Eight Maturity Model what is the maturity of 'daily backups' implementation?
44	Katy Gallagher	Protective Security Policy Framework - Accountability	The Australian Government set a target date for government entities to achieve compliance with the Australian Signals Directorate's Top Four mitigation strategies as detailed in the Protected Security Policy Framework (PSPF), INFOSEC 10 core requirements
		and funding	(https://www.protectivesecurity.gov.au/information/safeguarding-information-from-cyber-threats/Documents/pspf-infosec-10-safeguarding-
			information-cyber-threats.pdf) by 30 June 2014 (ANAO, Audit Report No. 42 (2016–17), p. 7). Non-corporate Commonwealth entities are required
			to apply the Mandatory 4 whereas it is only considered best practice for corporate Commonwealth entities and wholly-owned Commonwealth
			companies. ASD had stated that implementing the top 4 mitigation strategies will be able to prevent over 85% of unauthorised intrusions.
			1. How many times has the Department conducted a self assessment of its compliance with the Protected Security Policy Framework Essential Eight
			mitigation strategies and cyber resilience since 1 July 2013?
			2. How many independent assessments of its cyber resilience has the Department conducted since 1 July 2013?
			3. Has the Minister responsible been briefed on cyber security vulnerabilities in the Department networks since 1 July 2013?
			4. Has an Australian Signals Directorate cyber security sprint team been deployed to the Department since 1 July 2013?
			5. How much funding has the Department allocated to cyber security each year during 2015, 2016, 2017, 2018 and 2019?
			6. How many times has there been a physical or cyber intrusion attempt made on the Department's networks that were considered serious enough to warrant an operational response in 2015, 2016, 2017, 2018 and 2019?