



# Parliamentary Joint Committee on Human Rights

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Human rights scrutiny report

Report 1 of 2023

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# Membership of the committee

## Members

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The Hon David Coleman MP	Banks, New South Wales
Senator Karen Grogan	South Australia, ALP
Mr Peter Khalil MP	Wills, Victoria, ALP
Senator Jacinta Nampijinpa-Price	Northern Territory, CLP
Senator Matthew O'Sullivan	Western Australia, LP
Mr Graham Perrett MP	Moreton, Queensland, ALP
Senator Jana Stewart	Victoria, ALP
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## Committee information

Under the *Human Rights (Parliamentary Scrutiny) Act 2011* (the Act), the committee's functions are to examine bills, Acts and legislative instruments for compatibility with human rights, and report to both Houses of the Parliament. The committee may also inquire into and report on any human rights matters referred to it by the Attorney-General.

The committee assesses legislation for compatibility with the human rights set out in seven international treaties to which Australia is a party.<sup>1</sup> The committee's *Guide to Human Rights* provides a short and accessible overview of the key rights contained in these treaties which the committee commonly applies when assessing legislation.<sup>2</sup>

The establishment of the committee builds on Parliament's tradition of legislative scrutiny. The committee's scrutiny of legislation seeks to enhance understanding of, and respect for, human rights in Australia and ensure attention is given to human rights issues in legislative and policy development.

Some human rights obligations are absolute under international law. However, most rights may be limited as long as it meets certain standards. Accordingly, a focus of the committee's reports is to determine whether any limitation on rights is permissible. In general, any measure that limits a human right must comply with the following limitation criteria: be prescribed by law; be in pursuit of a legitimate objective; be rationally connected to (that is, effective to achieve) its stated objective; and be a proportionate way of achieving that objective.

Chapter 1 of the reports include new and continuing matters. Where the committee considers it requires further information to complete its human rights assessment it will seek a response from the relevant minister, or otherwise draw any human rights concerns to the attention of the relevant minister and the Parliament. Chapter 2 of the committee's reports examine responses received in relation to the committee's requests for information, on the basis of which the committee has concluded its examination of the legislation.

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1 International Covenant on Civil and Political Rights; International Covenant on Economic, Social and Cultural Rights; International Convention on the Elimination of All Forms of Racial Discrimination; Convention on the Elimination of Discrimination against Women; Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment; Convention on the Rights of the Child; and Convention on the Rights of Persons with Disabilities.

2 See the committee's [Guide to Human Rights](#). See also the committee's guidance notes, in particular [Guidance Note 1 – Drafting Statements of Compatibility](#).

## Report snapshot<sup>1</sup>

In this report the committee has examined the following bills and legislative instruments for compatibility with human rights. The committee's full consideration of legislation commented on in the report is set out at the page numbers indicated.

### Bills

#### Chapter 1: New and continuing matters

Bills introduced 21 November to 15 December 2022	28
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Private members or senators' bills that may engage and limit human rights	3

#### Chapter 2: Concluded

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#### Biosecurity Amendment (Strengthening Biosecurity) Bill 2022

##### Concluded

[pp. 61-93](#)

##### Entry requirements

*Rights to health, privacy, freedom of movement, liberty and equality and non-discrimination*

This bill (now Act) empowers the Agriculture Minister to make entry requirements for people entering Australia in order to prevent the entry or spread of diseases or pests. This could require people to provide personal information, be screened, or moved to locations to carry out biosecurity risk assessments.

The committee considers that, this measure promotes the right to health, but also engages and may limit the rights to privacy, freedom of movement and liberty and the right to equality and non-discrimination.

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- 1 This section can be cited as Parliamentary Joint Committee on Human Rights, Report snapshot, *Report 1 of 2023*; [2023] AUPJCHR 2.
  - 2 The committee makes no comment on the remaining bills on the basis that they do not engage, or only marginally engage, human rights; promote human rights; and/permissibly limit human rights. This is based on an assessment of the bill and relevant information provided in the statement of compatibility accompanying the bill. The committee may have determined not to comment on a bill notwithstanding that the statement of compatibility accompanying the bill may be inadequate.

The committee considers that the measure pursues a legitimate objective and, in many cases, the use of entry requirements would constitute a proportionate limitation on human rights. However, noting the breadth of the measure, there remains a risk that the power to make these entry requirements could be used in a way that may not be compatible with human rights. In particular, the committee notes that there may be a risk that individuals could be detained for the purpose of a biosecurity risk assessment for longer than is absolutely necessary, noting there is no maximum length of detention or access to merits review. The proportionality of limitations on other human rights will depend on the specific entry requirements and the consequent extent of any interference with rights.

#### **Preventative biosecurity measures**

##### *Rights to health, privacy, equality and non-discrimination, culture and freedom of movement*

This bill empowers the Agriculture Minister to determine certain other biosecurity measures for the purposes of preventing a specified behaviour or practice that causes or contributes to the entry or spread into Australia of certain diseases or pests. This may include banning, restricting, or requiring certain behaviours or practices or requiring the provision of a specified information.

The committee considers that this promotes the right to health, but also engages and may limit other human rights, including the rights to privacy, equality and non-discrimination, culture and freedom of movement.

The committee considers the measure pursues a legitimate objective and is accompanied by some important safeguards. In many cases, the types of behaviours or practices required will represent a proportionate limit on human rights. However, given the breadth and flexibility of the power, there remains a risk that, in other cases, the existing safeguards may not be sufficient to safeguard rights.

#### **Information management framework**

##### *Right to privacy*

This bill amends the management of information obtained or generated under the Biosecurity Act, in particular to enable greater sharing of information with government agencies and other bodies.

This engages and limits the right to privacy. The committee considers that the measure pursues a legitimate objective and is accompanied by some important safeguards. However, the committee notes that given the breadth of the measure, there is a risk that these safeguards may not be adequate in all circumstances so as to ensure that any limitation on the right to privacy will be proportionate in practice.

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## **Classification (Publications, Films and Computer Games) Amendment (Loot Boxes) Bill 2022**

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No comment

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### Commonwealth Electoral Amendment (Banning Dirty Donations) Bill 2022

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The committee notes that this private senator's bill appears to engage and may limit human rights. Should this bill proceed to further stages of debate, the committee may request further information from the senator as to the human rights compatibility of the bill.

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### Commonwealth Electoral Amendment (Stop the Lies) Bill 2022

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No comment

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### COVID-19 Vaccination Status (Prevention of Discrimination) Bill 2022

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The committee notes that this private senator's bill appears to engage and may limit human rights. Should this bill proceed to further stages of debate, the committee may request further information from the senator as to the human rights compatibility of the bill.

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### Customs Amendment (Banning Goods Produced By Forced Labour) Bill 2022

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No comment

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### Customs Legislation Amendment (Controlled Trials and Other Measures) Bill 2022

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No comment

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### Education and Other Legislation Amendment (Abolishing Indexation and Raising the Minimum Repayment Income for Education and Training Loans) Bill 2022

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No comment

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### Export Control Amendment (Streamlining Administrative Processes) Bill 2022

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*Seeking  
information*

**Information-sharing between government agencies and other bodies**  
*Right to privacy*

[pp. 13-17](#)

This bill seeks to amend the *Export Control Act 2020* to alter information-sharing provisions relating to government agencies and other bodies, by authorising 'entrusted persons' (which would include any level of departmental officer and certain contractors) to use and disclose 'relevant information' (which may include personal information) in a range of circumstances and for a variety of purposes.

By facilitating the use and disclosure of personal information this measure engages and limits the right to privacy. The committee seeks further information from the Minister for Agriculture, Fisheries and Forestry in order to assess the proportionality of the measure with the right to privacy.

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### Fuel and Vehicle Standards Legislation Amendment (Reducing Vehicle Pollution) Bill 2022

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No comment

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### Higher Education Support Amendment (Australia's Economic Accelerator) Bill 2022

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No comment

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### Human Rights (Children Born Alive Protection) Bill 2022

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The committee notes that this private senators' bill appears to engage and may limit human rights. Should this bill proceed to further stages of debate, the committee may request further information from the senators as to the human rights compatibility of the bill.

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### Inspector-General of Intelligence and Security and Other Legislation Amendment (Modernisation) Bill 2022

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No comment

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### Ministers of State Amendment Bill 2022

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No comment

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### National Reconstruction Fund Corporation Bill 2022

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*Seeking information*      **Disclosure of official information**  
*Right to privacy*

[pp. 18-21](#)

This bill seeks to establish a National Reconstruction Fund Corporation to provide finance to projects across priority areas. It provides that a Corporation official may disclose 'official information' (namely, information relating to the affairs of a person other than a Corporation official) to an agency, body or person, including if the disclosure will assist these persons to perform or exercise any of their functions or powers.

Permitting the disclosure of official information may engage the right to privacy if 'official information' includes personal information and the committee seeks further information from the Minister for Industry, Science and Resources to assess the compatibility of this measure with the right to privacy.

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### Paid Parental Leave Amendment (Improvements for Families and Gender Equality) Bill 2022

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No comment

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**Public Interest Disclosure Amendment (Review) Bill 2022**

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No comment

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**Privacy Legislation Amendment (Enforcement and Other Measures) Bill 2022**

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**Concluded**      **Increasing civil penalties**

pp. 94-99

***Criminal process rights***

This bill (now Act) amended the *Privacy Act 1988* to increase the penalty for serious or repeated interferences with the privacy of an individual for 'a person other than a body corporate' to \$2.5 million.

The committee considers, based on the Attorney-General's advice, that these amendments to significantly increase civil penalties, are unlikely to engage criminal process rights under international human rights law, noting the limited applicability of these penalties to individuals.

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**Private Health Insurance Legislation Amendment (Medical Device and Human Tissue Product List and Cost Recovery) Bill 2022**

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No comment

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**Private Health Insurance (Prostheses Application and Listing Fees) Amendment (Cost Recovery) Bill 2022**

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No comment

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**Private Health Insurance (National Joint Replacement Register Levy) Amendment (Consequential Amendments) Bill 2022**

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No comment

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**Referendum (Machinery Provisions) Amendment Bill 2022**

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*Seeking information*

pp. 22-31

**Prohibition on foreign campaigners engaging in certain referendum conduct**

*Rights to freedom of expression, freedom of association, privacy, and equality and non-discrimination*

This bill seeks to prohibit foreign campaigners (including people in Australia who are neither citizens nor permanent residents) from engaging in certain referendum conduct, including restricting forms of expression and fundraising or donating to referendum entities. The bill would also empower the Electoral Commissioner to obtain information and documents from persons to assess compliance.

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Prohibiting foreign campaigners from engaging in certain referendum conduct engages and limits the rights to freedom of expression, freedom of association, privacy and equality and non-discrimination. The committee seeks further information from the Minister for Finance to assess the compatibility of this measure with these rights.

The committee has also sought advice on whether the temporary removal of the requirement relating to the distribution of an official pamphlet to households has any human rights implications and reserves the right to report further on this bill.

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### Safeguard Mechanism (Crediting) Amendment Bill 2022

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No comment

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### Telecommunications Legislation Amendment (Information Disclosure, National Interest and Other Measures) Bill 2022

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*Concluded*      **Increased access to unlisted numbers on the Integrated Public Number Database**

[\*pp. 100-124\*](#)

*Right to privacy*

This bill would permit the disclosure of information relating to unlisted phone numbers (such as mobile phone numbers) on the Integrated Public Number Database in dealing with matters raised by a call to an emergency service number. In doing so, this engages and limits the right to privacy.

Based on the additional information provided by the Minister for Communications, the committee considers that allowing disclosure of information related to unlisted (and listed) phone numbers, in the case of calls to emergency services numbers, would likely constitute a proportionate limit on the right to privacy.

**Sharing of information in the case of a threat to a person's life or health**

*Right to privacy*

This bill would allow a carrier or carriage service provider to disclose personal information or documents if necessary to prevent or lessen a serious threat to life or health (and not a serious and imminent threat, as is currently required). In doing so, the bill engages and limits the right to privacy.

Based on the additional information provided by the Minister for Communications, the committee considers there are, on the whole, sufficient safeguards built into the existing processes to ensure that the limit on the right to privacy is likely to be proportionate. However, the committee considers there is some risk that the type of information that might be disclosed using these powers is overly broad.

The committee recommends making publicly available guidance as to the process to be followed before requests are made to access personal information held by carriers. The committee also considers that the proportionality of this measure may be assisted were the bill to be amended to reflect the limited type of information or documents that the minister advised may be disclosed, noting that any definition should not restrict or frustrate the important intention of this provision.

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**Immunity from civil liability***Right to an effective remedy*

The bill seeks to extend the immunity of carriers and carriage service providers (such as mobile phone providers) from civil liability. This engages the right to an effective remedy.

Based on the additional information provided by the Minister for Communication, the committee considers that extending the immunity is compatible with the right to an effective remedy.

**Records relating to authorised disclosures of information or documents***Right to privacy*

The bill seeks to expand the requirement to record where an authorised disclosure of information, including personal information, has occurred. The committee notes the advice of the Minister for Communication that the additional record-keeping requirement would not lead to the creation of a new record that includes any personal information. As such, the committee considers that this measure does not limit the right to privacy.

**Therapeutic Goods Amendment (2022 Measures No. 1) Bill 2022**

No comment

**Treasury Laws Amendment (Modernising Business Communications and Other Measures) Bill 2022**

*Advice to  
Parliament*

[pp.32-36](#)

**Extending the application of civil penalty provisions***Criminal process rights*

This bill seeks to extend the application of certain civil penalty provisions in the *Foreign Acquisitions and Takeovers Act 1975* to actions taken by foreign persons, including a provision carrying a civil penalty of up to \$687.5 million for individuals.

While the committee considers the measure to be important for deterring non-compliance with the Act, given the substantial pecuniary sanctions that could apply to individuals, there is a risk that the penalties may be so severe as to constitute a 'criminal' sanction under international human rights law.

The committee recommends that when civil penalties are so severe such that there is a risk that they may be regarded as 'criminal' under international human rights law, consideration should be given to applying a higher standard of proof in the related civil penalty proceedings, and draws its concerns to the attention of the Assistant Minister and the Parliament.

**Treasury Laws Amendment (2022 Measures No. 4) Bill 2022**

No comment

**Treasury Laws Amendment (2022 Measures No. 5) Bill 2022**

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No comment

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**Treasury Laws Amendment (Consumer Data Right) Bill 2022**

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No comment

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**Treasury Laws Amendment (Energy Price Relief Plan) Bill 2022**

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No comment

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**Work Health and Safety Amendment Bill 2022**

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No comment

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## Legislative instruments

### Chapter 1: New and continuing matters

Legislative instruments registered on the [Federal Register of Legislation](#) between 11 November 2022 and 2 January 2023<sup>3</sup> 329

Legislative instruments commented on in report<sup>4</sup> 3

### Chapter 2: Concluded

Legislative instruments committee has concluded its examination of following receipt of ministerial response 1

### Aged Care Quality and Safety Commission Amendment (Code of Conduct and Banning Orders) Rules 2022 [[F2022L01457](#)]

*Seeking information* **Information gathering powers and other compliance action powers**  
*Rights to health, privacy and rights of persons with disability*

[pp. 37-45](#)

This legislative instrument establishes the Code of Conduct for Aged Care, which sets out minimum standards of conduct for approved providers and their aged care workers and governing persons. It provides that the Aged Care Quality and Safety Commissioner may take certain actions in relation to compliance with the Code, including requesting information or documents from any person.

Taking action to ensure compliance with the Code promotes the right to health and the rights of persons with disability. However, establishing broad information gathering and sharing powers also engages and limits the right to privacy. The committee seeks further information from the Minister for Health and Aged Care to assess the compatibility of this measure with the right to privacy.

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- 3 The committee examines all legislative instruments registered in the relevant period, as listed on the Federal Register of Legislation. To identify all of the legislative instruments scrutinised by the committee during this period, select 'legislative instruments' as the relevant type of legislation, select the event as 'assent/making', and input the relevant registration date range in the Federal Register of Legislation's advanced search function, available at: <https://www.legislation.gov.au/AdvancedSearch>.
  - 4 The committee has deferred its consideration of the Australian Immunisation Register Amendment (Japanese Encephalitis Virus) Rules 2022 [[F2022L01712](#)]. The committee makes no comment on the remaining legislative instruments on the basis that they do not engage, or only marginally engage, human rights; promote human rights; and/permissibly limit human rights. This is based on an assessment of the instrument and relevant information provided in the statement of compatibility (where applicable). The committee may have determined not to comment on an instrument notwithstanding that the statement of compatibility accompanying the instrument may be inadequate.

### **Publication of a register of banning orders**

*Rights to health, rights of persons with disability and right to privacy and reputation*

This legislative instrument provides for additional matters that must be included on the register of banning orders for current and former aged care workers, including an individual's last known place of residence and other information that the Commissioner considers is necessary to identify an individual. The instrument also provides that the register of banning orders may be published on the Commission's website, unless publication would be contrary to the public interest or the interests of one or more care recipients.

Publishing the register of banning orders to protect vulnerable older Australians promotes the right to health and the rights of persons with disability. However, publishing this data also engages and limits the right to privacy. The committee seeks further information from the Minister for Health and Aged Care to assess the compatibility of this measure with the right to privacy.

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## **Data Availability and Transparency (Consequential Amendments) Transitional Rules 2022 [F2022L01260]**

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### *Concluded*      **Facilitating access to Australian Government data**

[\*pp. 125-133\*](#)

*Right to privacy*

This legislative instrument authorises the provision of controlled access to Australian Government data by prescribing six entities as transitional Australian Data Service Providers. This engages and limits the right to privacy.

The committee is pleased to note that many of the amendments made to the legislation giving effect to this scheme were made in response to the committee's recommendations, and considers these amendments assists with the proportionality of the scheme, and therefore with the proportionality of this legislative instrument made for the purposes of the scheme.

However, the committee considers that, noting the breadth of the scheme and that no data has yet been shared under the scheme, much will depend on how the scheme is applied, and the strength of its safeguards, in practice, and draws its comments to the attention of the Minister for Finance and the Parliament.

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## **Fair Entitlements Guarantee Regulations 2022 [F2022L01529]**

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### *Seeking information*      **Financial assistance scheme for textile, clothing and footwear industry contract outworkers**

[\*pp. 46-52\*](#)

*Rights to just and favourable conditions of work and equality and non-discrimination*

This legislative instrument continues the scheme of financial assistance for textile, clothing and footwear (TCF) industry contract outworkers in situations where their employer has become insolvent. It provides that an individual must be an Australian citizen or a holder of a permanent visa or a special category visa to be eligible for financial assistance.

Providing financial assistance for eligible TCF contract outworkers during an insolvency event would promote the right to just and favourable conditions of work, but by excluding workers on the basis of their visa status this measure may

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also limit this right and the right to equality and non-discrimination. The committee seeks further information from the Minister for Employment and Workplace Relations regarding the compatibility of this measure with these rights.

### Instruments imposing sanctions on individuals<sup>5</sup>

A number of legislative instruments impose sanctions on individuals. The committee has considered the human rights compatibility of similar instruments on a number of occasions, and retains scrutiny concerns about the compatibility of the sanctions regime with human rights.<sup>6</sup> However, as these legislative instruments do not appear to designate or declare any individuals who are currently within Australia's jurisdiction, the committee makes no comment in relation to these instruments at this stage.

### Quality of Care Amendment (Restrictive Practices) Principles 2022 [[F2022L01548](#)]

*Seeking information*      **Consent to the use of restrictive practices in aged care**  
*Rights of persons with disability*

[pp. 53-60](#)

This legislative instrument amends the Quality of Care Principles 2014 to specify a hierarchy of persons who can give consent on behalf of persons in aged care to the use of restrictive practices, if the care recipient lacks capacity to give consent.

Setting out who can consent to the use of restrictive practices on behalf of a care recipient engages and may promote and limit a number of human rights, limiting in particular the rights of persons with disabilities, including the right of persons with disabilities to consent to medical treatment. Further, specifying persons who may consent for the purposes of granting immunity from all civil and criminal liability to those who rely on that consent, engages and may limit the rights of persons with disabilities to equal recognition before the law, equality and non-discrimination, and access to justice and has implications for the right to an effective remedy.

The committee seeks further information from the Minister for Aged Care regarding the compatibility of this measure with these rights.

5 See Autonomous Sanctions (Designated Persons and Entities and Declared Persons—Democratic People's Republic of Korea) Amendment (No. 3) Instrument 2022 [F2022L01537]; Autonomous Sanctions (Designated Persons and Entities and Declared Persons—Thematic Sanctions) Amendment (No. 1) Instrument 2022 [F2022L01615]; and Autonomous Sanctions (Designated Persons and Entities and Declared Persons—Russia and Ukraine) Amendment (No. 22) Instrument 2022 [F2022L01616].

6 See, most recently, Parliamentary Joint Committee on Human Rights, [Report 15 of 2021](#) (8 December 2021), pp. 2-11.



## Chapter 1

### New and continuing matters

1.1 The committee comments on the following bills and legislative instruments, and in some instances, seeks a response or further information from the relevant minister.

#### Bills

### Export Control Amendment (Streamlining Administrative Processes) Bill 2022<sup>1</sup>

<b>Purpose</b>	This bill seeks to amend administrative and authorisation processes relating to the Department of Agriculture, Fisheries and Forestry, including by making information-sharing provisions relating to export control more flexible
<b>Portfolio</b>	Agriculture, Fisheries and Forestry
<b>Introduced</b>	House of Representatives, 30 November 2022
<b>Right</b>	Privacy

#### Information-sharing between government agencies and other bodies

1.2 This bill seeks to amend the *Export Control Act 2020* (Export Control Act) to alter information-sharing provisions relating to government agencies and other bodies. The bill would provide that 'entrusted persons' (which would include any level of departmental officer and certain contractors)<sup>2</sup> would be permitted to use or disclose 'relevant information' in relation to a range of matters.<sup>3</sup> 'Relevant information' would be defined to mean 'information obtained or generated by a person in the course of or for the purposes of: performing functions or duties, or

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Export Control Amendment (Streamlining Administrative Processes) Bill 2022, *Report 1 of 2023* [2023] AUPJCHR 3.

2 Schedule 1, Item 4, section 12. 'Entrusted persons' would mean any of the following: the minister; the Secretary; an Australian Public Service employee in the department; any other person employed or engaged by the Commonwealth to provide services to the Commonwealth in connection with the department; any other person employed or engaged by the Commonwealth or a body corporate that is established by a law of the Commonwealth, and who falls within a class of persons specified by rules.

3 Schedule 1, Item 12, proposed section 388–397F.

exercising powers, under the Export Control Act; or assisting another person to perform functions or duties, or exercise powers, under the Act'.<sup>4</sup>

1.3 Entrusted persons would be permitted to use or disclose relevant information in the course of, or for the purposes of, performing functions or duties under the Export Control Act.<sup>5</sup> They would also be permitted to use or disclose relevant information for twelve other purposes,<sup>6</sup> including: to a foreign government for the purposes of managing Australian international relations in respect of trade;<sup>7</sup> to the Australian Federal Police if the person reasonably believed that this was necessary for the enforcement of a criminal law;<sup>8</sup> and for the purposes of other Acts administered by the relevant minister (this would include the *Biosecurity Act 2015*),<sup>9</sup> or a law of a state or territory.<sup>10</sup>

## Preliminary international human rights legal advice

### *Right to privacy*

1.4 By facilitating the use and disclosure of personal information this measure engages and limits the right to privacy. The right to privacy includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information.<sup>11</sup> It also includes the right to control the dissemination of information about one's private life. The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective. In this respect, it is necessary to consider a number of factors, including whether a proposed limitation is sufficiently circumscribed; whether it is accompanied by sufficient safeguards; and whether any less rights restrictive alternatives could achieve the same stated objective. In order to be proportionate, a limitation on the right to privacy should only be as extensive as is strictly necessary to achieve its legitimate objective and must be accompanied by appropriate safeguards. The United Nations Human Rights

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4 Schedule 1, item 6.

5 Schedule 1, item 12, proposed section 388.

6 Schedule 1, item 12, proposed sections 389–397C.

7 Schedule 1, item 12, proposed section 389.

8 Schedule 1, Item 12, proposed section 393.

9 Schedule 1, Item 12, proposed section 390.

10 Schedule 1, Item 12, proposed section 397C.

11 International Covenant on Civil and Political Rights, article 17.

Committee has stated that legislation must specify in detail the precise circumstances in which interferences with privacy may be permitted.<sup>12</sup>

1.5 The statement of compatibility states that this measure may engage and limit the right to privacy because 'relevant information' may include personal information.<sup>13</sup> It states that the proposed statutory authorisations for sharing information are generally aimed at the objective of supporting the management of the export control framework and the effective operation and enforcement of the Export Control Act.<sup>14</sup> It also states that some of the provisions are 'properly aimed at assisting with the administration and enforcement of other Australian laws', including for law enforcement purposes. Further, it states that some of the authorisations relate to 'matters of public interest with a high threshold', such as where it is necessary to manage a severe and immediate threat that arises in connection with exports or has the potential to cause harm on a nationally significant scale.<sup>15</sup> These objectives would appear capable of constituting legitimate objectives for the purposes of international human rights law, and it seems likely that the sharing of information obtained pursuant to the Export Control Act would be rationally connected to that objective (that is, capable of achieving such objectives).

1.6 As to whether the proposed authorisations would constitute a proportionate limit on the right to privacy, a key consideration is whether the measure is sufficiently circumscribed. In this regard, while the proposed authorisations would permit the disclosure of information only on specific grounds, it is not clear precisely what kinds of information may be subject to such disclosure provisions, to what extent (and in what contexts) this could include personal information, and in such instances what kinds of personal information would be shared. The term 'relevant information' is defined very broadly to include all information obtained or generated as a result of activities undertaken pursuant to the Export Control Act. No examples are provided of likely scenarios in which each proposed authorisation could be relied on, and the likely personal information that would be used and disclosed in those circumstances.

1.7 Additionally, while the measure mostly provides for who may use the relevant information (namely, an entrusted person), and the persons to whom information may be disclosed (such as a foreign government agency or a court or tribunal), there are some circumstances where this is not the case.<sup>16</sup> In these circumstances, relevant information may be disclosed for specified purposes, such as for the purposes of certain Acts or to manage severe and immediate threats, without

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12 *NK v Netherlands*, UN Human Rights Committee Communication No.2326/2013 (2018) [9.5].

13 Statement of compatibility, p. 61.

14 Statement of compatibility, p. 61.

15 Statement of compatibility, p. 61.

16 Schedule 1, item 12, proposed sections 388, 390 and 397D.

limiting to whom any such disclosures may be made. Further, some of the bases on which information may be disclosed appear quite broad. For example, proposed section 393 allows all information obtained using powers under the Act to be shared for law enforcement purposes, unrelated to managing risks arising in connection with export operations or the administration of the Act. It is therefore unclear whether the proposed authorisations are sufficiently circumscribed.

1.8 A further aspect relevant to an assessment of proportionality is the presence of safeguards. In this regard, the statement of compatibility states that if personal information also met the definition of 'protected information', it would be afforded additional protections by proposed section 397G, which would establish an offence and civil penalty for the unauthorised use or disclosure of such information.<sup>17</sup> Protected information would include information the disclosure of which could reasonably be expected to found an action by a person for breach of a duty of confidence, and could include further kinds of information specified by the Secretary by legislative instrument where disclosure could prejudice the effective working of the department or otherwise harm the public interest.<sup>18</sup> This may have safeguard value, however it would appear that the definition of 'protected information' is directed primarily towards information that may cause harm to the public interest or to the department, not harm to an individual in respect of their personal privacy. Further, the proposed offence and civil penalty provision would not apply where an entrusted person has acted in good faith, or where the disclosure was required or authorised by law,<sup>19</sup> which would restrict the potential applicability of the penalty. Consequently, this specific offence provision would have restricted safeguard value.

1.9 The statement of compatibility also states that some of the proposed authorisations may include future safeguards. For example, authorisations to disclose information made under proposed sections 393 (for the purposes of law enforcement) and 397C (to a State or Territory body) would require an agreement between the Commonwealth and a State or Territory body. The statement of compatibility states that such agreements could include a requirement that the receiving body confirms that safeguards will protect personal information that has been disclosed. Were such a requirement in place, this would likely have important safeguard value with respect to such authorisations. However, this has limited utility in an assessment of the proportionality of the proposed measures in the bill as it is not a legislative requirement and would only apply in limited circumstances. It is not clear why the bill does not include a requirement that the sharing of personal information under any such agreements should include such a restriction. The statement of compatibility also states that the proposed note after section 387—

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17 Statement of compatibility, p. 61.

18 Proposed section 397F.

19 Proposed subsections 397G(3)–(4).

clarifying that nothing in Part 3 of Chapter 11 would prevent the Commonwealth from making agreements or other arrangements to impose conditions on the use or disclosure of relevant information—is intended to include additional conditions such as a requirement that personal information be de-identified prior to use. Again, however, it is not clear why such safeguards are not specifically set out in the bill itself.

1.10 Further, proposed section 387E would allow the creation of rules to prescribe the use or disclosure of relevant information in additional circumstances. The statement of compatibility states that such rules would be able to impose 'appropriate limitations' on the use or disclosure of the information (such as requiring the person who is using or disclosing the information to ensure appropriate protections are in place for any personal information). Such a requirement could have important safeguard value with respect to any additional use or disclosure provisions contained in such rules.

### **Committee view**

1.11 The committee notes that the proposed statutory authorisations for sharing information are generally aimed at the legitimate objective of supporting the management of the export control framework and the effective operation and enforcement of the Export Control Act. The committee considers that further information is required to assess the proportionality of the measure with the right to privacy, in particular:

- (a) what kinds of personal information may be disclosed and used pursuant to the proposed authorisations, including examples of such information and the contexts in which the information may be disclosed;
- (b) the person or body to whom relevant information may be disclosed for the purposes of the Act (proposed section 388) or other Acts (proposed section 390) and managing severe and immediate threats (proposed section 397D)—noting that in these circumstances, it is not clear to whom the information may be disclosed;
- (c) why it is necessary to allow all information obtained using powers under the Act to be shared for law enforcement purposes, unrelated to managing risks that arise in connection with export operations or the administration of the Act;
- (d) why the potential safeguards identified in the statement of compatibility in respect of these proposed authorisations are not set out in the bill itself; and
- (e) what other safeguards, if any, would operate to protect personal information disclosed or used pursuant to these proposed authorisations.

## National Reconstruction Fund Bill 2022<sup>1</sup>

<b>Purpose</b>	A bill for the establishment of a National Reconstruction Fund Corporation
<b>Portfolio</b>	Industry, Science and Resources
<b>Introduced</b>	House of Representatives, 30 November 2022
<b>Right</b>	Privacy

### Disclosure of official information

1.12 The bill seeks to establish a National Reconstruction Fund Corporation (Corporation), which would provide finance to constitutional corporations, other entities, and state and territories in priority areas (as declared by ministers).<sup>2</sup>

1.13 Subclause 85(1) would provide that a Corporation official may disclose 'official information' (not including national security information or sensitive financial intelligence information) to an agency, body or person, including if the disclosure will enable or assist the agency, body or person to perform or exercise any of their functions or powers. This would include disclosure to an Australian Public Service departmental employee, and the government of a state or territory. The term 'official information' means information that was obtained by a person in their capacity as a Corporation official; and which relates to the affairs of a person other than a Corporation official.<sup>3</sup> The term 'person' would include an individual.<sup>4</sup>

1.14 Subclause 85(3) would provide that a Corporation official may disclose 'official information' that is national security information or sensitive financial intelligence information to entities, including a national security agency, including if the disclosure will facilitate the performance of the Corporation's investment functions, or will enable or assist the agency, body or person to perform or exercise any of their functions or powers. Clause 5 defines 'national security information' to mean information the publication of which is likely to prejudice national security.

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, National Reconstruction Fund Bill 2022, *Report 1 of 2023*; [2023] AUPJCHR 4.

2 See, clauses 6 and 63.

3 Clause 5.

4 Clause 5, by reference to section 2C of the *Acts Interpretation Act 1901*.



## Preliminary international human rights legal advice

### **Right to privacy**

1.15 Permitting the disclosure of 'official information' (being information that relates to the affairs of a person) may engage the right to privacy if 'official information' includes personal information.

1.16 The right to privacy includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information.<sup>5</sup> It also includes the right to control the dissemination of information about one's private life. The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective. In assessing whether a measure constitutes a proportionate limit on the right to privacy, it is necessary to consider several factors, including whether a proposed limitation is sufficiently circumscribed; whether it is accompanied by sufficient safeguards; and whether any less rights restrictive alternatives could achieve the same stated objective.

1.17 The statement of compatibility is very brief, and states only that three clauses in the bill (including clause 85) may restrict the right to freedom of expression (of Corporation officials).<sup>6</sup> The statement of compatibility does not identify whether clause 85 engages the right to privacy. It states that clause 85 'authorises the kind of information that can be disclosed, to whom it can be disclosed and for what purpose to protect the rights of those who disclose information to the Corporation, protect national security and other sensitive information, while enabling agencies with national security functions to effectively perform their functions'.<sup>7</sup> However, it is unclear what kinds of information may be disclosed under clause 85 and whether this could include personal information. No examples of potential disclosure are provided.

1.18 If clause 85 may permit the disclosure of personal information (and so engage and limit the right to privacy), further information would be required to establish whether this would constitute a permissible limitation on the right. In this regard, further information would be required as to the objective sought to be achieved by permitting the disclosure of information to a broad range of entities

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5 International Covenant on Civil and Political Rights, article 17.

6 Statement of compatibility pp. 7–8. In this regard, it is noted that clause 26 of the bill provides for the termination of board members including where a member is 'unable to perform the duties of the member's office because of physical or mental incapacity'. However, no analysis is provided as to the compatibility of this measure with the right to just and favourable conditions of work, and with the rights of persons with disability.

7 Statement of compatibility p. 8.

(including separately permitting disclosure under subclauses 85(1) and 85(3)), and how this proposed measure would be rationally connected (that is, capable of achieving) that objective. As to proportionality, it is unclear whether the disclosure power is sufficiently circumscribed (having regard to the breadth of entities to which disclosure may be permitted under subclause 85(2)); what safeguards would operate to protect any personal information disclosed pursuant to clause 85 (for example, would the *Privacy Act 1988* or other legislation be applicable?); and whether any less rights restrictive alternatives (for example, the prescription of specific entities under subclause 85(2) rather than broad classes of entity) could achieve the same stated objective.

### Committee view

1.19 The committee considers that permitting the proposed National Reconstruction Fund Corporation to disclose official information, including national security information in limited respects, may engage the right to privacy if 'official information' may include personal information. The committee notes that the statement of compatibility accompanying this bill is brief and does not fully meet its expectations regarding the content of statements of compatibility.<sup>8</sup>

1.20 The committee considers further information is required to assess the compatibility of this measure with the right to privacy, and as such seeks the minister's advice in relation to:

- (a) what type of information may be disclosed under clause 85 and whether this could include personal information; and
- (b) if personal information may be disclosed:
  - (i) what is the objective sought to be achieved by permitting the disclosure of information to a broad range of entities (including separately permitting disclosure under subclauses 85(1) and 85(3));
  - (ii) how this proposed measure would be rationally connected to (that is, capable of achieving) that objective;
  - (iii) whether the disclosure power is sufficiently circumscribed (having regard to the breadth of entities to which disclosure may be permitted under subclause 85(2));
  - (iv) what safeguards would operate to protect any personal information disclosed pursuant to clause 85; and

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8 For further information see, Parliamentary Joint Committee on Human Rights, [Guidance Note 1: Expectations for statements of compatibility](#).

- (v) whether any less rights restrictive alternatives (for example, the prescription of specific entities under subclause 85(2) rather than broad classes of entity) could achieve the same stated objective.

## Referendum (Machinery Provisions) Amendment Bill 2022<sup>1</sup>

<b>Purpose</b>	<p>This bill seeks to amend the <i>Referendum (Machinery Provisions) Act 1984</i> to ensure that referendums reflect contemporary federal election voting processes and extends transparency and integrity measures in the <i>Commonwealth Electoral Act 1918</i> (the Electoral Act). In particular it seeks:</p> <ul style="list-style-type: none"> <li>• to modernise postal voting in referendums;</li> <li>• promote operational efficiencies in the sorting and counting of votes in referendums;</li> <li>• update authorisation requirements to align with recent changes to the Electoral Act;</li> <li>• amend the financial disclosure and foreign donation restrictions framework for referendum campaigning;</li> <li>• require 'designated electors' to cast a declaration vote in referendums; and</li> <li>• enable the Electoral Commissioner to make modifications to certain aspects of a referendum during a declared emergency.</li> </ul>
<b>Portfolio</b>	Finance
<b>Introduced</b>	House of Representatives, 1 December 2022
<b>Rights</b>	Freedom of expression; freedom of association; privacy; equality and non-discrimination

### Prohibition on foreign campaigners engaging in certain referendum conduct

1.21 This bill seeks to prohibit foreign campaigners from authorising referendum matters, being matters communicated, or intended to be communicated, for the dominant purpose of influencing the way electors vote at a referendum.<sup>2</sup> A 'foreign campaigner' means a person or entity who is not an elector, an Australian citizen, an Australian resident,<sup>3</sup> or a New Zealand citizen who holds a Subclass 444 (Special

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, *Referendum (Machinery Provisions) Amendment Bill 2022, Report 1 of 2023*; [2023] AUPJCHR 5.

2 Schedule 3, item 2, proposed section 3AA and item 12, proposed section 110CA. The meaning of 'referendum matter' is consistent with the current definition of 'electoral matter'.

3 Section 287 of the *Commonwealth Electoral Act 1918* defines an 'Australian resident' as a person who holds a permanent visa under the *Migration Act 1958*. Subsection 30(1) of the *Migration Act 1958* defines a 'permanent visa' as a visa to remain in Australia indefinitely.

Category) visa.<sup>4</sup> The prohibition would cover the production or distribution, and approving the content, of referendum advertisements; or approving the content of referendum matters in the form of a sticker, fridge magnet, leaflet, flyer, pamphlet, notice or poster.<sup>5</sup> However, exceptions would apply where the referendum matter forms part of opinion polls or research relating to voting intentions at a referendum; personal or internal communications; and certain communications at meetings.<sup>6</sup> Contravention of this prohibition would attract a civil penalty of 120 penalty units (\$33,000).<sup>7</sup>

1.22 The bill also seeks to prohibit the provision and receipt of foreign donations of at least \$100 for the purposes of referendum expenditure as well as prohibit foreign campaigners from directly incurring referendum expenditure in a financial year equal to, or more than, \$1,000.<sup>8</sup> Referendum expenditure means expenditure incurred for the dominant purpose of creating or communicating a referendum matter.<sup>9</sup> The prohibition extends to conduct that occurs in and outside Australia.<sup>10</sup> Contravention of these provisions attracts the higher of a civil penalty of 200 penalty units (\$55,000) or three times the value of the donation or expenditure if calculable, or, in the case of foreign donations, a criminal penalty of 100 penalty units (\$27,500).<sup>11</sup> Additionally, where the Electoral Commissioner has reasonable grounds to conclude that a person is conducting a scheme for the purpose of avoiding these provisions, they may issue a written notice requiring the person not to enter into,

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4 *Commonwealth Electoral Act 1918*, sections 287 and 287AA. 'Foreign campaigner' has the same meaning as 'foreign donor', as defined in section 287AA of the *Commonwealth Electoral Act 1918*.

5 Schedule 3, item 12, proposed section 110CA.

6 Schedule 3, item 12, proposed subsection 110CA(2).

7 Schedule 3, item 12, proposed section 110CA.

8 Schedule 4, item 3, proposed sections 109J and 109L.

9 Schedule 4, item 2, proposed section 3AAA. 'Referendum matter' is defined in proposed subsection 3AA(1).

10 Schedule 4, item 3, proposed subsections 109J(8) and 109L(2).

11 Schedule 4, item 3, proposed subsections 109J(6)–(8) and 109L(1). Depending on the size of the donation or expenditure, the potential civil penalty of three times the value of the donation or expenditure could, in practice, amount to a substantial pecuniary penalty. Were this to be the case, it may be necessary to consider whether the civil penalty could be considered criminal in nature for the purposes of international human rights law. See Parliamentary Joint Committee on Human Rights, [Guidance Note 2: offence provisions, civil penalties and human rights](#) (2014).

not to begin to carry out, or not to continue to carry out the anti-avoidance scheme.<sup>12</sup>

1.23 Further, the bill would empower the Electoral Commissioner to obtain information and documents from persons to assess compliance with new Part VIIIA, which relates to disclosure of referendum expenditure and gifts, including by foreign campaigners.<sup>13</sup> Failure to comply with a notice to provide information or documents is an offence punishable by six months imprisonment or 10 penalty units or both.<sup>14</sup> The Commissioner may inspect, make copies of and retain for as long as is necessary, any documents provided.<sup>15</sup>

### **Preliminary international human rights legal advice**

#### ***Rights to freedom of expression, freedom of association, privacy, and equality and non-discrimination***

1.24 Noting this bill applies to foreign persons only, it is important to note at the outset that Australia's human rights obligations apply to all people subject to its jurisdiction, regardless of whether they are Australian citizens. This means that Australia owes human rights obligations to everyone in Australia, including foreign persons who are not citizens or permanent residents.<sup>16</sup> While many foreign campaigners would not fall within Australia's jurisdiction for the purposes of international human rights law, there are likely to be some foreign persons residing in Australia who are owed human rights obligations and whose rights may be impacted by this bill.<sup>17</sup>

1.25 By prohibiting foreign persons authorising the production or distribution, and approving the content, of a referendum matter, as well prohibiting donating or

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12 Schedule 4, item 3, proposed section 109M. Paragraph 109(1)(b) includes proposed sections 109J and 109L. Failure to comply with the written notice attracts the higher of a civil penalty of 200 penalty units (\$55,000) or three times the amount that was not prohibited as a result of the anti-avoidance scheme (e.g. the amount donated or expenditure incurred).

13 Schedule 4, item 3, proposed section 109N.

14 Schedule 4, item 3, proposed subsection 109N(5).

15 Schedule 4, item 3, proposed sections 109P and 109Q.

16 Australia's obligations under the International Covenant on Civil and Political Rights are applicable in respect of its acts undertaken in the exercise of its jurisdiction to anyone within its power or effective control (and even if the acts occur outside its own territory). See United Nations Human Rights Committee, *General Comment No.31: The nature of the general legal obligation imposed on States Parties to the Covenant*, CCPR/C/21/Rev.1/Add.13 (26 May 2004) [10]; *Legal Consequences of the Construction of a Wall in the Occupied Palestinian Territory* (Advisory Opinion) [2004] ICJ Reports 136 [107]–[111].

17 It is noted that the committee considered similar issues in the context of the Electoral Legislation Amendment (Foreign Influences and Offences) Bill 2022. See Parliamentary Joint Committee on Human Rights, [Report 2 of 2022](#) (9 February 2022) pp. 13–21.

directly incurring referendum expenditure, the measure interferes with these persons' right to freedom of expression, particularly their right to disseminate ideas and information.<sup>18</sup> The right to freedom of expression includes the freedom to seek, receive and impart information and ideas of all kinds, either orally, in writing or print, in the form of art, or through any other media of an individual's choice, including online platforms.<sup>19</sup> It protects all forms of expression, including political discourse and commentary on public affairs, and the means of its dissemination, including spoken, written and sign language and non-verbal expression (such as images).<sup>20</sup> International human rights law has placed particularly high value on uninhibited expression in the context of public debate in a democratic society.<sup>21</sup>

1.26 To the extent that the restriction on foreign persons donating or incurring referendum expenditure interferes with the ability of a political association to carry out its activities, it may also engage and limit the right to freedom of association. The right to freedom of association protects the right of all persons to group together voluntarily for a common goal and to form and join an association.<sup>22</sup> This right prevents States parties from imposing unreasonable and disproportionate restrictions on the right to form associations, including imposing procedures that may effectively prevent or discourage people from forming an association. For instance, the European Court of Human Rights has found that legislation prohibiting a French political party receiving funding or donations from foreign entities

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18 The European Court of Human Rights has found that legislation restricting persons from incurring electoral expenditure in the weeks prior to an election amounted to a restriction on the right to freedom of expression. See *Bowman v The United Kingdom*, European Court of Human Rights (Grand Chamber), Application No. 141/1996/760/961 (1998), particularly [33]. Further, it is noted that the right to take part in public affairs and elections is not directly engaged by this measure as this right only applies to citizens. See International Covenant on Civil and Political Rights, article 25.

19 International Covenant on Civil and Political Rights, article 19(2). See also UN Human Rights Council, *The promotion, protection and enjoyment of human rights on the Internet*, UNHRC Res. 20/8 (2012).

20 UN Human Rights Committee, *General Comment No. 34, Article 19: Freedoms of opinion and expression* (2011) [11]–[12].

21 UN Human Rights Committee, *General Comment No. 34, Article 19: Freedoms of opinion and expression* (2011) [34], [37] and [38]. The UN Committee has previously raised concerns about certain restrictions on political discourse, including 'the prohibition of door-to-door canvassing' and 'restrictions on the number and type of written materials that may be distributed during election campaigns'.

22 International Covenant on Civil and Political Rights, article 22.

interfered with its right to freedom of association by impacting its financial capacity to carry on its political activities.<sup>23</sup>

1.27 In addition, by prohibiting individuals from engaging in certain conduct in the private sphere, such as incurring referendum expenditure, and by expanding the Electoral Commissioner's information-gathering powers, the measure also engages and limits the right to privacy. The statement of compatibility partly acknowledges this, noting that information gathered by the Electoral Commissioner may contain personal information.<sup>24</sup> The right to privacy prohibits arbitrary and unlawful interferences with an individual's privacy, family, correspondence or home.<sup>25</sup> It includes the idea that individuals should have an area of autonomous development; a 'private sphere' free from government intervention and excessive unsolicited intervention by others. The right to privacy also includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information.

1.28 Further, noting the measure applies to foreign persons, treating such persons differently from others on the basis of their nationality engages and may limit the right to equality and non-discrimination.<sup>26</sup> This right provides that everyone is entitled to enjoy their rights without discrimination of any kind and that all people are equal before the law and entitled without discrimination to equal and non-discriminatory protection of the law.<sup>27</sup> While Australia maintains a discretion under international law with respect to its treatment of non-citizens in the context of the electoral process, Australia also has obligations under article 26 of the International Covenant on Civil and Political Rights not to discriminate on grounds of nationality or national origin.<sup>28</sup> Differential treatment will not constitute unlawful

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23 *Parti Nationaliste Basque – Organisation Régionale D'Iparralde v France*, European Court of Human Rights, Application No. 71251/01 (2007) [43]–[44]. Ultimately the Court concluded at [51] that 'the impact of the measure in question on the applicant party's ability to conduct its political activities is not disproportionate. Although the prohibition on receiving contributions from the Spanish Basque Nationalist Party has an effect on its finances, the situation in which it finds itself as a result is no different from that of any small political party faced with a shortage of funds'.

24 Statement of compatibility, p. 8.

25 International Covenant on Civil and Political Rights, article 17; UN Human Rights Committee, *General Comment No. 16: Article 17* (1988) [3]–[4].

26 International Covenant on Civil and Political Rights, articles 2 and 26.

27 International Covenant on Civil and Political Rights, articles 2 and 26. Article 2(2) of the International Covenant on Economic, Social and Cultural Rights also prohibits discrimination specifically in relation to the human rights contained in the International Covenant on Economic, Social and Cultural Rights.

28 UN Committee on the Elimination of Racial Discrimination, *General Recommendation 30: Discrimination against non-citizens* (2004).



discrimination if the differential treatment is based on reasonable and objective criteria.<sup>29</sup>

1.29 The statement of compatibility acknowledges that the above rights may be engaged and limited by the measure.<sup>30</sup> These rights may be subject to permissible limitations where the limitation pursues a legitimate objective, is rationally connected to that objective and is a proportionate means of achieving that objective.<sup>31</sup> In relation to the rights to freedom of expression and freedom of association, a legitimate objective is one that is necessary to protect specified interests, including the rights or reputations of others, national security, public order, or public health or morals.<sup>32</sup>

1.30 The stated objectives of the bill are first, to ensure that only those with a genuine, legitimate stake in the outcomes of the Australian referendum process are able to influence Australian referendums, and second, to secure and promote the actual and perceived integrity of the Australian referendum process by reducing the risk of foreign persons and entities exerting undue or improper influence on the outcomes of referendums.<sup>33</sup> Regarding the expanded information-gathering powers, the stated objective is to facilitate the gathering of information to enable the Electoral Commissioner to regulate the potential influence of foreign campaigners over Australian referendums.<sup>34</sup> The statement of compatibility states that these objectives are integral to maintaining public order and public confidence in the legitimacy of referendum results and to safeguarding the integrity of the referendum system.<sup>35</sup> It notes the threat of foreign influence in referendums can risk undermining democratic integrity and has the potential to erode democracy by compromising trust in voting results and trust in political participants.<sup>36</sup>

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29 UN Human Rights Committee, *General Comment 18: Non-Discrimination* (1989) [13]; see also *Althammer v Austria*, UN Human Rights Committee Communication No. 998/01 (2003) [10.2].

30 Statement of compatibility, pp. 7–9.

31 Regarding limitations on the right to privacy see, UN Human Rights Council, *Report of the Special Rapporteur on the promotion and protection of human rights and fundamental freedoms while countering terrorism, Martin Scheinin*, A/HRC/13/37 (2009) [15]–[18]. Regarding limitations on the right to freedom of expression see, UN Human Rights Committee, *General Comment No.34: Article 19: Freedoms of Opinion and Expression* (2011) [21]–[36].

32 International Covenant on Civil and Political Rights, article 19(3) and article 22(2). See UN Human Rights Committee, *General Comment No. 34: Article 19: Freedoms of Opinion and Expression* (2011) [32]–[35].

33 Schedule 4, item 3, proposed sections 109H and 109K; Statement of compatibility, pp. 7–9.

34 Statement of compatibility, p. 8.

35 Statement of compatibility, pp. 8–9.

36 Statement of compatibility, p. 7.

1.31 Seeking to maintain the integrity of electoral processes has been recognised as a legitimate objective for the purposes of international human rights law.<sup>37</sup> Indeed, the United Nations (UN) Human Rights Committee has accepted that legislation 'restricting the publication of opinion polls for a limited period in advance of an election' for the purposes of guaranteeing fair elections and protecting the rights of candidates addressed the legitimate objectives of protecting public order and respecting the rights of others.<sup>38</sup> The European Court of Human Rights has also accepted that prohibiting foreign States and foreign legal entities from funding national political parties pursued the legitimate objective of protecting institutional order and prevention of disorder.<sup>39</sup> In light of this jurisprudence, the measure appears to pursue a legitimate objective. To the extent that prohibiting foreign campaigners from engaging in certain conduct relating to referendums would reduce the threat of foreign influence in Australia's democracy and maintain the public's confidence in the integrity of the referendum process, the measure appears rationally connected to (that is, effective to achieve) the stated objectives.

1.32 A key aspect of whether a limitation on a right can be justified is whether the limitation is proportionate to the objective being sought. In this respect, it is necessary to consider a number of factors, including whether a proposed limitation is sufficiently circumscribed; whether it is accompanied by sufficient safeguards; and whether any less rights restrictive alternatives could achieve the same stated objective.

1.33 The breadth of the measure, including the persons and types of expression captured, is relevant in considering whether it is sufficiently circumscribed. The measure applies to foreign campaigners, which, as noted above, encompasses foreign persons who are not citizens or permanent residents but may still reside in Australia on another type of visa. This definition may capture a broad range of people, some of whom may have a legitimate connection with Australia and a genuine interest in the outcome of Australian referendums.

1.34 As to the type of expression captured, the measure prohibits the expression of referendum matter, meaning 'matter communicated or intended to be communicated for the dominant purpose of influencing the way electors vote at a

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37 UN Human Rights Committee, *General Comment No.34: Article 19: Freedoms of Opinion and Expression* (2011) [37].

38 *Kim Jong-Cheol v Republic of Korea*, UN Human Rights Committee Communication No. 968/2001 (2005) [8.3].

39 *Parti Nationaliste Basque – Organisation Régionale D'Iparralde v France*, European Court of Human Rights, Application No. 71251/01 (2007) [43]–[44]. See also *Bowman v The United Kingdom*, European Court of Human Rights (Grand Chamber), Application No. 141/1996/760/961 (1998), where the Court found that legislation restricting electoral expenditure prior to an election pursued the legitimate aim of protecting the rights of others, namely the candidates for election.

referendum'.<sup>40</sup> This would include referendum advertisements paid for, and approved by, a foreign campaigner; and referendum matters approved by a foreign campaigner that form part of a sticker, fridge magnet, leaflet, flyer, pamphlet, notice or poster.<sup>41</sup> The measure sets out matters to be taken into account in determining the dominant purpose of the expression, such as whether the matter expressly or implicitly comments on a proposed law for the alteration of the constitution.<sup>42</sup> It also contains a rebuttable presumption that matter that expressly promotes or opposes a proposed law for the alteration of the Constitution, to the extent that it relates to a referendum, is a 'referendum matter' and thus a prohibited form of expression.<sup>43</sup> Finally, the measure includes some exceptions to the prohibition, such as matters communicated for academic, educative and artistic purposes, news content and private communication.<sup>44</sup> However, notwithstanding these exceptions, in its current form, the definition of 'referendum matter' could potentially capture a wide range of materials and forms of expression. Considering the broad range of people to whom the measure may apply, and the types of expression prohibited, questions arise as to whether the measure is sufficiently circumscribed.<sup>45</sup>

1.35 In addition, the UN Human Rights Committee has noted that restrictions on the right to freedom of expression must not be overly broad and, even where restrictions are based on legitimate grounds, States parties 'must demonstrate in [a] specific and individualized fashion the precise nature of the threat' and establish 'a direct and immediate connection between the expression [in question] and the threat'.<sup>46</sup> This bill does not allow for an individualised assessment of the threat posed by either the foreign person or the particular expression in question. It is therefore not clear that all forms of expression prohibited by this bill would necessarily pose a

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40 Scheduled 3, item 3, proposed subsection 3AA(1).

41 Schedule 3, item 12, proposed section 110CA.

42 Scheduled 3, item 3, proposed subsection 3AA(5).

43 Scheduled 3, item 3, proposed subsection 3AA(4).

44 Scheduled 3, item 3, proposed subsection 3AA(6).

45 The Parliamentary Joint Committee on Human Rights has previously raised concerns about the breadth of related measures that restrict foreign campaigners engaging in electoral conduct and foreign political donations; and impose registration requirements on certain campaigners and entities, as well as persons undertaking activities on behalf of a foreign principal. See Parliamentary Joint Committee on Human Rights, Electoral Legislation Amendment (Foreign Influences and Offences) Bill 2022, [Report 2 of 2022](#) (9 February 2022) pp. 13–21; Electoral Legislation Amendment (Electoral Funding and Disclosure Reform) Bill 2017, [Report 1 of 2018](#) (6 February 2018), pp.11–29; [Report 3 of 2018](#) (27 March 2018) pp. 154–180; Foreign Influence Transparency Scheme Bill 2017 and Foreign Influence Transparency Scheme (Charges Imposition) Bill 2017, [Report 1 of 2018](#) (6 February 2018), pp.34–44; [Report 3 of 2018](#) (27 March 2018) pp. 189–206.

46 UN Human Rights Committee, *General Comment No.34: Article 19: Freedoms of Opinion and Expression* (2011) [34]–[35].

threat to Australia's democracy and referendum processes in practice. A measure that imposes a blanket prohibition without regard to the merits of an individual case is less likely to be proportionate than those which provide flexibility to treat different cases differently.

1.36 It is noted that proposed section 109ZA states that this proposed new part will not apply to the extent that any constitutional doctrine of implied freedom of political communication would be infringed if this Part were to apply to the person or entity. This could potentially operate as a safeguard to respect the right to freedom of expression. However, as the constitutional doctrine of implied freedom of political communication is grounded on the functioning of democratic and responsible government,<sup>47</sup> and as only citizens can vote, it is not clear that it would have any safeguard value in this context. It is also not clear, even if it were to operate as a safeguard in theory, that it would do so in practice, being a broadly defined exception without any clear guidance as to its parameters.

1.37 A further consideration is the extent of any interference with human rights. The greater the interference, the less likely the measure is to be considered proportionate. The measure not only prohibits individuals from engaging in certain conduct in the private sphere, such as incurring referendum expenditure or donating to referendum campaigns, but it also empowers the Electoral Commissioner to require individuals to give information or produce documents that are relevant to assessing compliance with these prohibitions. The Commissioner could require individuals to provide personal information, including in relation to their own compliance with the Act. As a failure to comply with these prohibitions is subject to civil penalties, and not criminal penalties, it appears that individuals would not be able to rely on the privilege against self-incrimination to refuse to comply with the Commissioner's request to produce information or documents that go to their own compliance with the legislation. Indeed, a person would commit an offence, punishable by six months imprisonment or 10 penalty units or both, if they fail to comply with the Commissioner's notice. The cumulative effect of these provisions, requiring the production of information or documents that could lead to the imposition of a civil penalty, would likely constitute a substantial interference with the right to privacy. Further, depending on the extent to which the prohibition on foreign donations and expenditure impacted the financial capacity of a political association to carry on its activities, it could also substantially interfere with the right to freedom of association.

1.38 Further, it is not clear from the information provided in the statement of compatibility whether the measure is accompanied by sufficient safeguards to ensure any limitation on rights is proportionate. It is also not clear whether there are

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47 *Lange v Australian Broadcasting Corporation* [1997] HCA 25; (1997) 189 CLR 520.

less rights restrictive ways of achieving the stated objectives. As such, further information is required to assess the proportionality of the measure.

### **Committee view**

1.39 The committee acknowledges the important objective of this measure in seeking to prevent foreign state players maliciously interfering with our referendum processes. However, the committee notes that prohibiting foreign campaigners (including foreign persons who are neither citizens nor permanent residents) who are in Australia from engaging in certain referendum conduct, including restricting forms of expression and fundraising or donating to referendum entities, engages and limits the rights to freedom of expression, freedom of association, privacy and equality and non-discrimination. The committee considers further information is required to assess the compatibility of this measure with these rights, and as such seeks the minister's advice in relation to:

- (a) why the bill does not allow for an individualised assessment of the threat posed by the foreign person or the form of expression sought to be prohibited;
- (b) why it is necessary for proposed subsection 3AA(4) to be framed as a rebuttable presumption rather than the obligation being placed on the Electoral Commissioner to establish that the communication is a prohibited form of expression;
- (c) why it is necessary for it to be an offence, punishable by six months imprisonment, to not comply with the Electoral Commissioner's expanded information-gathering powers (under proposed section 109N);
- (d) would a person be able to refuse to provide information to the Electoral Commissioner on the grounds that it might make them liable to a civil penalty under the new provisions, and if not, is the limitation on the right to privacy by requiring the production of the information or documents proportionate to the objective sought to be achieved;
- (e) would the implied freedom of political communication, protected by proposed section 109ZA, operate to safeguard the rights of foreign persons to freedom of expression in this context, and if so, how;
- (f) what other safeguards accompany the measure; and
- (g) whether consideration was given to less rights restrictive ways of achieving the stated objectives, and if so, why these alternatives were considered inappropriate.

1.40 The committee has sought advice on whether the temporary removal of the requirement relating to the distribution of an official pamphlet to households has any human rights implications and reserves the right to report further on this bill.

## Treasury Laws Amendment (Modernising Business Communications and Other Measures) Bill 2022<sup>1</sup>

<b>Purpose</b>	<p>This bill seeks to make amendments to multiple Acts relating to the Treasury portfolio, including to:</p> <ul style="list-style-type: none"> <li>allow for technology to be used in communication methods under the <i>Corporations Act 2001</i> and other Commonwealth Acts;</li> <li>implement recommendations made by the Australian Law Reform Commission in relation to simplifying and improving the navigability of Australia's financial services laws;</li> <li>transfer matters contained in Australian Security and Investments Commission legislative instruments into the primary law; and</li> <li>make miscellaneous and technical amendments to Treasury portfolio legislation, including extending the application of civil penalty provisions to foreign persons.</li> </ul>
<b>Portfolio</b>	Treasury
<b>Introduced</b>	House of Representatives, 23 November 2022
<b>Rights</b>	Criminal process rights

### Extending the application of civil penalty provisions

1.41 Schedule 4, division 11 of the bill seeks to extend the application of certain civil penalty provisions<sup>2</sup> in the *Foreign Acquisitions and Takeovers Act 1975* (the Act) to capture exempt core Part 3 actions taken by foreign persons (those not ordinarily resident in Australia, which may include Australian citizens).<sup>3</sup> The civil penalty provisions amended would include section 98B, which provides for a civil penalty of

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Treasury Laws Amendment (Modernising Business Communications and Other Measures) Bill 2022, *Report 1 of 2023*; [2023] AUPJCHR 6.

2 Including the civil penalties at sections 98B, 98D, 98E and 101AA of the *Foreign Acquisitions and Takeovers Act 1975*.

3 Schedule 4, division 11, items 49–58. A core Part 3 action is defined under Divisions 2, 4A and 4B of Part 2 of the *Foreign Acquisitions and Takeovers Act 1975*. See Explanatory Memorandum, p. 77. A foreign person is defined in section 4 of the *Foreign Acquisitions and Takeovers Act 1975*.

up to 2,500,000 penalty units (\$687.5 million) for persons who provide false or misleading information to the Treasurer in relation to a no objection notification.<sup>4</sup> Information could be false or misleading because of the omission of a matter or thing. The other relevant civil penalty provisions carry a penalty of 250 penalty units (\$68,750).<sup>5</sup>

## International human rights legal advice

### *Criminal process rights*

1.42 The committee previously commented on the civil penalty provisions under the Act that are to be amended by this bill.<sup>6</sup> In particular, the committee concluded that given the deterrent nature of the civil penalty and the substantial pecuniary sanction (up to 2,500,000 penalty units for individuals), there remained a risk that the penalties may be so severe as to amount to a criminal sanction under international human rights law. Noting that this bill seeks to extend the application of these same civil penalty provisions to foreign persons,<sup>7</sup> including penalties of up to 2,500,000 penalty units (\$687.5 million) for individuals, a similar risk arises that these penalties may be considered criminal in nature under international human rights law.<sup>8</sup>

1.43 Under Australian law, civil penalty provisions are dealt with in accordance with the rules and procedures that apply in relation to civil matters (the burden of proof is on the balance of probabilities). However, if the civil penalty provisions are regarded as 'criminal' for the purposes of international human rights law, they will engage the criminal process rights under article 14 of the International Covenant on Civil and Political Rights, including the right to be presumed innocent until proven

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4 *Foreign Acquisitions and Takeovers Act 1975*, section 98B. Subsection 3 provides that the maximum penalty for contravention of section 98B is the lesser of a) 2,500,000 penalty units or b) the greater of the following: 5,000 penalty units or the sum of the amounts worked out under section 98F.

5 *Foreign Acquisitions and Takeovers Act 1975*, sections 98D and 98E.

6 Parliamentary Joint Committee on Human Rights, *Foreign Investment Reform (Protecting Australia's National Security) Bill 2020*, Report 14 of 2020 (26 November 2020) pp. 2–17 and Report 1 of 2021 (3 February 2021) pp. 49–74.

7 The statement of compatibility states that the amendments seek to implement the original policy intention underlying the Foreign Investment Reform (Protecting Australia's National Security) Bill 2020, namely that foreign persons should be liable under relevant civil penalty provisions, even if they have been issued with an exemption certificate: p. 99.

8 At the time the committee initially commented on these civil penalty provisions the penalty unit was \$222, meaning that the penalty of 2,500,000 penalty units equated to \$555 million. Following the passing of the Crimes Amendment (Penalty Unit) Act 2022, the penalty unit was increased to \$275, meaning that the penalty of 2,500,000 penalty units now equates to \$687,500,000. See Parliamentary Joint Committee on Human Rights, Report 6 of 2022 (25 November 2022), pp. 34–38.

guilty according to law,<sup>9</sup> which requires that the case against the person be demonstrated on the criminal standard of proof of beyond reasonable doubt. The statement of compatibility acknowledges that by widening the scope of the civil penalty provisions under the Act to capture certain actions of foreign persons, the bill engages article 14.<sup>10</sup>

1.44 The test for whether a civil penalty should be characterised as 'criminal' for the purposes of international human rights law relies on three criteria:

- (a) the domestic classification of the offence as civil or criminal;
- (b) the nature of the penalty; and
- (c) the severity of the penalty.<sup>11</sup>

1.45 In relation to (a), the penalties would be classified as civil not criminal penalties. However, the domestic classification of the penalties, while relevant, is not determinative as the term 'criminal' has an autonomous meaning in international human rights law.

1.46 In relation to (b), a civil penalty is more likely to be considered 'criminal' in nature if it applies to the public in general rather than a specific regulatory or disciplinary context, and where there is an intention to punish or deter, irrespective of the severity of the penalty. The penalties would apply to foreign persons, namely those not ordinarily resident in Australia, who take certain actions under the Act. The penalties therefore appear to apply to persons in a specific regulatory context rather than the general public. As to the underlying intention of the penalties, the statement of compatibility notes the need to create a sufficient deterrent to protect the national interest, particularly given the potential benefits and profits that may be derived from non-compliance with the Act, and to impose penalties that reflect the seriousness of potential non-compliance and align with community standards and expectations.<sup>12</sup> As deterrence is the stated purpose of the penalties, it would seem to meet the test that the penalty is intended to deter and punish.

1.47 In relation to (c), in determining whether a civil penalty is sufficiently severe as to amount to a 'criminal' penalty, the nature of the industry or sector being regulated and the relative size of the penalties in that regulatory context is

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9 International Covenant on Civil and Political Rights, article 14(2).

10 Statement of compatibility, p. 99.

11 For further detail, see the Parliamentary Joint Committee on Human Rights, *Guidance Note 2: Offence provisions, civil penalties and human rights* (December 2014).

12 Statement of compatibility, pp. 99–100. These factors reflect those set out in the statement of compatibility accompanying the Foreign Investment Reform (Protecting Australia's National Security) Bill 2020, pp. 226–227.



relevant.<sup>13</sup> The penalty is more likely to be considered criminal for the purposes of international human rights law if the penalty carries a term of imprisonment or a substantial pecuniary sanction. While the civil penalty provisions would not carry a term of imprisonment, they would impose a potentially substantial pecuniary sanction on individuals (including up to 2,500,000 penalty units, equivalent to over \$687 million). The statement of compatibility indicates that the penalties are intentionally substantial so as to deter non-compliance with the Act, having regard to the potential profits to be derived from non-compliance.

1.48 While some factors may support classifying the penalties as 'civil', namely the domestic classification, the regulatory context and the lack of a term of imprisonment, other factors indicate that the penalties could be regarded as 'criminal', including the fact that the penalties are intended to deter non-compliance with the Act and may amount to a substantial pecuniary sanction. In cases where the maximum pecuniary order is made, there is a greater risk that the civil penalty may be considered so severe as to constitute a criminal sanction for the purposes of international human rights law.

1.49 While the civil penalty provisions may be considered to be 'criminal' for the purposes of international human rights law, this neither means that the relevant conduct must be turned into a criminal offence in domestic law nor that the civil penalty is illegitimate. Instead, it means that the civil penalty provisions in Schedule 2 must be shown to be consistent with the criminal process guarantees set out in article 14 of the International Covenant on Civil and Political Rights, including the right to be presumed innocent until proven guilty according to law.<sup>14</sup> This right requires that the case against the person be demonstrated on the criminal standard of proof, that is, it must be proven beyond reasonable doubt. The standard of proof applicable in civil penalty proceedings is the civil standard of proof, requiring proof on the balance of probabilities. Were the civil penalties in this bill to be characterised as 'criminal' for the purposes of international human rights law, the lower standard of civil proof would not appear to comply with article 14.

### **Committee view**

1.50 The committee considers that extending the civil penalty provisions in the Act to certain actions taken by foreign persons is an important measure to realise the original policy intention of the penalty provisions and ultimately deter non-compliance with the Act. However, noting the substantial pecuniary sanctions of over \$687 million that could apply to individuals, there is a risk that the penalties may be so severe as to constitute a 'criminal' sanction under international human rights law.

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13 See Simon NM Young, 'Enforcing Criminal Law Through Civil Processes: How Does Human Rights Law Treat "Civil For Criminal Processes"?', *Journal of International and Comparative Law*, vol. 2, no. 2, 2017, pp. 133-170.

14 International Covenant on Civil and Political Rights, article 14(2).

The committee notes that it raised similar human rights concerns in relation to these civil penalties when they were first introduced in 2020.<sup>15</sup> Were the penalties to be considered 'criminal', the committee notes that this does not mean the relevant conduct must be classified as a criminal offence or that the civil penalty is illegitimate. Rather, it must be shown that the provisions are consistent with the criminal process guarantees set out in article 14 of the International Covenant on Civil and Political Rights.

1.51 Noting the related legislative scheme applies a civil standard of proof (and not proof beyond a reasonable doubt), the committee considers that, depending on the severity of the pecuniary penalty applied, there may be a risk that the civil penalty provisions are not consistent with the criminal process guarantees.

#### **Suggested action**

1.52 The committee recommends that when civil penalties are so severe such that there is a risk that they may be regarded as 'criminal' under international human rights law, consideration should be given to applying a higher standard of proof in the related civil penalty proceedings.

1.53 The committee draws these human rights concerns to the attention of the Assistant Minister and the Parliament.

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15 Parliamentary Joint Committee on Human Rights, Foreign Investment Reform (Protecting Australia's National Security) Bill 2020, *Report 14 of 2020* (26 November 2020) pp. 2–17 and *Report 1 of 2021* (3 February 2021) pp. 49–74.

## Legislative instruments

### Aged Care Quality and Safety Commission Amendment (Code of Conduct and Banning Orders) Rules 2022 [F2022L01457]<sup>1</sup>

<b>Purpose</b>	This legislative instrument makes provision for the Code of Conduct for Aged Care and its enforcement, establishes that certain information must be included in the register of banning orders, and makes provision for matters relating to accessing, correcting information in, and publication of, the register of banning orders.
<b>Portfolio</b>	Health and Aged Care
<b>Authorising legislation</b>	<i>Aged Care Quality and Safety Commission Act 2018</i>
<b>Last day to disallow</b>	15 sitting days after tabling (tabled in the House of Representatives and the Senate on 21 November 2022). Notice of motion to disallow must be given by 15 February 2023 in the House and 8 March 2023 in the Senate <sup>2</sup>
<b>Rights</b>	Health; privacy; rights of persons with disability

#### Information gathering powers and other compliance action powers

1.54 This legislative instrument amends the Aged Care Quality and Safety Commission Rules 2018 to establish the Code of Conduct for Aged Care (Code of Conduct).<sup>3</sup> The Code of Conduct establishes minimum standards of conduct for approved providers and their aged care workers and governing persons (such as treating people with dignity and respecting their rights, providing appropriate care and supports and acting with integrity).

1.55 It also provides (section 23BD) that the Aged Care Quality and Safety Commissioner (the Commissioner) may take certain actions in relation to compliance

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Aged Care Quality and Safety Commission Amendment (Code of Conduct and Banning Orders) Rules 2022 [F2022L01457], *Report 1 of 2023*; [2022] AUPJCHR 7.

2 In the event of any change to the Senate or House's sitting days, the last day for the notice would change accordingly.

3 Item 2 and Schedule 1.

with the Code of Conduct, including in relation to compliance by an individual who is, or was, an aged care worker or a governing person of an approved provider. The Commissioner may take various action, including: discussing compliance issues with any person; requesting information or documents from any person; carrying out an investigation; referring information about the compliance to another person or body; and taking any other action considered reasonable in the circumstances.<sup>4</sup> It appears the Commissioner's powers under section 23BD of this instrument may not be enforceable under this instrument – but the *Aged Care Quality and Safety Commission Act 2018* makes it an offence for a person to fail to comply with a notice given by the Commissioner to answer questions or provide information or documents.<sup>5</sup>

## **Preliminary international human rights legal advice**

### ***Rights to health, privacy and rights of persons with disability***

1.56 Insofar as taking action in relation to compliance with the Code of Conduct helps to ensure that aged care workers provide care, support and services in accordance with the Code, this measure appears to promote the rights to health and, as many people in aged care live with disability, the rights of persons with disability. The right to health is the right to enjoy the highest attainable standard of physical and mental health.<sup>6</sup> The right to health requires available, accessible, acceptable and quality health care. The right to be free from all forms of violence, abuse and exploitation in article 16 of the Convention on the Rights of Persons with Disabilities requires that States Parties shall take all appropriate legislative, administrative, social, educational and other measures to protect persons with disabilities, both within and outside the home, from all forms of exploitation, violence and abuse.<sup>7</sup> Further, '[i]n order to prevent the occurrence of all forms of exploitation, violence and abuse, States Parties shall ensure that all facilities and programmes designed to serve persons with disabilities are effectively monitored by independent authorities'.<sup>8</sup>

1.57 However, by providing that the Commissioner may take compliance action that includes carrying out an investigation and requesting information or documents, this measure also engages and limits the right to privacy. The right to privacy includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such

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4 Section 23BD.

5 See Part 8A, Division 3 of the *Aged Care Quality and Safety Commission Act 2018*.

6 International Covenant on Economic, Social and Cultural Rights, article 12(1).

7 Convention on the Rights of Persons with Disabilities, article 16(1).

8 Convention on the Rights of Persons with Disabilities, article 16(3).

information.<sup>9</sup> It also includes the right to control the dissemination of information about one's private life.

1.58 The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective.

1.59 The statement of compatibility does not identify that this measure engages and limits the right to privacy, and so no assessment of its compatibility is available.

1.60 The statement of compatibility states that the general objective of the legislative instrument is to strengthen safeguards for older Australians receiving aged care and the instrument aims to increase public confidence in the aged care sector and workforce.<sup>10</sup> Protecting the safety of vulnerable aged care recipients is a legitimate objective for the purposes of international human rights law, and taking action to enforce the Code of Conduct appears to be rationally connected to (that is, likely to be effective to achieve) that objective.

1.61 The key question is whether the information gathering measures are proportionate. With respect to proportionality, it is necessary to consider several factors, including whether the proposed limitation is sufficiently circumscribed; whether it is accompanied by sufficient safeguards; and whether any less rights restrictive alternatives could achieve the same stated objective. It is noted that the Commissioner would be permitted to take action in relation to persons who were formerly aged care workers or governing persons of an approved aged care provider (including, it would seem, those who have since left that area of work).<sup>11</sup> It is not clear that any time limit applies restricting how long ago a person may have been employed in the sector and remain liable to such action. Further, the Commissioner would be empowered to discuss the compliance with any other person (and request that they provide documents or information or be required to attend before an authorised officer and answer questions). It is not clear whether and how these information-gathering powers would be circumscribed.

1.62 It is also unclear what safeguards would apply to protect information that has been collected and shared. The statement of compatibility identifies that personal information collected is 'protected information', and that the use or disclosure of such information other than as authorised is an offence.<sup>12</sup> However, this would not appear to operate as a safeguard except in circumstances of

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9 International Covenant on Civil and Political Rights, article 17.

10 Statement of compatibility, p. 17.

11 Section 23BD.

12 Statement of compatibility, p. 19.

unauthorised use or disclosure, and so does not appear to be directly relevant to the question of the range of use and disclosure authorised by this legislative instrument. Further, no information is provided as to what happens once personal information has been collected and shared, how it is required to be stored, and whether it is required to be destroyed after a certain period. Consequently, it is unclear whether this measure constitutes a proportionate limit on the right to privacy.

### **Committee view**

1.63 The committee considers that taking action to ensure compliance by aged care workers and providers with the Code of Conduct promotes the rights to health and, as many people in aged care live with disability, the rights of persons with disability. The committee considers that establishing broad information gathering and sharing powers for the Commissioner to enforce the Code also engages and limits the right to privacy. However, as the statement of compatibility does not recognise this right has been engaged, the committee considers further information is required to assess the compatibility of this measure with the right to privacy, and as such seeks the minister's advice in relation to:

- (a) whether and how these information gathering powers would be circumscribed;
- (b) what threshold would be required to be met before the Commissioner may exercise these powers;
- (c) what safeguards would apply to protect information that has been collected and shared (including what happens once personal information has been collected and shared, how it is required to be stored, and whether it is required to be destroyed after a certain period); and
- (d) whether other, less rights-restrictive alternatives would be effective to achieve the same objective.

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### **Publication of a register of banning orders**

1.64 The legislative instrument establishes additional provisions relating to the register of banning orders. Banning orders prohibit or restrict specified activities, including those of current and former aged care workers.<sup>13</sup> The *Aged Care Quality and Safeguard Commission Act 2018* requires that a register of banning orders must include: the relevant individual's name; Australian Business Number (if any); and details of the banning order (including any conditions to which the order is

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13 Aged Care Quality and Safety Commission Act, section 74GB.

subject).<sup>14</sup> This instrument provides for additional matters that must be included on the register, stating that the register must include the state or territory, suburb and postcode of an individual's last known place of residence; and if the Commissioner considers that further information is necessary to identify the individual the register can include further information that the Commissioner considers is sufficient to identify the individual.<sup>15</sup>

1.65 The instrument also provides that an individual may request access to information about themselves that is included in the register and may seek the correction of such information. The instrument provides that the Commissioner may (and in some cases must) correct information that is included in the register of banning orders.<sup>16</sup> Further, the instrument provides that the register of banning orders may be published on the Commission's website. However, a part of the register must not be published if the Commissioner considers that its publication would be contrary to the public interest or the interests of one or more care recipients.<sup>17</sup>

### **Preliminary international human rights legal advice**

#### ***Rights to health, rights of persons with disability and right to privacy and reputation***

1.66 Insofar as the register of banning orders helps to ensure that unsuitable people who may present a risk to aged care recipients are not engaged in the provision of their care, this measure appears to promote the rights to health and, as many people in aged care live with disability, the rights of people with disability, as set out at paragraph [1.56].

1.67 However, by providing that the register of banning orders may be made public, including the names and other identifying information in relation to the individuals subject to those orders, the measure also engages and limits the right to privacy. The right to privacy protects against arbitrary and unlawful interferences with an individual's privacy and attacks on reputation. It includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information. It also includes the right to control the dissemination of information about one's private life.

1.68 The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the

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14 Aged Care Quality and Safety Commission Act, section 74GI.

15 Section 23CB.

16 Sections 23CE-CF.

17 Section 23CG.

measure must pursue a legitimate objective, be rationally connected to that objective and proportionate to achieving that objective.

1.69 The statement of compatibility states that the objective of this measure is to promote the rights of aged care recipients, and to protect them from exploitation and abuse. Protecting the safety of vulnerable aged care recipients is a legitimate objective for the purposes of international human rights law. Making information about banned individuals accessible to the public, including future employers, is likely to be effective to achieve that objective.

1.70 The key question is whether the measure is proportionate. In assessing the proportionality of the measure, relevant considerations include whether the limitation is only as extensive as is strictly necessary; whether there are other less rights restrictive means to achieve the objective; and whether there are appropriate safeguards accompanying the measure.

1.71 The scope of personal information published on the register is relevant in considering whether the limitation on the right to privacy is only as extensive as is strictly necessary. In this regard, the instrument establishes that the Commissioner may include additional personal information if they consider it is necessary to identify the person subject to a banning order. The explanatory statement notes:

It is intended that [subsection 23CB(b)] only be relied up on where it would not otherwise be possible to correctly identify an individual. For example, where two individuals who work in aged care have the same name, live in the same suburb, and a banning order is made in relation to one of those individuals. In these circumstances, the Commissioner can include additional personal information in the register to correctly identify the individual in relation to whom the banning order relates. It is not intended that any information beyond that which is reasonably necessary to enable correct identification of an individual would be included.<sup>18</sup>

1.72 Based on the current version of the register available online (as at 20 January 2023) it would appear that this discretion has been used to include the date of birth of a person subject to a banning order. Further, the current version of the register appears to indicate that the date of birth of each person subject to a banning order will be published (as it is included as a standard column on the register: 'full name and date of birth').<sup>19</sup>

1.73 Inclusion on the register indicates that a banning order has been made against the individual (even if the order is no longer in force). A banning order may be made against an individual on a number of grounds, including that the Commissioner considers they are not complying, or are likely not to comply, with the

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18 Explanatory statement, p. 11.

19 See [Register of banning orders](#).



Code of Conduct, or that they are not suitable to be involved in the provision of aged care.<sup>20</sup> This may be based on a number of factors, including their experience in aged care or other relevant forms of care; that they have been subject to relevant adverse findings; or that they have been convicted of an indictable offence.<sup>21</sup> As such, given that the register must include: an individual's name; state or territory, suburb and postcode of their last known residence; publication of the fact of the banning order; and details of the order, this is likely to have a considerable effect on the individual's right to privacy and reputation, as it indicates they are not suitable to be involved in providing aged care services. The register includes any banning order made, including if it is no longer in force, except where a banning order has been revoked or set aside.<sup>22</sup> Where an application has been made for reconsideration of a decision to make an order, a statement to this effect must also be included.<sup>23</sup>

1.74 In considering whether the limitation on the right to privacy is no more than is strictly necessary, it is not clear if the register needs to be published on the Commissioner's website and accessible to the general public in order to achieve the stated objective of protecting aged care recipients. For example, would it be as effective to provide access only to employers in the aged care sector, noting this would appear to be sufficient to ensure persons banned from the sector are not employed professionally in that sector in future?

1.75 In terms of safeguards, the statement of compatibility states that the ability for persons to request the correction of the register, and the Commissioner's power to make corrections, ensures that the register does not unintentionally and incorrectly implicate another person, and that the Commissioner has the power not to publish information if in the public interest.<sup>24</sup> The ability to seek a correction of the register is a safeguard in terms of ensuring that the content of the register is accurate, as is the Commissioner's general discretion to correct inaccurate, out-of-date, incomplete, irrelevant or misleading information. However it is not clear why there is no obligation (rather than a discretion) on the Commissioner to correct personal information that is wrong or misleading. The instrument refers in a note to the Commissioner's obligations to correct information under Australian Privacy Principle (APP) 13 in Schedule 1 to the *Privacy Act 1988*.<sup>25</sup> However, it is noted that APP 13 does not apply to 'Commonwealth records'. The Office of the Australian Information Commissioner states that it is likely that the definition of

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20 Aged Care Quality and Safety Commission Act, section 74GB.

21 Aged Care Quality and Safety Commission Act, section 8C.

22 Aged Care Quality and Safety Commission Act, section 74GI.

23 Aged Care Quality and Safety Commission Act, section 74GI.

24 Statement of compatibility, p. 19.

25 Section 23CF, note.

Commonwealth records 'is likely to include, in almost all cases, all personal information held by agencies',<sup>26</sup> meaning this APP obligation would not appear to apply to compel the Commissioner to correct incorrect or misleading information on the register.

1.76 Further, it is noted that the Commissioner's power not to publish information where to do so would be contrary to the public interest appears unlikely to serve as a personal safeguard for individuals named in banning orders, as it would seem unlikely that the privacy of the subject of a banning order would be regarded as being in the public interest.

### Committee view

1.77 The committee considers that publishing a register of persons who have been banned from providing aged care services is directed towards the extremely important objective of protecting vulnerable older Australians and ensuring that persons found to be unsuitable to provide aged care services are not employed in the sector in future. This committee considers that this measure promotes the rights to health and, as many people in aged care live with disability, the rights of persons with disability. The committee considers publishing this data also limits the right to privacy, but the measure is clearly directed towards a legitimate objective, and publishing this information is likely to be effective to achieve this objective.

1.78 However, the committee requires further information to determine whether the measure constitutes a proportionate limit on the right to privacy. The committee notes that it has previously considered legislation relating to the register of banning orders on numerous occasions and raised questions as to proportionality.<sup>27</sup> As such the committee seeks the minister's advice in relation to:

- (a) whether any less rights restrictive alternatives to publicly publishing the register (including the register being available only to employers, or on request) would not be effective to achieve the objective of this measure;
- (b) whether it is intended that the date of birth of each person subject to a banning order will be published as a matter of routine, and if so why; and

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26 Office of the Australian Information Commissioner, *Australian Privacy Principles guidelines*, [Chapter 13: APP 13 — Correction of personal information](#), [13.48].

27 See consideration of Aged Care and Other Legislation Amendment (Royal Commission Response No. 2) Bill 2021 in: *Report 11 of 2021*, (16 September 2021), pp. 2–6; *Report 14 of 2021*, (24 November 2021), pp. 2–9; and *Report 1 of 2022* (9 February 2022) pp. 23–39.

- (c) why the instrument does not *require* the Commissioner to correct inaccurate or misleading information on the register (when brought to their attention) in all instances.

## Fair Entitlements Guarantee Regulations 2022 [F2022L01529]<sup>1</sup>

<b>Purpose</b>	This instrument repeals and replaces the Fair Entitlements Guarantee Regulation 2012 and makes modifications to the <i>Fair Entitlements Guarantee Act 2012</i> for the purpose of continuing the established scheme of financial assistance for textile, clothing and footwear industry contract outworkers
<b>Portfolio</b>	Employment and Workplace Relations
<b>Authorising legislation</b>	<i>Fair Entitlements Guarantee Act 2012</i>
<b>Last day to disallow</b>	15 sitting days after tabling (tabled in the House of Representatives on 29 November 2022 and in the Senate on 30 November 2022). Notice of motion to disallow must be given by 9 March 2023 in the House and by 24 March 2023 in the Senate <sup>2</sup>
<b>Rights</b>	Just and favourable conditions of work; equality and non-discrimination

### Financial assistance scheme for textile, clothing and footwear industry contract outworkers

1.79 These regulations continue the scheme of financial assistance for textile, clothing and footwear (TCF) industry contract outworkers in situations where their employer has become insolvent.<sup>3</sup> A 'TCF contract outworker' is an individual who does, or has done, work in the TCF industry otherwise than as an employee and at a premises not normally regarded as a business premises, such as a residential premises.<sup>4</sup> The scheme allows TCF contract outworkers to recover unpaid employment entitlements, including annual leave, long service leave, payment in lieu

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Fair Entitlements Guarantee Regulations 2022 [F2022L01529], *Report 1 of 2023*; [2023] AUPJCHR 8.

2 In the event of any change to the Senate or House's sitting days, the last day for the notice would change accordingly.

3 The financial assistance scheme for TCF contract outworkers was first established by the Fair Entitlements Guarantee Regulation 2012, which is repealed and replaced by this instrument. The scheme operates under the Fair Entitlements Guarantee (FEG), which is established under the *Fair Entitlements Guarantee Act 2012*.

4 Section 4; *Fair Work Act 2009*, section 12. See generally Department of Employment and Workplace Relations, [TCF contract outworkers scheme](#) (September 2022).

of notice, redundancy pay and wages entitlements.<sup>5</sup> A TCF contract outworker is eligible to recover such entitlements if, among other things, they are an Australian citizen or a holder of a permanent visa or a special category visa (namely persons who hold New Zealand citizenship).<sup>6</sup>

### **Preliminary international human rights legal advice**

#### ***Rights to just and favourable conditions of work and equality and non-discrimination***

1.80 For those eligible for the scheme, the payment of financial assistance to workers who are owed unpaid employment entitlements would promote the right to just and favourable conditions of work.<sup>7</sup> This includes the right of all workers to adequate and fair remuneration, which, at a minimum, encompasses:

fair wages, equal remuneration for work of equal value without distinction of any kind, in particular women being guaranteed conditions of work not inferior to those enjoyed by men, with equal pay for equal work...and a decent living for workers and their families.<sup>8</sup>

1.81 The United Nations (UN) Committee on Economic, Social and Cultural Rights has stated that workers 'should receive all wages and benefits legally due upon termination of a contract or in the event of the bankruptcy or judicial liquidation of the employer'.<sup>9</sup> The enjoyment of the right to just and favourable conditions of work is important for realising other economic, social and cultural rights, including the right to an adequate standard of living through decent remuneration.<sup>10</sup>

1.82 However, by excluding TCF contract outworkers who are not Australian citizens, permanent residents or holders of a special category visa from accessing the financial assistance scheme, the measure engages and limits the right to equality and non-discrimination by treating individuals differently on the basis of nationality. The

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5 Schedule 1, item 1.

6 Schedule 1, item 2, paragraph 10(1)(f).

7 International Covenant on Economic, Social and Cultural Rights, article 7. The statement of compatibility states that this measure also promotes the right to social security, p. 16.

8 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [9].

9 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [10].

10 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [1]. The right to an adequate standard of living is protected by the International Covenant on Economic, Social and Cultural Rights, article 11.

statement of compatibility acknowledges that the measure limits this right by making citizenship or visa status a condition of eligibility for financial assistance.<sup>11</sup> The right to equality and non-discrimination provides that everyone is entitled to enjoy their rights without discrimination of any kind and that all people are equal before the law and entitled without discrimination to equal and non-discriminatory protection of the law.<sup>12</sup> The right to equality encompasses both 'direct' discrimination (where measures have a discriminatory intent) and 'indirect' discrimination (where measures have a discriminatory effect on the enjoyment of rights).<sup>13</sup> This measure not only treats people differently on the basis of nationality or migration status, but it appears to also have a disproportionate impact on people with other protected attributes, such as sex and race, noting that the majority of TCF contract outworkers are women, many of whom are from migrant backgrounds and experience cultural and linguistic barriers.<sup>14</sup>

1.83 Under international human rights law, where a person possesses characteristics which make them particularly vulnerable to intersectional discrimination, such as on the grounds of both sex and race or nationality, the UN Committee on Economic, Social and Cultural Rights has highlighted that 'particularly special or strict scrutiny is required in considering the question of possible

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11 Statement of compatibility, p. 12.

12 International Covenant on Civil and Political Rights, articles 2 and 26. Article 2(2) of the International Covenant on Economic, Social and Cultural Rights also prohibits discrimination specifically in relation to the human rights contained in the International Covenant on Economic, Social and Cultural Rights. Articles 1–4 and 15 of the Convention on the Elimination of All Forms of Discrimination against Women further describe the content of these obligations, including the specific elements that State parties are required to take into account to ensure the rights to equality for women.

13 UN Human Rights Committee, *General Comment 18: Non-discrimination* (1989).

14 See Fair Work Ombudsman, *Textile, Clothing and Footwear Compliance Phase Campaign Report* (January 2019) p. 10, which reports women comprise 59.1% of TCF workers and 44 % are people born overseas. The Fair Work Ombudsman states that TCF workers are 'especially vulnerable to exploitation' due to a number of factors, including 'a high proportion are mature-aged migrant women, who face cultural and linguistic barriers to understanding and inquiring about their workplace entitlements' and 'an unverified number are outworkers, who work away from business premises (often at home) at the end of long and complex production supply chains - and are therefore difficult to identify, or "hidden": p 5. See also The Senate Education, Employment and Workplace Relations Legislation Committee, [Fair Work Amendment \(Textile, Clothing and Footwear Industry\) Bill 2011](#) (February 2012) pp. 3, 12; Textile Clothing and Footwear Union of Australia, *Submission No 214 to the Productivity Commission Review into the Workplace Relations Framework* (27 March 2015) [3.2].

discrimination'.<sup>15</sup> In general, differential treatment will not constitute unlawful discrimination if the differential treatment is based on reasonable and objective criteria.<sup>16</sup>

1.84 Additionally, insofar as the measure results in certain workers enjoying more favourable working conditions than others, the measure may engage and limit the right to just and favourable conditions of work and potentially associated rights, such as the right to an adequate standard of living, for those workers unable to access the scheme. States parties have an immediate obligation to guarantee that the right to just and favourable working conditions is exercised without discrimination of any kind, including distinction based on race, ethnicity, nationality, migration status or gender.<sup>17</sup> The right to just and favourable conditions of work is to be enjoyed by 'all workers in all settings', including workers in the informal sector, migrant workers and workers from ethnic and other minorities.<sup>18</sup> Regarding migrant workers in particular, the UN Committee on Economic, Social and Cultural Rights has stated that 'laws and policies should ensure that migrant workers enjoy treatment that is no less favourable than that of national workers in relation to remuneration and conditions of work'.<sup>19</sup> More generally, States parties have an obligation to fulfil the right to just and favourable conditions of work, which could include 'establishing non-

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15 See *Marcia Cecilia Trujillo Calero v. Ecuador*, UN Committee on Economic, Social and Cultural Rights, Communication No. 10/2015, E/C.12/63/D/10/2015 (26 March 2018) [19.2]. See also *Rodriguez v Spain*, UN Committee on Economic, Social and Cultural Rights, Communication No. 1/2013 E/C.12/57/D/1/2013 (20 April 2016) [14.1]; UN Committee on Economic, Social and Cultural Rights, *General Comment 20: non-discrimination in economic, social and cultural rights* (2009) [17] and *General Comment 16: the equal right of men and women to the enjoyment of all economic, social and cultural rights* (2005) [5]; and Committee on the Elimination of Discrimination against Women, *General Recommendation No. 28: The Core Obligations of States Parties under Article 2 of the Convention on the Elimination of All Forms of Discrimination against Women*, CEDAW/C/GS/28 (16 December 2010) [28].

16 UN Human Rights Committee, *General Comment 18: Non-Discrimination* (1989) [13]; see also *Althammer v Austria*, UN Human Rights Committee Communication No. 998/01 (2003) [10.2].

17 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [5], [11], [53].

18 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [5].

19 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [47(e)].

contributory social security programmes for certain workers, such as workers in the informal economy'.<sup>20</sup>

1.85 The above rights may be subject to permissible limitations where the limitation pursues a legitimate objective and is rationally connected to, and a proportionate means of achieving, that objective.

1.86 The stated objective of the measure is to establish an assistance scheme that is intended to operate as a safety net for eligible TCF contract outworkers whose employment has ended due to insolvency of their direct engagers or employers.<sup>21</sup> The statement of compatibility states that the measure seeks to alleviate some of the disadvantages experienced by TCF contract outworkers, noting that these workers are particularly vulnerable as a result of their employment in non-business premises as well as the fact that many workers have poor English language skills and a lack of knowledge about the Australian legal system, and low levels of union membership in the industry.<sup>22</sup> As to the reason for restricting eligibility for the scheme on the basis of migration status, the statement of compatibility states that the scheme is analogous to social security legislation and as such the measure has been drafted in such a way as to maintain some consistency with conditions of eligibility in analogous social security legislation.<sup>23</sup>

1.87 Seeking to financially support vulnerable workers during an insolvency event would, in general, constitute a legitimate objective for the purposes of international human rights law. However, in relation to the specific objective sought to be achieved by excluding certain TCF contract outworkers from the scheme, it is not clear that ensuring legislative consistency would constitute a legitimate objective. To be capable of justifying a proposed limitation on human rights, a legitimate objective must address a pressing or substantial concern and not simply seek an outcome regarded as desirable or administratively convenient. It must also be demonstrated that any limitation on a right has a rational connection to the objective sought to be achieved.

1.88 In assessing whether the limitation is proportionate to the objective being sought, it is necessary to consider a number of factors, including whether a proposed limitation is accompanied by sufficient safeguards and whether any less rights restrictive alternatives could achieve the same stated objective.

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20 UN Committee on Economic, Social and Cultural Rights, *General Comment No. 23 (2016) on the right to just and favourable conditions of work (article 7 of the International Covenant on Economic, Social and Cultural Rights)* (2016) [64].

21 Statement of compatibility, p. 12.

22 Statement of compatibility, p. 16.

23 Statement of compatibility, p. 12.



1.89 The statement of compatibility states that the limitation on the right to equality and non-discrimination is reasonable, in particular because it does not preclude those TCF contract outworkers who are ineligible for financial assistance from recovering unpaid entitlements from their former employer or engager.<sup>24</sup> However, given there is a recognised need to establish a financial assistance scheme for workers affected by an insolvency event, in part due to their unique vulnerabilities and the challenges in recovering unpaid entitlements, it seems unlikely that the alternative option of individuals recovering payments directly from their insolvent employer would be effective in practice. As such, the availability of this avenue of redress does not appear to assist with the proportionality of the measure. The statement of compatibility does not identify any other safeguards that may assist with proportionality.

1.90 Another relevant factor in assessing proportionality is whether the measure provides sufficient flexibility to treat different cases differently. The eligibility criteria set out in the measure are exhaustive, requiring the Secretary to be satisfied of all criteria in order for a TCF contract outworker to be eligible for financial assistance.<sup>25</sup> Under international human rights law, a measure that imposes a blanket policy without regard to the merits of an individual case is less likely to be proportionate. It is not clear why, for example, the Secretary is unable to consider the individual circumstances of each worker who were to apply for financial assistance, such as the impact of the insolvency event on the worker's personal and family life; the amount of unpaid entitlements owing; whether the worker has access to other social security benefits or financial assistance; or any other vulnerabilities experienced by the worker, such as disability, linguistic and cultural diversity or family and caring responsibilities, noting these other factors may influence a worker's ability to obtain other employment.<sup>26</sup> Were the Secretary to be conferred with such a discretion, this may be a less rights restrictive way of achieving the stated objective.

### **Committee view**

1.91 The committee notes that providing a financial assistance scheme for eligible TCF contract outworkers during an insolvency event would promote the right to just and favourable conditions of work. However, restricting access to this scheme on the basis of migration status also engages and limits the rights to equality and non-discrimination and may limit the right to just and favourable conditions of work. The

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24 Statement of compatibility, p. 12.

25 Schedule 1, item 2, proposed subsection 10(1).

26 The FWO observed that the 'lack of higher-level educational attainment [among TCF workers] compounds the vulnerability of [this] labour force by imposing further barriers to alternative employment options'. See Fair Work Ombudsman, *Textile, Clothing and Footwear Compliance Phase Campaign Report* (January 2019) p.11.

committee considers further information is required to assess the compatibility of this measure with these rights, and as such seeks the minister's advice in relation to:

- (a) what is the pressing or substantial concern sought to be addressed by excluding certain TCF contract outworkers from accessing the financial assistance scheme on the basis of migration status;
- (b) what proportion of TCF contract outworkers are not eligible for the financial assistance scheme (namely, how many TCF contract outworkers are not Australian citizens, permanent residents or holders of a special category visa);
- (c) why was it considered necessary to make the eligibility criteria exhaustive such that the Secretary is unable to consider the individual circumstances of each worker who were to apply for financial assistance;
- (d) whether, in the period since the establishment of the scheme in 2012, any TCF contract outworkers who were ineligible for the scheme have successfully recovered unpaid entitlements from former employers in the event of insolvency;
- (e) what safeguards accompany the measure; and
- (f) whether consideration was given to less rights restrictive ways of achieving the stated objective, and if so, why these alternatives were considered inappropriate.

## Quality of Care Amendment (Restrictive Practices) Principles 2022 [[F2022L01548](#)]<sup>1</sup>

<b>Purpose</b>	This legislative instrument amends the Quality of Care Principles 2014 to authorise certain individuals or bodies to provide informed consent to the use of a restrictive practice in relation to a care recipient
<b>Portfolio</b>	Health and Aged care
<b>Authorising legislation</b>	<i>Aged Care Act 1997</i>
<b>Last day to disallow</b>	15 sitting days after tabling (tabled in the House of Representatives on 1 December 2022 and in the Senate on 6 February 2023). Notice of motion to disallow must be given by 21 March 2023 in the House and 29 March 2023 in the Senate <sup>2</sup>
<b>Rights</b>	Rights of persons with disabilities; equal recognition before the law; equality and non-discrimination; access to justice; effective remedy

### Consent to the use of restrictive practices in aged care

1.92 This legislative instrument amends the Quality of Care Principles 2014 (Quality of Care Principles) to specify a hierarchy of persons who can give consent on behalf of persons in aged care to the use of restrictive practices, if the care recipient is assessed to lack capacity to give consent. Restrictive practices include physical, environmental, mechanical or chemical restraints or seclusion<sup>3</sup> (such as the use of restraining chairs, bed rails, locked doors or medications for the purpose of sedation). The instrument specifies who is classified as a 'restrictive practices substitute-decision maker'.

1.93 Under the instrument, the priority for who can give consent is an individual or body appointed under a relevant state or territory law (where the care recipient lives) who can give consent to a restrictive practice.<sup>4</sup> If no such person or body has

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Quality of Care Amendment (Restrictive Practices) Principles 2022 [F2022L01548], *Report 1 of 2023*; [2023] AUPJCHR 9.

2 In the event of any change to the Senate or House's sitting days, the last day for the notice would change accordingly.

3 See Quality of Care Principles 2014, section 15E.

4 See Quality of Care Amendment (Restrictive Practices) Principles 2022, Schedule 1, item 3, subsection 5B(1).

been appointed and there is no clear mechanism for appointing such a person or body, or an application has been made but there is a significant delay in deciding the appointment, then the following persons or bodies can give consent in hierarchical order:<sup>5</sup>

- (a) a restrictive practices nominee – being an individual or group of individuals nominated in writing by the care recipient while they still had capacity;
- (b) the care recipient's partner with whom they have a close continuing relationship;
- (c) a previous unpaid carer, who is a relative or friend of the care recipient with whom they have a close continuing relationship and who has a personal unpaid interest in the care recipient's welfare (and if more than one, the eldest relative or friend);
- (d) the care recipient's relative or friend with whom they have a close continuing relationship and who has a personal unpaid interest in the care recipient's welfare (and if more than one, the eldest relative or friend); or
- (e) a medical treatment authority, being a person or body appointed in writing under state or territory law as one that can give consent to the provision of medical treatment to the care recipient.

1.94 All of those who could consent on the care recipients' behalf have to themselves have the capacity to consent and have agreed in writing to act as a restrictive practices substitute decision-maker.

1.95 The *Aged Care Act 1997* provides that if a restrictive practice is used on a person in aged care who is assessed to lack capacity to give informed consent to its use, an approved provider or anyone who uses the restrictive practice is not subject to any criminal or civil liability for its use, if informed consent was given by a person or body specified in delegated legislation.<sup>6</sup> This instrument provides that the persons or bodies listed in the instrument are specified for the purposes of this immunity.

1.96 Prior to the introduction of this instrument, the Quality of Care Principles only specified as a restrictive practices substitute decision-maker a person or body authorised under state or territory law to give consent to the use of restrictive practices.<sup>7</sup> This instrument is intended to address 'unexpected outcomes' as in many

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5 Quality of Care Amendment (Restrictive Practices) Principles 2022, Schedule 1, item 3, subsection 5B(2) and table.

6 *Aged Care Act 1997*, section 54-11.

7 See Quality of Care Principles 2014, section 4A definition of 'restrictive practices substitute decision-maker' (as in force before 1 December 2022).

jurisdictions it is unclear if the relevant state or territory laws can provide the necessary authorisation. To this end, this instrument is intended to introduce interim arrangements to allow time for state and territory governments to make amendments to their consent and guardianship laws.<sup>8</sup> As such, the amendments last for two years, and then will revert back to provide that consent can be given only as authorised as per state and territory laws.<sup>9</sup>

## **Preliminary international human rights legal advice**

### ***Rights of persons with disability***

1.97 Setting out who can consent to the use of restrictive practices on behalf of a care recipient engages and may promote and limit a number of human rights, as set out by the committee in previous report entries.<sup>10</sup> In particular, specifying who can consent on another person's behalf when that person is assessed to lack capacity to give consent, engages and limits the rights of persons with disabilities, including the right of persons with disabilities to consent to medical treatment.<sup>11</sup>

1.98 Article 12 of the Convention on the Rights of Persons with Disabilities provides that in all measures that relate to the exercise of legal capacity, there should be appropriate and effective safeguards to prevent abuse. Such safeguards must ensure that measures relating to the exercise of legal capacity respect the rights, will and preferences of the person, are free of conflict of interest and undue influence, are proportional and tailored to the person's circumstances, apply for the shortest time possible and are subject to regular review by an independent and impartial body.<sup>12</sup> The United Nations (UN) Committee on the Rights of Persons with Disabilities has confirmed that there can be no derogation from article 12, which describes the content of the general right to equality before the law under the International Covenant on Civil and Political Rights.<sup>13</sup> In other words, 'there are no permissible circumstances under international human rights law in which this right may be limited'.<sup>14</sup> While not all aged care recipients are people with disability, those

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8 Explanatory statement to the Quality of Care Amendment (Restrictive Practices) Principles 2022, pp. 2–3.

9 Quality of Care Amendment (Restrictive Practices) Principles 2022, Schedule 3.

10 See most recently Parliamentary Joint Committee on Human Rights, [Report 10 of 2021](#) (25 August 2021) pp. 63–90.

11 The committee has previously commented on this, see most recently Parliamentary Joint Committee on Human Rights, [Report 1 of 2022](#) (9 February 2022) pp. 23–39.

12 Convention on the Rights of Persons with Disabilities, article 12(4). See also article 17.

13 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [1], [5].

14 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [5].

who are assessed to lack capacity are invariably those with cognitive impairment and thus, in effect, the measure exclusively applies to people with disability. Enabling a substitute decision-maker to consent to the use of a restrictive practice on behalf of a care recipient would therefore engage the rights of persons with disability.<sup>15</sup>

1.99 The UN Committee on the Rights of Persons with Disabilities has stated that substitute decision-making should be replaced by supported decision-making.<sup>16</sup> Supports may include peer support, advocacy, assistance with communication or advance planning, whereby a person can state their will and preferences in advance should they be unable to do so at a later point in time. The Committee on the Rights of Persons with Disabilities has noted that 'where, after significant efforts have been made, it is not practicable to determine the will and preferences of an individual, the "best interpretation of will and preferences" must replace the "best interests" determinations'.<sup>17</sup> States are also required to create appropriate and effective safeguards for the exercise of legal capacity to protect persons with disabilities from abuse.<sup>18</sup>

1.100 In addition, the Convention on the Rights of Persons with Disabilities requires health professionals to provide care of the same quality to persons with disabilities as to others including on the basis of free and informed consent.<sup>19</sup> It also provides persons with disabilities must be protected from all forms of exploitation, violence and abuse.<sup>20</sup>

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15 The Committee on the Rights of Persons with Disabilities has made clear that practices that deny the right of people with disabilities to legal capacity in a discriminatory manner, such as substitute decision-making regimes, must be 'abolished in order to ensure that full legal capacity is restored to persons with disabilities on an equal basis with others': *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [7]. For a discussion of the academic debate regarding the interpretation and application of article 12, particularly in relation to substitute decision-making, see e.g. Bernadette McSherry and Lisa Waddington, 'Treat with care: the right to informed consent for medical treatment of persons with mental impairments in Australia', *Australian Journal of Human Rights* (2017) vol. 23, issue no. 1, pp. 109–129.

16 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [15]–[16], [21]. The features of a supported decision-making regime are detailed in paragraph [29].

17 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [21].

18 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [20]; Convention on the Rights of Persons with Disabilities, article 12(4).

19 Convention on the Rights of Persons with Disabilities, article 25(d).

20 Convention on the Rights of Persons with Disabilities, article 16.

1.101 The engagement of the rights of persons with disability by this instrument was not acknowledged in the statement of compatibility accompanying the instrument, and as such, no assessment of the compatibility of the instrument with these rights has been provided.<sup>21</sup>

1.102 The explanatory statement to the instrument states that the instrument is not intended to displace the common law presumption of capacity.<sup>22</sup> However, it is not clear that the common law presumption would necessarily require aged care providers and their staff to provide for supported decision-making before approaching a restrictive practices substitute decision-maker.

1.103 Further, it is unclear whether an individual or body appointed under state or territory law would be required to try to determine the will and preferences of the care recipient or the best interpretation of their will and preferences. For example, while some jurisdictions have legislation stating that medical treatment decision makers should respect the preferences of the person they are making decisions on behalf of,<sup>23</sup> other jurisdictions require substitute decision-makers to 'act in the best interests' of the person.<sup>24</sup> Yet, as stated above, the Committee on the Rights of Persons with Disabilities has noted that 'where, after significant efforts have been made, it is not practicable to determine the will and preferences of an individual, the "best interpretation of will and preferences" must replace the "best interests" determinations'.<sup>25</sup>

1.104 The instrument provides that a person appointed under state or territory law takes precedence over other persons listed in the instrument. It is not clear if guidance has been provided to aged care providers to make it clear in each state and territory when they should follow the laws of the state or territory or when they should use the list of persons in this instrument. It is also not clear exactly when the list of persons has effect, noting that the instrument states that it only has effect if there is no such individual or body appointed under state or territory law *and* either there is no clear mechanism for appointing such a person, or an application has been made for an appointment but there is a significant delay in making the application.<sup>26</sup> It is not clear who determines whether there is a 'clear mechanism for appointing' a

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21 The statement of compatibility stated that the instrument only promoted rights, by setting out clear consent arrangements.

22 Explanatory statement to the Quality of Care Amendment (Restrictive Practices) Principles 2022, p. 3

23 See for example *Medical Treatment Planning and Decisions Act 2016* (Victoria).

24 See for example *Guardianship and Administration Act 1990* (Western Australia).

25 Committee on the Rights of Persons with Disabilities, *General comment No. 1 – Article 12: Equal recognition before the law* (2014) [21].

26 Quality of Care Amendment (Restrictive Practices) Principles 2022, Schedule 1, item 3, new subsection 5B(2).

person under the state and territory laws, or what is a 'significant delay' in deciding an application. It is also not clear what happens if an application has been made, but not yet determined, but there is no significant delay. In such cases it would appear that while no-one may yet be appointed under the state and territory law, the list of persons in the instrument would not yet take effect.

1.105 Further, it appears that there is no requirement on persons in the list, be they nominees, partners, carers, relatives or friends, to seek to determine the will and preferences of the aged care recipient in consenting to the use of the restrictive practice. It is also not clear that partners, friends or relatives would have the necessary skills or expertise needed to question the use of restrictive practices. There are also a number of terms in the instrument which likely leave a great deal of discretion to the aged care providers and their staff to determine, such as who has a 'close continuing relationship' with the aged care recipient, who was 'a carer' for them on an unpaid basis, and who has a 'personal interest in the care recipient's welfare'.<sup>27</sup>

1.106 In addition, under the instrument the last option for gaining consent is to seek consent from a 'medical treatment authority'. This is someone who has been appointed in writing as someone who can give consent to medical treatment on the aged care recipient's behalf under state or territory law. However, it is not clear if all states and territories have laws that would always authorise persons 'in writing' to give consent. If not all state and territory laws fit within the definition in the instrument it would appear that there would be no one legally able to provide consent to the use of the restrictive practices, and as such, if providers, out of perceived necessity, use the restrictive practice without consent, there would be no consent to that use, and therefore limited oversight.

***Rights of persons with disabilities to equality and non-discrimination, access to justice and effective remedy***

1.107 In addition, this instrument, in specifying persons who may consent for the purposes of granting immunity from all civil and criminal liability to those who rely on that consent, engages and may limit the rights of persons with disabilities to equal recognition before the law, equality and non-discrimination, and access to justice and has implications for the right to an effective remedy.<sup>28</sup> The committee considered the immunity provision in 2022 when it was introduced as an

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27 It is noted that subsections 5B(3) and (4) set out some detail about who was a carer and who has a personal interest in the care recipient's welfare – but this is on the basis of what the person was not (i.e. was not a paid carer, was not hired by the care recipient), rather than on the basis of what they must have done or be doing to satisfy this requirement. See Quality of Care Amendment (Restrictive Practices) Principles 2022, Schedule 1, item 3, new subsections 5B(3) and (4).

28 International Covenant on Civil and Political Rights, articles 2 and 26; Convention on the Rights of Persons with Disabilities, articles 5, 12 and 13.



amendment to the *Aged Care Act 1997* and concluded that it did not appear to be compatible with the above listed rights.<sup>29</sup> This instrument, in specifying the persons who may give consent, to ensure the immunity applies, raises the same concerns. These concerns were not addressed in the statement of compatibility and so no assessment of compatibility with these rights was provided.

### **Committee view**

1.108 The committee notes that setting out who can consent to the use of restrictive practices on behalf of an aged care recipient engages and may promote and limit a number of human rights. In particular, the committee considers this may limit the rights of persons with disabilities. Further, specifying persons who may consent for the purposes of granting immunity from all civil and criminal liability to those who rely on that consent, engages and may limit the rights of persons with disabilities to equal recognition before the law, equality and non-discrimination, and access to justice and has implications for the right to an effective remedy.

1.109 The committee considers further information is required to assess the compatibility of this measure with these rights, and as such seeks the minister's advice in relation to:

- (a) what guidance has been provided to aged care providers to assist them in assessing if a care recipient lacks capacity to give consent (and so when it is, or is not, appropriate to rely on the consent arrangements in the instrument);
- (b) what guidance has been provided to aged care providers to enable them to determine if the law in their state or territory allows for the appointment of an individual or body to give consent to the use of restrictive practices;
- (c) who determines whether there is a 'clear mechanism for appointing' a person under the state and territory laws, or what is a 'significant delay' in deciding an application for appointment under the state or territory laws;
- (d) who is authorised to give consent under the instrument if an application for an appointment to consent to the use of restrictive practices has been made under state or territory law but not yet determined, but there is no significant delay in deciding the application (yet no one is yet appointed);
- (e) are all the state and territory laws that allow for the appointment of an individual or body to give consent to the use of restrictive practices

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29 Parliamentary Joint Committee on Human Rights, [Report 1 of 2022](#) (9 February 2022) pp. 23–39.

consistent with the Convention on the Rights of Persons with Disabilities. If not, what is the Commonwealth, as the signatory to the Convention, doing to ensure the use of restrictive practices in aged care is compatible with human rights (now, and in two years when the instrument reverts back to provide that consent will only be as set out in state and territory law);

- (f) why does the instrument not require that restrictive practices substitute decision-makers must have a duty to seek to ascertain the wishes of the care recipient and, where possible, act in a manner consistent with their will and preferences;
- (g) will substitute decision-makers as specified in this instrument have the necessary skills and expertise to be able to properly give informed consent to the use of restrictive practices;
- (h) do all states and territories have laws that allow for a medical treatment authority to be appointed in writing, and if not, what can aged care providers do to seek consent;
- (i) since this instrument came into force how many notifications in aged care facilities across the Commonwealth have been made specifying that restrictive practices have been used without consent (organised per jurisdiction); and
- (j) how is specifying persons as those who may give consent for the purposes of granting immunity from all civil and criminal liability consistent with the rights of persons with disabilities to equal recognition before the law, equality and non-discrimination, access to justice and the right to an effective remedy.

## Chapter 2

### Concluded matters

2.1 This chapter considers responses to matters raised previously by the committee. The committee has concluded its examination of these matters on the basis of the responses received.

2.2 Correspondence relating to these matters is available on the committee's website.<sup>1</sup>

### Bills

#### Biosecurity Amendment (Strengthening Biosecurity) 2022<sup>2</sup>

<b>Purpose</b>	This bill seeks to amend the <i>Biosecurity Act 2015</i> (the Biosecurity Act) to enable the minister to determine certain biosecurity measures and requirements for individuals entering Australian territory; establish and increase civil and criminal penalties for breaches to the Biosecurity Act; expand pre-arrival reporting requirements for aircraft and vessels; and provide for the use and disclosure of certain information, including protected information
<b>Portfolio</b>	Agriculture, Fisheries and Forestry
<b>Introduced</b>	House of Representatives, 28 September 2022  <i>Received Royal Assent 5 December 2022</i>
<b>Rights</b>	Health; privacy; freedom of movement; liberty; equality and non-discrimination; culture

2.3 The committee requested a response from the minister in relation to the bill in [Report 6 of 2022](#).<sup>3</sup>

1 See [https://www.aph.gov.au/Parliamentary\\_Business/Committees/Joint/Human\\_Rights/Scrutiny\\_reports](https://www.aph.gov.au/Parliamentary_Business/Committees/Joint/Human_Rights/Scrutiny_reports).

2 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Biosecurity Amendment (Strengthening Biosecurity) Bill 2022, *Report 1 of 2023*; [2023] AUPJCHR 10.

3 Parliamentary Joint Committee on Human Rights, *Report 6 of 2022* (24 November 2022), pp. 16-33.

## Entry requirements

2.4 The bill, which is now an Act, confers new powers on the Agriculture Minister to determine, by exempt legislative instrument,<sup>4</sup> one or more entry requirements for individuals or classes of individuals who are entering Australian territory at a landing place or port.<sup>5</sup> The kinds of requirements that may be specified include requiring an individual to provide certain information by way of a declaration, be screened by equipment or in any other way, or move to a certain place for the purposes of a biosecurity risk assessment, whereby a biosecurity officer would assess the level of biosecurity risk associated with the individual and/or their goods and baggage.<sup>6</sup>

2.5 Before making an entry requirement, the minister must be satisfied that a disease or pest poses an unacceptable level of biosecurity risk; and the requirement is appropriate and adapted to prevent, or reduce the risk of, the disease or pest entering, establishing itself or spreading in Australian territory or part of Australian territory.<sup>7</sup> The minister is also required to consult with certain persons, including the Directors of Biosecurity and Human Biosecurity, before making a determination, although failure to do so would not affect the validity of the determination.<sup>8</sup> Failure to comply with an entry requirement would attract a civil penalty of 120 penalty units (currently \$26,640).<sup>9</sup> A person may also commit an offence or contravene a civil penalty provision if they provide false or misleading information or documents.<sup>10</sup>

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4 Schedule 1, item 5, proposed subsection 196A(4) provides that a determination made under this section is a legislative instrument but the disallowance provision (section 42 of the *Legislation Act 2003*) does not apply to the instrument. The Senate Standing Scrutiny of Bills and Scrutiny of Delegated Legislation Committees have previously raised concerns regarding the use of exempt legislative instruments under the *Biosecurity Act 2015*. See Senate Standing Committee for the Scrutiny of Bills, [Review of exemption from disallowance provisions in the Biosecurity Act 2015](#), *Scrutiny Digest 7 of 2021* (12 May 2021), chapter 4, pp. 33–44; *Scrutiny Digest 1 of 2022* (4 February 2022), chapter 4, pp. 76–86; Senate Standing Committee for the Scrutiny of Delegated Legislation, [Exemption of delegated legislation from parliamentary oversight: Final report](#) (16 March 2021).

5 Schedule 1, item 5.

6 Schedule 1, item 5, proposed subsections 196A(7)–(8) set out the kinds of requirements that may be specified.

7 Schedule 1, item 5, proposed subsection 196A(5).

8 Schedule 1, item 5, proposed subsection 196A(5).

9 Schedule 1, item 5, proposed subsections 196A(9)–(10). At the time of writing, it was proposed that the value of the penalty unit be increased from \$222 to \$275. See Crimes Amendment (Penalty Unit) Bill 2022. Were this bill to pass, the applicable penalty would be \$33,000.

10 Schedule 1, item 5, proposed subsection 196A(8), Note 1.

## Summary of initial assessment

### *Preliminary international human rights legal advice*

#### *Right to health*

2.6 To the extent that the measure prevents a disease or pest that may pose a risk to human health entering, establishing itself or spreading in Australian territory, it would promote the right to health. The right to health is the right to enjoy the highest attainable standard of physical and mental health, and requires States parties to take steps to prevent, treat and control epidemic diseases.<sup>11</sup>

#### *Rights to privacy, freedom of movement, liberty and equality and non-discrimination*

2.7 However, the measure would also engage and limit other human rights. Insofar as the measure may require individuals to provide a declaration containing certain information, including personal information, it would engage and limit the right to informational privacy. This is acknowledged in the statement of compatibility, which notes that the requirements may incidentally require individuals to provide personal information.<sup>12</sup> The right to privacy includes respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information, and the right to control the dissemination of information about one's private life.<sup>13</sup> Additionally, were a determination to require an individual to be screened by equipment or in any other physical way, and subjected to a biosecurity assessment involving a body or other physical search, the measure may engage and limit the right to personal autonomy and physical and psychological integrity – an aspect of the right to privacy.<sup>14</sup> The United Nations (UN) Human Rights Committee has emphasised that personal and body searches must be accompanied by effective measures to ensure that such searches are carried out in a manner consistent with the dignity of the person who is being searched, and further that persons subject to body searches should only be examined by persons of the same sex.<sup>15</sup>

2.8 The measure may also engage and limit the rights to freedom of movement and liberty if an individual was required to move to a place at the landing place or port for a biosecurity risk assessment and was detained while undergoing that assessment. The statement of compatibility states that this requirement may have the effect of preventing a person from leaving the landing place or port, or confining their movement within that landing place or port, until the level of biosecurity risk

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11 International Covenant on Economic, Social and Cultural Rights, article 12.

12 Statement of compatibility, p. 41.

13 International Covenant on Civil and Political Rights, article 17.

14 See, *MG v Germany*, UN Human Rights Committee Communication No. 1428/06 (2008) [10.1].

15 UN Human Rights Committee, *General Comment No.16: The Right to Respect of Privacy, Family, Home and Correspondence, and Protection of Honour and Reputation* (1988), [8].

associated with the individual has been assessed, and acknowledges that this may be considered administrative detention.<sup>16</sup> The right to freedom of movement includes the right to move freely within a country for those who are lawfully in the country.<sup>17</sup> The right to freedom of movement is linked to the right to liberty—a person's movement across borders should not be unreasonably limited by the State.<sup>18</sup> The right to liberty prohibits the arbitrary and unlawful deprivation of liberty.<sup>19</sup> The notion of 'arbitrariness' includes elements of inappropriateness, injustice and lack of predictability. Accordingly, any detention must be lawful as well as reasonable, necessary and proportionate in all of the circumstances.<sup>20</sup>

2.9 Additionally, depending on the persons or class of persons to whom the determination is applied, the measure may engage and limit the right to equality and non-discrimination. This is acknowledged by the statement of compatibility.<sup>21</sup> This right provides that everyone is entitled to enjoy their rights without discrimination of any kind and that all people are equal before the law and entitled without discrimination to equal and non-discriminatory protection of the law.<sup>22</sup> The right to equality encompasses both 'direct' discrimination (where measures have a discriminatory intent) and 'indirect' discrimination (where measures have a

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16 Statement of compatibility, p. 27.

17 International Covenant on Civil and Political Rights, article 12.

18 UN Human Rights Committee, *General Comment 27: Freedom of movement* (1999) [8]. The freedom to leave the territory of a State may not be made dependent on any specific purpose or on the period of time the individual chooses to stay outside the country. The right of the individual to determine the State of destination is part of the legal guarantee.

19 International Covenant on Civil and Political Rights, article 9. It is noted that, depending on the degree and intensity, a restriction on liberty, such as restricting a person's liberty of movement, may not necessarily constitute 'deprivation' of liberty for the purposes of engaging this right. See United Nations Human Rights Committee, *General Comment No. 27: Article 12 (Freedom of Movement)* (1999) [7]. See also, *Celepli v Sweden*, UN Human Rights Committee, Communication No. 456/1991 (2 August 1994); *Amuur v. France*, European Court of Human Rights, Application Nos. 17/1995/523/609, (1996), [42]; and *Guzzardi v. Italy*, European Court of Human Rights, Application no. 7367/76, (1980) [92].

20 In relation to administrative detention, the UN Human Rights Committee has stated that administrative or security detention – that is, detention not in contemplation of prosecution on a criminal charge – 'presents severe risks of arbitrary deprivation of liberty', especially where there is no limit on the overall length of possible detention and there is a risk that detention may last longer than absolutely necessary. See UN Human Rights Committee, *General Comment 35: Liberty and security of person* (2014) [15].

21 Statement of compatibility, p. 47.

22 International Covenant on Civil and Political Rights, articles 2 and 26. Article 2(2) of the International Covenant on Economic, Social and Cultural Rights also prohibits discrimination specifically in relation to the human rights contained in the International Covenant on Economic, Social and Cultural Rights.

discriminatory effect on the enjoyment of rights).<sup>23</sup> While the measure itself is drafted in neutral terms, were it to be applied to a particular class of persons on the basis of a protected attribute such as nationality or place of residence, it may constitute indirect discrimination.

2.10 These rights may be subject to permissible limitations where the limitation pursues a legitimate objective, is rationally connected to that objective and is a proportionate means of achieving that objective.

### ***Committee's initial view***

2.11 The committee considered further information was required to assess the human rights compatibility of the measure, and as such sought the minister's advice in relation to:

- (a) whether certain persons with protected attributes (such as nationality or place of residence) will be disproportionately affected by the measure;
- (b) in relation to proposed paragraph 196A(8)(f), what other methods (apart from equipment) may be used to screen an individual;
- (c) in relation to proposed paragraph 196A(8)(g), what would a biosecurity risk assessment of an individual involve. For example, could an individual be subjected to a body search or required to provide a bodily sample;
- (d) how long a person or class of persons may be subject to administrative detention and whether there is a maximum length of detention;
- (e) the conditions of administration detention;
- (f) which existing powers in the Biosecurity Act may be invoked in relation to a requirement for an individual to move to a place for the purpose of a biosecurity risk assessment;
- (g) whether decisions made pursuant to a determination made under section 196A will be reviewable;
- (h) whether any less rights restrictive alternatives were considered, and if so, why these were considered inappropriate; and
- (i) whether the measure is accompanied by any other safeguards.

2.12 The full initial analysis is set out in [Report 6 of 2022](#).

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23 UN Human Rights Committee, *General Comment 18: Non-discrimination* (1989).

## Minister's response<sup>24</sup>

2.13 The minister advised:

*a) whether certain persons with protected attributes (such as nationality or place of residence) will be disproportionately affected by the measure;*

Section 196A enables the Agriculture Minister to make a determination to require specified incoming travellers to meet specified entry requirements in order to prevent, or reduce the risk of a disease or pest that poses an unacceptable biosecurity risk entering, establishing itself or spreading in Australian territory.

This is a legitimate purpose as it is intended to protect Australia, its plant and animal health, its economy and environment. Further, before specifying each entry requirement in a determination, the Agriculture Minister must be satisfied that the requirement is appropriate and adapted to meet this legitimate purpose.

As such, entry requirements will be determined on the basis of scientific and technical expertise and advice, and will be aimed at managing biosecurity risks in the most appropriate and least restrictive manner for the stated purpose.

For example, all travellers on a specified incoming vessel or flight, who have travelled to an area of biosecurity concern or have been exposed to certain animal, plants, or contaminated environments in the country that the vessel or flight originated from may be required to comply with certain entry requirements in a determination. The basis for making such a determination would relate solely to managing the biosecurity risk associated with the arriving travellers.

Entry requirements in a determination will not be applied to a class of individuals on the basis of protected attributes or characteristics, such as nationality or place of residence. An individual whose nationality or place of residence is the same as the country that the vessel or flight originated from will not be disproportionately affected by the measure because the measure will be applicable to all individuals on the vessel or flight regardless of their nationality or place of residence.

*b) in relation to proposed paragraph 196A(8)(f), what other methods (apart from equipment) may be used to screen an individual;*

An individual may be required to provide a declaration of information that will enable assessment of biosecurity risk. This could include whether they have been in contact with farms, farm animals, or wilderness areas that

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24 The minister's response to the committee's inquiries was received on 19 January 2023. This is an extract of the response. The response is available in full on the committee's [website](#).



are associated with biosecurity risk, or their intended activities whilst in Australia.

While the types of requirements that may be included in a determination made under section 196A that relate to screening are limited by safeguards (set out below), they are non-exhaustive so that different screening methods can be designed and appropriately tailored to respond to new and emerging biosecurity risks in the future. This will allow the legislative framework to keep pace with evolving biosecurity risks and enable the government to respond to these risks efficiently and effectively.

Screening of any kind must be for the purposes for which the determination had been made - that is, for the purposes of preventing, or reducing the risk of, the disease or pest to which the determination relates, entering, or establishing itself or spreading in, Australian territory or a part of Australian territory. Further, before specifying each entry requirement in a determination (including any requirement related to screening and any declaration related to screening), I or my duly authorised delegate must be satisfied that the requirement is appropriate and adapted to meet the stated purpose. As such, any screening requirement would be based on scientific and technical expertise and advice.

*c) in relation to proposed paragraph 196(8)(g), what would a biosecurity risk assessment of an individual involve. For example, could an individual be subjected to a body search or required to provide a bodily sample;*

Section 196(8)(g) does not authorise the taking of bodily samples or any other invasive procedure. Rather, a determination made under section 196A may include a requirement for an individual to attend a specific place within a landing place or port where they have arrived such as a client services desk to allow for an assessment of biosecurity risk. An assessment could include, for example, a biosecurity officer requiring an individual to provide verification that equipment used on animals has been appropriately sterilised and answer questions for the purpose of assessing the level of biosecurity risk associated with the individual and their goods.

*d) how long a person or class of persons may be subject to administrative detention and whether there is a maximum length of detention;*

A determination made under section 196A may include a requirement for a person or class of persons to move to a place within a landing place or port where they have arrived to allow for an assessment of biosecurity risk of the individual and any goods they are bringing with them into Australian territory.

This requirement is aimed at ensuring that individuals and groups of individuals are moved to one location in order to carry out biosecurity risk assessments on those individuals and their goods. This would manage and contain any potential risk that may be detected as part of this process.

Such a process would strengthen the ability to manage potentially high biosecurity risks in a controlled and discrete area, which may be crucial to prevent the further spread of certain diseases or pests that pose considerable threats to Australia's biosecurity systems.

It is intended that the length of time a person or class of persons may be required to remain at a place should be no longer than is appropriate for biosecurity officer to assess and manage any biosecurity risk associated with a person or their goods to an acceptable level. Whilst this may cause mild inconvenience for some persons arriving in Australia, such as a minor delay in exiting an airport or port, it is justified given the significant and devastating impact on Australia and its unique biosecurity status that would occur should a disease or pest posing unacceptable biosecurity risk enter Australia.

Where a determination is made under section 196A, the Agriculture Minister must be satisfied that any specified requirement is in relation to a disease or pest which poses an unacceptable level of biosecurity risk and the requirement is appropriate and adapted to prevent, or reduce the risk of, the pest or disease entering, or establishing itself or spreading in, Australian territory or a part of Australian territory. This means that each requirement must serve a legitimate purpose and must be necessary to meet that purpose. Where the above requirements are no longer met, the Agriculture Minister must vary or revoke the determination.

This will ensure that any determination made under section 196 and any specified requirements for persons to move to a place to be assessed for biosecurity risk will allow for a proportionate response based on scientific and technical advice, expertise and data.

*e) the conditions of administrative detention;*

If a determination were made under section 196A which included a requirement for individuals or classes of individuals to move to a place within a landing place or port where they have arrived to allow for an assessment of biosecurity risk, the location where such assessments would take place would vary on a case-by-case basis. It is anticipated that the location would be within the landing place or port where the travellers arrive in Australia, so the facilities and amenities typically associated with these places would be available. Biosecurity officials would interact with individuals on a case-by-case basis.

*f) which existing powers in the Biosecurity Act may be invoked in relation to a requirement for an individual to move to a place for the purpose of a biosecurity risk assessment;*

There are no existing powers in the Act that may be invoked to require a person or class of persons to move to a place for the purpose of a biosecurity risk assessment.

There is a power under section 60(1) of the Act which enables a chief human biosecurity officer, human biosecurity officer or biosecurity officer

to impose a human biosecurity control order (HBCO) on an individual for the purposes of managing any human health risk of a listed human disease that may be associated with the individual. The measures that may be included in a HBCO include measures that may require an individual to go to, and remain at, a specified premises, such as a medical facility, for the purposes of assessing or managing human health risk in relation to a listed human disease.

A HBCO that includes any measure that may require an individual to move to, and remain at, a specified premises for the purposes of assessing or managing human biosecurity risk may be imposed by a chief human biosecurity officer or human biosecurity officer, but not a biosecurity officer.

*g) whether decisions made pursuant to a determination made under section 196A will be reviewable;*

The types of requirements which may be included in a determination made under section 196A are set out in subsection 196A(8). The nature of these requirements are such that individuals to whom specified requirements apply will be required to comply with them upon arrival in Australia and while they are at the relevant landing place or port. It is anticipated that complying with specified requirements will therefore be completed before individuals leave the landing place or port at which they arrived.

Additionally, these requirements are of a preliminary nature. In effect, they allow information to be gathered from individuals arriving in Australia so that biosecurity risk may be more readily and accurately assessed. Depending on the information provided and the concomitant assessment, biosecurity officers may then make further decisions as to substantive treatment options.

Given the preliminary, information-gathering nature of the entry requirements and the anticipated short duration for an individual to comply with a requirement, it was considered unnecessary to subject this framework to a merits review process.

This does not, however, affect a person's right to seek judicial review in relation to the exercise of power in making an entry requirement determination. There is nothing to limit access to the courts or access to judicial review. Avenues to challenge executive decision-making remain.

*h) whether any less rights restrictive alternatives were considered, and if so, why these were considered inappropriate;*

The framework in the Bill is considered to be the most robust framework to manage the multiple biosecurity risks, both existing and emerging, that face Australia whilst giving due consideration to the impact that this may have on individual rights.

Australian businesses, individuals and global trading partners rely upon Australia's favourable biosecurity status and the Commonwealth's ability to effectively manage biosecurity risk in a timely manner. Where there is an imminent threat or actual outbreak of such disease or pest entering Australia, emergency action would be required to ensure fast and urgent action is taken to manage a threat or harm from the spread of the disease or pest within Australian territory.

A determination made under subsection 196A(2) would play a crucial role in that response and will be fundamental in the effective management of disease and may need to be made on a time critical basis to protect our industry and economy. The provision supports greater certainty for impacted industries, the individuals that implement these decisions and the broader community in order to protect Australia's plant and animal health, the nation's \$70 billion dollar agriculture industry and the 1.6 million jobs that rely on it.

Notably, the provisions in Schedule 1 contain a number of legislative safeguards to reasonably constrain the exercise of power under sections 196A and 196B. These safeguards lessen the impact the provisions may have on individuals and are discussed below.

*i) whether the measure is accompanied by any other safeguards;*

The measures include a number of safeguards which constrain the powers to make determinations under section 196A. For example, each entry requirement in a determination must be appropriate and adapted to its purpose. That purpose is expressly set out in subsection 196A(1) – that is, preventing or reducing the risk of a disease or pest that poses an unacceptable biosecurity risk entering, establishing itself or spreading in Australian territory. The assessment of whether entry requirements in a determination are appropriate and adapted is informed, structured and underpinned by scientific and technical processes, data and expertise. This means that the impact the requirements may have on individuals and their rights only goes so far as is required to satisfy the scientific and technical advice in order to determine requirements that prevent or reduce the risk of a disease or pest entering, establishing itself or spreading in Australia.

Further, the provisions include additional protections to ensure that a determination made under section 196A is only in place for the minimum time that it is needed. For example, proposed subsection 196B(1) requires that, in relation to a determination made under proposed subsection 196A(2), the Agriculture Minister must vary or revoke such a determination if satisfied that the relevant disease or pest no longer poses an unacceptable biosecurity risk or that a requirement is no longer appropriate and adapted for its purpose. This effectively acts as a constraint on the Agriculture Minister's exercise of power as it compels variation or revocation if a pest no longer poses a risk or a requirement is no longer appropriate and adapted. This means that individuals will only

be impacted by such a determination for the time needed to meet the relevant biosecurity risk.

Lastly, subsection 196A(9) requires the Agriculture Minister, before making the determination, to consult with the Director of Biosecurity, the Director of Human Biosecurity and the head of the State or Territory body that is responsible for the administration of matters relating to biosecurity in each State and Territory. Such consultation provides a further valuable safeguard.

## **Concluding comments**

### ***International human rights legal advice***

#### *Rights to health, privacy, freedom of movement, liberty and equality and non-discrimination*

2.14 As outlined above, while the measure could promote the right to health to the extent that it prevents a disease or pest that may pose a risk to human health entering, establishing itself or spreading in Australian territory, it would also engage and limit the rights to privacy, freedom of movement, liberty and, depending on the persons or class of persons to whom the determination is applied, equality and non-discrimination. As to the latter, the minister advised that the entry requirements will be determined on the basis of scientific and technical expertise and advice, not on the basis of protected attributes or characteristics, such as nationality or place of residence. While it is acknowledged that the measure is drafted in neutral terms and an entry requirement may not be applied specifically on the basis of nationality or place of residence, given the nature of the measure, there appears to remain a risk that in practice a determination could have a disproportionate impact on certain nationalities (noting it may apply to passengers arriving from select countries, with more of the passengers likely to be nationals of that country) such that it may constitute indirect discrimination. Differential treatment (including the differential effect of a measure that is neutral on its face) will not constitute unlawful discrimination if the differential treatment is based on reasonable and objective criteria such that it serves a legitimate objective, is rationally connected to that objective and is a proportionate means of achieving that objective.<sup>25</sup>

2.15 As noted in the initial analysis, the measure pursues the legitimate objective of preventing the entry and spread of pests and diseases, and imposing entry requirements that assist to identify and manage such risks would likely be effective to achieve this objective. The key question, applicable to assessing the compatibility of the measure with the right to equality and non-discrimination and the rights to privacy, freedom of movement and liberty, is whether the limitations are proportionate to this stated objective. The initial analysis noted a number of

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25 UN Human Rights Committee, *General Comment 18: Non-Discrimination* (1989) [13]; see also *Althammer v Austria*, UN Human Rights Committee Communication No. 998/01 (2003) [10.2].

safeguards that would likely assist with proportionality, including the requirements that the minister be satisfied of certain things before making a determination and must revoke or vary the determination if they are no longer satisfied of these things.<sup>26</sup> The requirement that the minister consult with certain people and bodies before making a determination may also operate as a safeguard, although its strength is lessened by the fact that a failure to comply with this consultation requirement does not affect the validity of the determination.<sup>27</sup> Regarding other safeguards, the minister stated that each entry requirement in a determination must be appropriate and adapted to its purpose, and informed, structured and underpinned by scientific and technical processes, data and expertise.

2.16 While these requirements appear to go some way to ensuring that any interference with rights is only as extensive as is strictly necessary, questions remain as to whether these safeguards will be sufficient in practice, noting that much will depend on the specific determination and the breadth of its operation. In this regard, it is relevant to consider how the measure will operate in practice, particularly the processes surrounding biosecurity risk assessments and associated administrative detention.

2.17 In relation to what other methods (apart from equipment and the provision of a declaration) may be used to screen an individual for the purposes of a biosecurity risk assessment, the minister advised that the types of screening methods are non-exhaustive so that different screening methods can be designed and appropriately tailored to respond to new and emerging biosecurity risks in the future. The minister stated that screening of any kind must be for the purposes for which the determination has been made and the entry requirement itself must be appropriate and adapted to meet the stated purpose. The minister further advised that the measure does not authorise the taking of bodily samples or any other invasive procedure for the purposes of a biosecurity risk assessment. Rather, an assessment could include a biosecurity officer requiring an individual to provide verification that equipment used on animals has been appropriately sterilised and answer questions about themselves and their goods. Based on this further information, it appears that a requirement for an individual to be screened and subject to a biosecurity risk assessment under this measure would not involve invasive personal procedures or other physical searches. This assists with proportionality, particularly in relation to any potential limitation on the right to

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26 Schedule 1, item 5, proposed subsection 196A(5) and section 196B.

27 Schedule 1, item 5, proposed subsections 196A(9) and (10).

personal autonomy and physical and psychological integrity – an aspect of the right to privacy.<sup>28</sup>

2.18 Additionally, further information was sought regarding the length of any detention when a person is required to move to a certain place for the purposes of a biosecurity risk assessment, as well as the conditions of detention and whether there is access to review. These factors are relevant considerations in assessing whether administrative detention in the context of this measure constitutes arbitrary deprivation of liberty such that it constitutes an impermissible limit on the right to liberty. International human rights law jurisprudence has indicated that detention that may initially be necessary and reasonable may become arbitrary over time if the circumstances no longer require detention. In this respect, regular review must be available to scrutinise whether the continued detention is lawful and non-arbitrary. In the context of administrative detention, the UN Human Rights Committee has stated that States parties 'need to show that detention does not last longer than absolutely necessary, that the overall length of possible detention is limited and that they fully respect the guarantees provided for by article 9 in all cases'.<sup>29</sup>

2.19 The minister advised that the intended length of time a person may be required to remain at a place should be no longer than is appropriate for biosecurity officers to assess and manage any biosecurity risk associated with the person or their goods. The minister stated that whilst this may cause mild inconvenience or minor delays, it is justified given the significant and devastating impact on Australia and its unique biosecurity status were a disease or pest to enter Australia. The minister noted that conducting biosecurity risk assessments in one location would strengthen the ability to manage potentially high biosecurity risks in a controlled and discrete area. As to the conditions of detention, the minister advised that the location where such assessments will take place will vary on a case-by-case basis, but it is anticipated that the location will be within a landing place or port where travellers arrive in Australia, so the associated facilities and amenities in those locations would be available.

2.20 Regarding the availability of review, the minister advised that given the preliminary, information-gathering nature of the entry requirements and the anticipated short duration for an individual to comply with a requirement, it was considered unnecessary to subject this framework to a merits review process.

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28 See, *MG v Germany*, UN Human Rights Committee Communication No. 1428/06 (2008) [10.1]. While an individual may not be subject to invasive procedures or treatment under this bill, they may be subject to such procedures or treatment under existing powers in the Biosecurity Act. For example, an individual could be subject to a human biosecurity control order under subsection 60(1), which may require an individual to move to, and remain at, a specified premises, such as a medical facility, for the purposes of assessing or managing human biosecurity risk.

29 UN Human Rights Committee, *General Comment 35: Liberty and security of person* (2014) [15].

However, judicial review remains available as an avenue to challenge the exercise of power in making an entry requirement determination.

2.21 While it is intended that this power would be used for short durations, without a maximum length of detention or other legislative safeguard to ensure that any detention does not last longer than is absolutely necessary, and without access to merits review, there appears to be some risk that administrative detention in the context of this measure could be arbitrary in practice, depending on the length and conditions of such detention. As to whether any limitations on other human rights will be proportionate in practice, much will depend on the specific requirements contained in any determination and the consequent extent of any interference with rights. For example, were a determination to require a person to provide a declaration containing personal information, be screened by equipment and move to, and be detained at, a landing place for the purpose of a biosecurity risk assessment, the cumulative impact of these requirements may result in greater interference with rights. In general, the greater the interference, the less likely the measure is to be considered proportionate. As such, noting the breadth of entry requirement that could be made, there appears to be some risk that the powers could be used in a way that may be incompatible with human rights.

### **Committee view**

2.22 The committee thanks the minister for this response. The committee considers that empowering the Agriculture Minister to make entry requirements for people entering Australia in order to prevent the entry or spread of diseases or pests that pose a risk to human health, promotes the right to health. However, the committee notes that requiring people to provide personal information, be screened, or moved to locations to carry out biosecurity risk assessments, also engages and may limit the rights to privacy, freedom of movement and liberty and the right to equality and non-discrimination (were it to have a disproportionate impact on persons from particular countries).

2.23 The committee considers that the measure pursues the legitimate objective of preventing the entry and spread of pests and diseases in Australia and is accompanied by some important safeguards that assist with proportionality. Based on the information provided by the minister, it appears that, in many cases, the use of these determinations would constitute a proportionate limitation on human rights. However, the committee notes that given the breadth of the measure, there remains a risk that the powers could be used in a way that may not be compatible with human rights. In particular, the committee notes that in relation to any administrative detention under this measure, there is no maximum length of detention or other legislative safeguard to ensure that any detention does not last longer than is necessary, as well as no access to merits review. In the absence of these safeguards, there may be a risk that were an individual to be detained for the purpose of a biosecurity risk assessment for longer than is absolutely necessary, such administrative detention may be considered arbitrary in practice. Regarding



potential limitations on other human rights, the committee notes that the proportionality of such limitations will depend on the specific requirements contained in any determination and the consequent extent of any interference with rights.

2.24 As the bill has now passed, the committee makes no further comment on this bill.

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### Preventative biosecurity measures

2.25 The bill also seeks to confer new powers on the Agriculture Minister to determine, by exempt legislative instrument,<sup>30</sup> certain other biosecurity measures for the purposes of preventing a specified behaviour or practice that causes or contributes to the entry into, or the emergence, establishment or spread in, Australian territory of a specified disease (other than a listed human disease) or pest that is considered to pose an unacceptable level of biosecurity risk.<sup>31</sup> The determination would apply to specified classes of persons and may ban or restrict, or require, a behaviour or practice; require the provision of a specified report; or provide for tests to be conducted on goods or conveyances.<sup>32</sup>

2.26 Before making a preventative biosecurity measure, the minister must be satisfied that the disease or pest poses an unacceptable level of biosecurity risk; and the measure is appropriate and adapted to prevent, or reduce the risk of, the disease or pest entering, or establishing itself or spreading in, Australian territory or part of Australian territory.<sup>33</sup> The minister would also be required to consult with certain persons, including the Directors of Biosecurity and Human Biosecurity, before making a determination, although failure to do so would not affect the validity of the determination.<sup>34</sup> Additionally, failure to comply with a preventative biosecurity measure would attract a civil penalty of 120 penalty units (currently \$26,640).<sup>35</sup>

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30 Schedule 1, item 11, proposed subsection 393B(4) provides that a determination made under this section is a legislative instrument but the disallowance provision (section 42 of the *Legislation Act 2003*) does not apply to the instrument.

31 Schedule 1, item 11.

32 Schedule 1, item 11, proposed subsection 393B(2).

33 Schedule 1, item 11, proposed subsection 393B(5).

34 Schedule 1, item 11, proposed subsection 393B(5).

35 Schedule 1, item 11, proposed subsections 393B(7)–(8).

## Summary of initial assessment

### *Preliminary international human rights legal advice*

#### *Right to health*

2.27 To the extent that the determination could prevent a behaviour or practice that causes the entry, establishment or spread of a disease or pest in Australian territory that may pose a risk to human health, it may promote the right to health.

#### *Rights to privacy, equality and non-discrimination, culture, and freedom of movement*

2.28 However, the measure would also engage and limit other human rights. By banning, restricting or requiring a behaviour or practice, and requiring a person to provide a specified report or keep specified records, the measure would engage and limit the right to privacy.<sup>36</sup> Further, depending on the class of persons to whom the determination is applied, the measure may engage and limit the right to equality and non-discrimination, as acknowledged by the statement of compatibility.<sup>37</sup> Additionally, depending on the content of the determination, including the behaviour or practice that is restricted or banned, the measure may engage other human rights. For example, were the determination to ban or restrict traditional trading practices in the Torres Strait Islands, it may engage and limit the right to culture<sup>38</sup>—which, in the context of Indigenous peoples, includes the right to use land resources including through traditional activities such as hunting and fishing, and to live on their traditional lands—as well as related rights under the United Nations Declaration on the Rights of Indigenous Peoples.<sup>39</sup> It could also engage and limit the right to freedom of movement if the behaviours to be restricted include prohibiting movement to particular locations.

2.29 The above rights may be subject to permissible limitations where the limitation pursues a legitimate objective, is rationally connected to that objective and is a proportionate means of achieving that objective.

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36 International Covenant on Civil and Political Rights, article 17.

37 Statement of compatibility, p. 47.

38 International Covenant on Economic, Social and Cultural Rights, article 15; and International Covenant on Civil and Political Rights, article 27. See also, UN Committee on Economic, Social and Cultural Rights, *General Comment No. 21: article 15 (right of everyone to take part in cultural life)* (2009). The committee explains, at [6], that the right requires from a State party both abstention (including non-interference with the exercise of cultural practices) and positive action (including ensuring preconditions for participation, facilitation and promotion of cultural life).

39 See, eg, United Nations Declaration on the Rights of Indigenous Peoples, article 8 (right not to be subjected to forced assimilation or destruction of their culture) and 11 (right to practise and revitalize cultural traditions and customs).

**Committee's initial view**

2.30 The committee considered further information was required to assess the human rights compatibility of this measure, and as such sought the minister's advice in relation to:

- (a) whether certain persons with protected attributes (such as nationality or place of residence) will be disproportionately affected by the measure;
- (b) what types of behaviours and practices would likely be specified in a determination, and in particular, is it likely that a determination would ban or restrict:
  - (i) traditional trading or other cultural practices among Aboriginal and Torres Strait Islander persons, particularly in the Torres Strait Islands;
  - (ii) movement between particular locations;
- (c) whether decisions made pursuant to a determination made under section 393B will be reviewable;
- (d) whether any less rights restrictive alternatives were considered, and if so, why these were considered inappropriate; and
- (e) whether the measure is accompanied by any other safeguards

The full initial analysis is set out in [Report 6 of 2022](#).

**Minister's response<sup>40</sup>**

2.31 The minister advised:

- a) whether certain persons with protected attributes (such as nationality or place of residence) will be disproportionately affected by the measure;*

Section 393B enables the Agriculture Minister to make a determination that specifies any one or more of the following biosecurity measures to be taken by specified classes of persons:

- a. banning or restricting a behaviour or practice
- b. requiring a behaviour or practice
- c. requiring a specified person to provide a specified report or keep specified records
- d. conducting specific tests on specified goods or specified conveyances.

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40 The minister's response to the committee's inquiries was received on 19 January 2023. This is an extract of the response. The response is available in full on the committee's [website](#).

A biosecurity measure must not be specified in a determination unless the Agriculture Minister is satisfied that a disease or pest poses an unacceptable level of biosecurity risk and the measure is appropriate and adapted to prevent, or reduce the risk of, the pest or disease entering, or emerging, or establishing itself or spreading in, Australian territory or a part of Australian territory.

As such, biosecurity measures will be determined on the basis of scientific and technical expertise and advice, and will be aimed at managing biosecurity risks in the most appropriate and least restrictive manner for the stated purpose.

For example, all travellers on a specified incoming vessel or flight, who have travelled to an area of biosecurity concern or have been exposed to certain animal, plants or contaminated environments in the country that the vessel or flight originated from may be required to comply with certain biosecurity measures in a determination. The basis for making such a determination would relate solely to managing the biosecurity risk associated with the arriving travellers.

Biosecurity measures in a determination will not be applied to a specified classes of persons on the basis of protected attributes or characteristics, such as nationality or place of residence. An individual whose nationality or place of residence is the same as the country that the vessel or flight originated from will not be disproportionately affected by the measure because the measure will be applicable to all individuals on the vessel or flight regardless of their nationality or place of residence.

*b) what types of behaviours and practices would likely be specified in a determination, and in particular, is it likely that a determination would ban or restrict:*

- (i) traditional trading or other cultural practices among Aboriginal and Torres Strait Islander persons, particularly in the Torres Strait Islands;*
- (ii) movement between particular locations;*

The types of biosecurity measures, including the types of behaviours and practices, that may be included in a determination made under section 393B will vary from case to case and will depend on a number of factors such as the type of disease or pest and treatment methods available to manage the relevant biosecurity risks. For example, a behaviour or practice which may be included in a determination would be walking over a foot mat at a landing place or port that contains a solution to treat fabric and surfaces should this be considered appropriate and adapted for the purposes of addressing the relevant biosecurity risk.

All biosecurity measures specified in a determination must be appropriate and adapted to prevent, or reduce the risk of, the pest or disease entering, emerging, establishing itself or spreading in, Australian territory or a part of Australian territory. For example, a measure that requires the treatment

of goods would require the treatment to be appropriately tailored to the pest or disease that poses an unacceptable level of biosecurity risk and suitable for application by a biosecurity officer or treatment provider.

It is not the policy intention to include requirements in a determination made under section 393B that would ban or restrict traditional trading or other cultural practices among Aboriginal and Torres Strait Islander persons.

Depending on various factors discussed below, it may be necessary to restrict movement between particular locations to reduce the spread of a pest or disease, and effectively and appropriately manage the associated biosecurity risk. Assessing whether such a restriction would be necessary would involve consideration of a range of factors which may be specific to a location such as the pest or disease status, facilities available to manage biosecurity risk, activities being undertaken, environmental conditions and susceptible plants and animal species present. As noted above, however, any such biosecurity measures that did so restrict movement would be informed, structured and underpinned by scientific and technical processes, data and expertise in order to ensure that the measure was appropriate and adapted to meet the purpose of preventing, or reducing the risk of, the pest or disease entering, emerging, establishing itself or spreading in, Australian territory or a part of Australian territory.

*c) whether decisions made pursuant to a determination made under section 393B will be reviewable;*

As noted above, an anticipated type of biosecurity measure that may form part of a determination made under section 393B would include requiring travellers to walk over a foot mat at a landing place or port upon arrival in Australia. Given the anticipated duration that an individual needs to comply with such a biosecurity measures it was considered unnecessary to subject this framework to a merits review process.

This does not, however, affect a person's right to seek judicial review in relation to the exercise of power in making a determination under section 393B. There is nothing to limit access to the courts or access to judicial review. Avenues to challenge executive decision-making remain.

*d) whether any less rights restrictive alternatives were considered, and if so, why these were considered inappropriate; and*

The framework in the Bill is considered to be the most robust framework to manage the multiple biosecurity risks, both existing and emerging, that face Australia whilst giving due consideration to the impact that this may have on individual rights.

Australian businesses, individuals and global trading partners rely upon Australia's favourable biosecurity status and the Commonwealth's ability to effectively manage biosecurity risk in a timely manner. Where there is an imminent threat or actual outbreak of such disease or pest entering Australia, emergency action would be required to ensure fast and urgent

action is taken to manage a threat or harm from the spread of the disease or pest within Australian territory. A determination made under subsection 393B(2) will play a crucial role in that response and will be fundamental in the effective management of disease and may need to be made on a time critical basis to protect our industry and economy. The provision supports greater certainty for impacted industries, the individuals that implement these decisions and the broader community in order to protect Australia's plant and animal health, the nation's \$70 billion dollar agriculture industry and the 1.6 million jobs that rely on it.

Notably, the provisions contain a number of legislative safeguards to reasonably constrain the exercise of power under section 393B. These safeguards lessen the impact the provisions may have on individuals and lessen the impact they may have on individuals. These are discussed below.

*e) whether the measure is accompanied by any other safeguards.*

The measures include a number of safeguards, which constrain the powers to make determinations under section 393B. For example, each biosecurity measure in a determination must be appropriate and adapted to its purpose. That purpose is expressly set out in subsection 393B(1) – that is, preventing or reducing the risk of a disease or pest that poses an unacceptable biosecurity risk entering, or emerging, or establishing itself or spreading in Australian territory. The assessment of whether biosecurity measures in a determination are appropriate and adapted is informed, structured and underpinned by scientific and technical processes, data and expertise. This means that the impact the requirements may have on individuals and their rights only goes so far as is required to satisfy the scientific and technical advice in order to determine requirements that prevent or reduce the risk of a disease or pest entering, emerging, establishing itself or spreading in Australia.

Further, the provisions include additional protections to ensure that a determination made under section 393B is only in place for a limited time. Subsection 393B(5) limits the duration of such a determination to one year, but it would nevertheless remain possible to vary or revoke a determination before a year has passed, if the relevant risk no longer exists. This acts as a constraint on the Agriculture Minister's exercise of power. This means that individuals will only be impacted by such a determination for the time needed to meet the relevant biosecurity risk, with a maximum period of effect of one year.

Lastly, subsection 393BA(7) requires the Agriculture Minister, before making the determination, to consult with the Director of Biosecurity, the Director of Human Biosecurity and the head of the State or Territory body that is responsible for the administration of matters relating to biosecurity in each State and Territory. Such consultation provides a further valuable safeguard.

## Concluding comments

### ***International human rights legal advice***

#### *Rights to health, privacy, equality and non-discrimination, culture, and freedom of movement*

2.32 As outlined above, while the measure could promote the right to health to the extent that it prevents a disease or pest that may pose a risk to human health entering, establishing itself or spreading in Australian territory, it would also engage and limit the rights to privacy and equality and non-discrimination, depending on the class of persons to whom the determination is applied. In relation to the right to equality and non-discrimination, the minister advised that the entry requirements will be determined on the basis of scientific and technical expertise and advice, not on the basis of protected attributes or characteristics, such as nationality or place of residence. As noted above, while the measure is drafted in neutral terms and an entry requirement may not be applied specifically on the basis of nationality or place of residence, given the nature of the measure, there appears to remain a risk that in practice a determination could have a disproportionate impact on certain nationalities such that it may constitute indirect discrimination (noting that differential treatment, including the differential effect of a measure that is neutral on its face, will not constitute unlawful discrimination if the differential treatment is based on reasonable and objective criteria).

2.33 Additionally, depending on the content of the determination, including the behaviour or practice that is restricted or banned, the measure may engage other human rights, such as the rights to culture and freedom of movement. Further information was sought from the minister in this regard to fully assess what human rights are likely to be engaged by the measure. The minister advised that the types of behaviours and practices that may be included in a determination will vary from case to case and will depend on several factors, such as the type of disease or pest and the available treatment methods. For example, a practice that may be required would be walking over a foot mat at a landing place or port that contains a solution to treat fabric and surfaces. The minister stated that it is not the policy intention to include a requirement that bans or restricts traditional trading or other cultural practices among Aboriginal and Torres Strait Islander persons. However, a determination may restrict movement between particular locations to reduce the spread of a pest or disease. The minister noted that any such biosecurity measures that restricted movement would be informed, structured and underpinned by scientific and technical processes, data and expertise to ensure the measure was appropriate and adapted to meet its stated purpose.

2.34 While it is acknowledged that the measure is not intended to ban traditional trading or other cultural practices, it may nevertheless have this effect if doing so were considered necessary to reduce the spread of a pest or disease and the measure was appropriate and adapted to prevent or reduce this risk. As such,

depending on how the measure is used in practice, it appears it could engage and limit the right to freedom of movement and the right to culture.

2.35 In assessing the compatibility of the measure with the above rights, the initial analysis noted that the stated objective of the measure – namely, to prevent a behaviour or practice that may cause or contribute to a disease or pest, that poses an unacceptable level of biosecurity risk, entering, emerging, establishing or spreading in Australian territory<sup>41</sup> – would be legitimate for the purposes of international human rights law. Further, preventing such behaviours or practices would likely be effective to achieve this objective. The key question remaining is whether the proposed limitations on rights are likely to be proportionate.

2.36 In considering whether the measure is sufficiently circumscribed, the initial analysis noted that there are some requirements that assist to clarify the scope of the minister's powers and provide some guidance as to how the powers may be exercised. For example, the measure requires the minister to specify the behaviour or practice and the disease or pest to which the determination would apply; be satisfied of specific things; and consult with specific people before making a determination.<sup>42</sup> However, there remains some uncertainty as to the types of behaviours or practices that may be specified in a determination, noting the minister's advice that the behaviours or practices to be included in a determination will vary from case to case and depend on a number of factors.

2.37 International human rights law jurisprudence states that laws conferring discretion or rule-making powers on the executive must indicate with sufficient clarity the scope of any such power or discretion conferred on competent authorities and the manner of its exercise.<sup>43</sup> This is because, without sufficient safeguards, broad powers may be exercised in such a way as to be incompatible with human rights. While there may be some risk that this could occur given the breadth of the powers contained in the measure, much will depend on how the powers are exercised in practice.

2.38 Another safeguard in the bill is the requirement that the determination must not be in force for more than one year. On this point, the minister advised that while the determination is limited to one year, there remains the possibility of the determination being varied or revoked earlier if the relevant risk no longer exists. The minister stated that this acts as a constraint on the minister's exercise of power as individuals will only be impacted by a determination for the time needed to meet the relevant biosecurity risk, with a maximum period of one year. As to the availability of review of decisions made pursuant to a determination, the minister stated that merits review is not available and was not considered necessary given the

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41 Schedule 1, item 11, proposed section 393A and subsection 393B(1).

42 Schedule 1, item 11, proposed subsections 393B(3) and (5).

43 *Hasan and Chaush v Bulgaria*, European Court of Human Rights App No.30985/96 (2000) [84].



anticipated duration that an individual needs to comply with such a biosecurity measure. While it is anticipated that it will be a short duration in which an individual will be required to comply with a biosecurity measure, such as a requirement to walk over a foot mat at a landing place, the legislation allows for the determination to be in force for one year. This may constitute a substantial period of time depending on the extent of interference with rights. For example, were a determination to ban certain trading practices or restrict movement between particular locations for the duration of one year, it may represent a substantial interference with rights. Further, while judicial review remains available, this is a more limited form of review than merits review, only allowing a court to consider whether the decision to make the determination was lawful (and not allowing it to consider the merits of making the determination).

2.39 In conclusion, while the measure is accompanied by some safeguards, given the breadth of the measure and noting there is no limit on the types of behaviours or practices that may be specified in a determination and the lack of merits review, there appears to be a risk that, depending on the nature of the determination, the measure may not constitute a proportionate limit on rights in practice.

### **Committee view**

2.40 The committee thanks the minister for this response. The committee considers that empowering the Agriculture Minister to determine certain biosecurity measures, for the purposes of preventing a specified behaviour or practice that causes or contributes to the entry or spread into Australia of certain diseases or pests that may pose a risk to human health, promotes the right to health. However, the committee notes that banning, restricting, or requiring certain behaviours or practices or requiring the provision of specified information also engages and may limit other human rights, including the rights to privacy, equality and non-discrimination, culture and freedom of movement.

2.41 The committee considers the measure pursues the legitimate objective of preventing a behaviour or practice that may cause or contribute to a disease or pest, that poses an unacceptable level of biosecurity risk, entering, emerging, establishing or spreading in Australian territory. While the measure is accompanied by some important safeguards, the committee notes the measure empowers the making of a relatively broad determination to prohibit variable types of behaviours and practices. The committee notes that, in many cases, the types of behaviours or practices required will represent a proportionate limit on rights, such as a requirement that individuals walk over a foot mat at a landing place. However, given the breadth and flexibility of the power, there remains a risk that, depending on the nature of the determination, the existing safeguards may not be sufficient in practice to safeguard rights.

2.42 As the bill has now passed, the committee makes no further comment on this bill.

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## Information management framework

2.43 Schedule 3 to the bill seeks to amend the Biosecurity Act in relation to the management of information obtained or generated under the Act, in particular to enable greater sharing of information with government agencies and other bodies.

2.44 In particular, Schedule 3 seeks to introduce the concept of 'entrusted persons' who would have specific authorisations to deal with 'relevant information'.<sup>44</sup> The information that it applies to would include personal information, some of which may be obtained using the coercive powers under the Biosecurity Act (such as the powers referenced above).

2.45 An 'entrusted person' is defined in the bill<sup>45</sup> as meaning relevant Commonwealth ministers or departmental secretaries or the Director of Biosecurity or the Director of Human Biosecurity, as well as:

- any Australian Public Service (APS) employee in the Agriculture Department or the Health Department;
- anyone employed or engaged to provide services to the Commonwealth in connection with the Agriculture Department or the Health Department; or
- anyone employed or engaged by the Commonwealth or a statutory body corporate that is in a prescribed class of persons (with the class to be prescribed in future regulations).

2.46 The bill provides that entrusted persons would then be authorised to deal with the information in a variety of ways, including:

- disclosing the information to a state or territory body, or foreign government, in order to manage risks posed by diseases and pests;<sup>46</sup>
- disclosing the information to a court or tribunal for the purposes of law enforcement or to assist in the review an administrative decision;<sup>47</sup>
- disclosing information for the purpose of enforcing the criminal law or a law imposing a pecuniary penalty, or for the protection of the public revenue, to a body that enforces such laws (including the police);<sup>48</sup> and

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44 Schedule 3, item 8 defines 'relevant information' to mean information obtained or generated by a person in the course of, or for the purposes of, performing functions or duties or exercising powers under the Biosecurity Act, or assisting another person to do this.

45 See Schedule 3, item 5, definition of 'entrusted person'.

46 Schedule 3, item 27, proposed sections 584 and 585.

47 Schedule 3, item 27, proposed section 588.

48 Schedule 3, item 27, proposed section 589.

- using or disclosing the information for research, policy development or data analysis or statistics.<sup>49</sup>

2.47 In addition, relevant information would be authorised to be disclosed in the course of, or for the purposes of, performing functions or duties, or exercising powers, under the Biosecurity Act, or assisting another person to do so.<sup>50</sup> The persons that could disclose information for this purpose would include:

- entrusted persons;
- persons employed or engaged by the Commonwealth or a statutory body corporate;
- various biosecurity officials;
- biosecurity industry participants or their employees (which carry out specified activities to manage the biosecurity risks associated with imported goods);<sup>51</sup> and
- survey authorities or their officers or employees (which appear to be international shipping authorities).<sup>52</sup>

2.48 The bill does not set out who the information could be disclosed to. There would also be a separate authorisation for certain persons to use or disclose relevant information for the purposes of managing risks to human health.<sup>53</sup> Such persons would include entrusted persons, persons employed or engaged by the Commonwealth or a body corporate established by Commonwealth law, biosecurity officials, chief human biosecurity officers, human biosecurity officers and biosecurity industry participants (including their officers or employees).

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49 Schedule 3, item 27, proposed sections 590 and 590A.

50 Schedule 3, item 27, proposed section 582.

51 *Biosecurity Act 2015*, sections 9 and 14 defines a 'biosecurity industry participant' as being the holder of the approval of an approved arrangement.

52 *Biosecurity Act 2015*, section 9 defines 'survey authority' as meaning a person authorised by the Director of Biosecurity under section 290A to be a survey authority. Section 290A allows persons to be prescribed to meet ballast water functions, and the Biosecurity (Ballast Water Survey Authority) Authorisation (No. 2) 2017 authorises the following: American Bureau of Shipping (ABS); Bureau Veritas (BV); Det Norske Veritas Germanischer Lloyd (DNV GL); Lloyd's Register (LR); Nippon Kaiji Kyokai (Class NK); China Classification Society (CCS); Korean Register of Shipping (KR); Registro Italiano Navale (RINA).

53 Schedule 3, item 27, proposed section 583.

## Summary of initial assessment

### *Preliminary international human rights legal advice*

#### *Right to privacy*

2.49 By authorising the use and disclosure of personal information, the measure would engage and limit the right to privacy.<sup>54</sup> This is acknowledged in the statement of compatibility.<sup>55</sup> The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective.

#### *Committee's initial view*

2.50 The committee considered further information was required to assess the human rights compatibility of this measure, and as such sought the minister's advice in relation to:

- a) the person or body to whom relevant information may be disclosed for the purposes of the Biosecurity Act (proposed section 582) or other Acts (proposed section 586) and managing human health risks (proposed section 583)—noting that in these circumstances, it is not clear to whom the information may be disclosed;
- b) why it is necessary to allow all information obtained using powers under the Biosecurity Act to be shared for law enforcement purposes, unrelated to managing biosecurity risks or the administration of the Biosecurity Act;
- c) why an information sharing agreement is not required in relation to all circumstances where personal information is shared between the Commonwealth and another entity or body; and
- d) what other safeguards accompany the measure to protect personal information. For example, is there a requirement that personal information be stored on a secure database or destroyed after a set amount of time.

2.51 The full initial analysis is set out in [Report 6 of 2022](#).

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54 International Covenant on Civil and Political Rights, article 17.

55 Statement of compatibility, p. 44.

## Minister's response<sup>56</sup>

### 2.52 The minister advised:

I acknowledge the committee's concern around measures which may engage and limit the right to privacy and address these in detail below including the safeguards in place to protect personal information.

*a) the person or body to whom relevant information may be disclosed for the purposes of the Act (s.582) or other Acts (proposed s.586) and managing human health risks (s.583) – noting that it is not clear to whom the information may be disclosed*

Section 582 authorises the use or disclosure of relevant information for the purposes of performing functions or duties, or exercising powers, under the Act, or assisting another person to do the same, without limiting to whom any such disclosures may be made. The disclosure of information is governed and limited by the functions, duties and powers under the Act and other under relevant legislation such as the *Privacy Act 1988*. For example, when a biosecurity officer gives a person in charge of an aircraft or vessel a direction in relation to the unloading of goods (see section 143 of the Act), then the authorisation under section 582 would allow the biosecurity officer to disclose relevant information to the person in charge that is for the purposes of exercising the power to issue a direction.

A further limitation is that relevant information may only be disclosed under sections 582, 583 or 586 for the specified legislative purpose under which they operate, including the Act, other Acts or for managing human health risks. For example, in section 583, the purposes are clearly confined to those relating to one of the specific risks or emergencies listed in subsection 583(1), all of which relate to managing human health risks. The purposes set out in section 586 relate to the administration of the Act or other Acts administered by the Agriculture Minister or the Health Minister. This authorisation by definition limits the persons to whom disclosure of relevant information is allowed as there must be a clear nexus between the disclosure and the specific human health purpose or legislative purpose of the relevant Act.

Subdivision A would therefore confine disclosure to persons who would legitimately require the information in order to achieve and manage one of the listed purposes in the relevant legislation. As risks may emerge suddenly, without warning and in an unexpected or novel form, it is appropriate to frame the disclosure authorisations in sections 582, 583, and 586 in such a way as to provide maximum flexibility to respond to what may be urgent human health and biosecurity risks as they arise as well as to routine matters under the Act. Further, recipients of relevant

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56 The minister's response to the committee's inquiries was received on 19 January 2023. This is an extract of the response. The response is available in full on the committee's [website](#).

information will be governed by other legislative frameworks in relation to what they can then do with such information. For example, if information is provided to a person exercising powers and functions under the *Export Control Act 2020* then the information will be governed by that statute. If information is provided to a State or Territory body, then the information will be governed by State or Territory laws.

In relation to protected information, there are sanctions for unauthorised use or disclosure. The offence in subsection 580(6) is triggered if certain persons who obtained or generated protected information in the course of, or for the purposes of, performing functions or duties, or exercising powers, under the Act (or assisting another person to perform such functions or duties, or exercise such powers), use or disclose protected information, and the use or disclosure is not required or authorised by a Commonwealth law or a prescribed State or Territory law (and where the good faith exception in subsection 580(4) does not apply).

*b) why it is necessary to allow all information obtained using powers under the Act to be shared for law enforcement purposes, unrelated to managing biosecurity risks or the administration of the Act.*

The amendments are intended to reflect best practice by streamlining information sharing, including for the purposes of law enforcement. Section 589 authorises disclosure for the purposes of law enforcement to certain Commonwealth, State or Territory bodies which have a law enforcement or protection of public revenue function. Relevant law enforcement purposes may include the investigation of offences under the *Crimes Act 1914*. This amendment is also consistent with the way information sharing regimes are framed in other legislation, for example the *Hazardous Waste (Regulation of Exports and Imports) Act 1989* and the *Industrial Chemicals Act 2019*.

Authorised purposes include the administration of state/territory laws (section 590F) and where this may not necessarily be limited to biosecurity purposes, the disclosure of information to a State or Territory body would need to be governed by an agreement between the Commonwealth and the State or Territory body.

A robust and effective framework for information sharing for law enforcement, governed by clear guidelines and responsibilities, is necessary to protect Australia's public interest. The amendments address a number of identified shortcomings with the previous arrangements for information sharing under the Act including the need to simplify and clarify the regime, and allow a key element of best practice, that is, the ability to share information for law enforcement purposes. Instead of providing for exceptions to offence provisions, the amendments provide for a single set of positive authorisations, including for law enforcement. At times the initial stages of law enforcement investigations are by their nature undefined and need to be sufficiently wide-ranging to allow the proper

investigation of differing, intersecting issues before an effective enforcement decision can be made.

The enforcement of Australian laws is an appropriate framing for the authorised disclosure of relevant information, as it is a matter of public interest. I consider that there are sufficient checks and balances on the use of such information and the authorisation allows the Commonwealth to make a judgement about the necessity of sharing for any proposed purpose.

*c) why an information sharing agreement is not required in relation to all circumstances where personal information is shared between the Commonwealth and another entity or body.*

Information sharing agreements are initiated on a case-by-case basis, taking into account the circumstances and merits of each proposed agreement. Information sharing agreements, particularly those which occur on a regular basis, may be appropriate, for example for the purposes of law enforcement because of the potentially serious consequences for the outcome of certain law enforcement actions. There may be other circumstances, such as research, policy development or data analysis or statistics, where it may also be appropriate to govern information sharing via an agreement.

In some circumstances it may be neither practical nor possible to enter into information sharing agreements. For example, in emergency situations it may not be feasible to have an agreement before the Commonwealth shares information about a highly infectious disease under section 582 of the Act. It may be necessary to disclose to certain members of the community that there is a new infectious human disease, in a situation where some personal information also needs to be disclosed. The personal information may be about the age/gender of person (relevant to the epidemiology of the disease), or information about their movements (for contact tracing purposes) and it would not be feasible to enter agreements with each member of the community.

The Department of Agriculture [sic], Fisheries and Forestry currently has information sharing agreements with other agencies and New Zealand governing sharing of information, criteria, procedures and privacy management and mitigation strategies. Existing arrangements will be reviewed to ensure compliance with the new framework.

*d) what other safeguards accompany the measure to protect personal information, for example, is there a requirement that personal information be stored on a secured database or destroyed after a set amount of time.*

The department maintains robust policies and procedures to protect any personal information which it holds, as documented in the department's Privacy Policy at [agriculture.gov.au/about/commitment/privacy](https://agriculture.gov.au/about/commitment/privacy).

Personal information is held in accordance with the collection and security requirements of the Australian Privacy Principles, the department's policies and procedures and the Australian Government Protective Security Policy Framework (AGPSPF). The department holds personal information in a range of audio-visual, paper and electronic based records (including in cloud-based applications and services). The department complies with the AGPSPF for protecting departmental resources (including information) from harm or unauthorised access.

If personal information held by the department is lost, or subject to unauthorised access or disclosure, the department will respond in accordance with the Office of the Australian Information Commissioner's guidelines.

Relevant departmental policies and procedures, which can be implemented on a case-by-case basis, include the following:

- application of additional restrictions, including via protective marking, to limit the clearance level for access of personal information
- requiring agreement of affected parties for any particular disclosure or use
- ensuring the storage of personal information meets best practice protocols; and
- requiring the mandatory destruction of the personal information after an agreed timeframe and in an agreed manner.

## **Concluding comments**

### ***International human rights legal advice***

#### ***Right to privacy***

2.53 To assess whether the proposed limitation on the right to privacy is proportionate, further information was sought regarding the breadth of the measure, particularly in relation to the persons to whom, and the bases on which, information may be disclosed under the information management framework. While the measure mostly provides for who may use the relevant information (namely, an entrusted person), and the persons to whom information may be disclosed, there are some circumstances where this is not the case.<sup>57</sup> In particular, sections 582, 583 and 586 authorise the disclosure of relevant information for specified purposes without limiting to whom any such disclosures may be made. The minister advised that disclosure of information under these sections is governed and limited by the functions, duties and powers under the Act and other relevant legislation such as the *Privacy Act 1988* as well as the fact that disclosure must be for the specified

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57 See Schedule 3, item 27, proposed sections 582, 583 and 586.



legislative purpose under which it operates. The effect of this would be to confine disclosure to persons who would legitimately require the information to achieve and manage one of the listed purposes in the relevant legislation. The minister considered that it is appropriate to frame the disclosure authorisations in sections 582, 583 and 596 in such a way as to provide maximum flexibility to respond to what may be urgent human health and biosecurity risks as they arise as well as routine matters under the Act. The minister further noted that recipients of relevant information under these sections will be governed by other legislation, such as state and territory laws if the recipient was a state or territory body.

2.54 It is noted that sections 582, 583 and 586 place limitations regarding the persons who are authorised to disclose relevant information (namely, entrusted persons) and the purposes for which information may be disclosed, which could, as the minister suggests, have the effect of limiting the persons to whom information may be disclosed. However, without limiting to whom information may be disclosed in the text of the legislation itself, it remains unclear how broadly this power would be exercised. For example, in the case of disclosing information for the purpose of managing a human biosecurity emergency, it appears possible that information could be disclosed to a broad range of front line workers, private companies and contractors, such as airport staff and transport workers. In order to be proportionate, a limitation on the right to privacy should only be as extensive as is strictly necessary and legislation must specify in detail the precise circumstances in which interferences with privacy may be permitted.<sup>58</sup>

2.55 As to the bases on which information may be disclosed, further information was sought as to why it is necessary to allow all information obtained using powers under the Act to be shared for law enforcement purposes, unrelated to managing biosecurity risks or the administration of the Act (as permitted under section 589). The minister advised that the amendments are intended to reflect best practice by streamlining information sharing, including for the purposes of law enforcement. The minister stated that a robust and effective framework for information sharing for law enforcement, governed by clear guidelines and responsibilities, is necessary to protect Australia's public interest. The minister noted that initial stages of law enforcement investigations are, by their nature, undefined and need to be sufficiently wide-ranging to allow the proper investigation of differing, intersecting issues before an effective enforcement decision can be made.

2.56 However, questions remain as to whether sharing all information obtained by officials using powers under the Biosecurity Act to enforce any other law, unrelated to any biosecurity risk or for the administration of the Biosecurity Act, will be proportionate in practice, noting that the adequacy of the public interest justification will depend on the circumstances of each case. Given the breadth of this information-sharing power and the corresponding considerable extent of the

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58 *NK v Netherlands*, UN Human Rights Committee Communication No.2326/2013 (2018) [9.5].

potential interference with the right to privacy, it is critical that the measure is accompanied by stringent safeguards to ensure any limitation on the right to privacy is proportionate.

2.57 In this regard, the initial analysis identified some safeguards that would assist with proportionality, including:

- requiring reasonable steps to be taken to de-identify personal information in the context of information used or disclosed for research, policy development or data analysis (but not other purposes);<sup>59</sup>
- permitting the use or disclosure of relevant information that is statistical information that is not likely to enable the identification of a person;<sup>60</sup>
- requiring an agreement to be in place between the Commonwealth and a state or territory body before the relevant information may be disclosed to the body. The agreement may include a requirement that the state or territory body confirm any personal information disclosed is subject to appropriate safeguards;<sup>61</sup>
- the discretion of the Commonwealth to make an information sharing agreement or impose conditions on the use or disclosure of relevant information shared under this division;<sup>62</sup> and
- the prohibition on unauthorised use or disclosure of protected information.<sup>63</sup>

2.58 Regarding information sharing agreements, the minister advised that these agreements are initiated on a case-by-case basis, taking into account the circumstances and merits of each proposed agreement. The minister noted that an information sharing agreement may be appropriate in a law enforcement or research, policy development or data analysis context, but in other circumstances may neither be practical nor possible, such as in an emergency situation.

2.59 As to the existence of other safeguards, the minister referred to the department's Privacy Policy and the Australian Government Protective Security Policy Framework. The minister further noted that certain departmental policies and

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59 Schedule 3, item 27, proposed section 590; statement of compatibility, pp. 44–45.

60 Schedule 3, item 27, proposed section 590A; statement of compatibility, p. 45.

61 Schedule 3, item 27, proposed sections 589 and 590F; statement of compatibility, p. 45.

62 Schedule 3, item 16, proposed section 579, Note 2 provides that nothing in Part 2 of Chapter 11 of the Biosecurity Act would prevent the Commonwealth from making agreements or other arrangements to impose conditions on the use or disclosure of relevant information by a body or person who obtains the information as a result of an authorised disclosure.

63 Schedule 3, item 18, proposed section 580, which would apply a fault-based offence, civil penalty provision and strict liability offence to the unauthorised use or disclosure of protected information which is obtained or generated under the Biosecurity Act.

procedures can be applied on a case-by-case basis, such as requiring the mandatory destruction of personal information after an agreed timeframe and in an agreed manner or applying additional restrictions to limit the clearance level for access to personal information.

2.60 The above safeguards would assist with proportionality, although it is noted that discretionary safeguards are less stringent than the protection of statutory processes as there is no requirement to follow them. However, given the breadth of the measure, including the absence of a limit on the persons to whom information may be disclosed in certain circumstances and the type of information that may be shared for law enforcement purposes, there is a risk that the existing safeguards may not be adequate in all circumstances so as to ensure that any limitation on the right to privacy will be proportionate in practice.

### **Committee view**

2.61 The committee thanks the minister for this response. The committee considers that authorising the use and disclosure of personal information engages and limits the right to privacy.

2.62 The committee considers that the measure pursues the legitimate objective of supporting the management of biosecurity risks and facilitating the effective operation and enforcement of the Biosecurity Act. The committee considers that the measure is accompanied by a number of important safeguards that will help to ensure any interference with the right to privacy is only as extensive as is strictly necessary. However, given the breadth of the measure, there is a risk that the existing safeguards may not be adequate in all circumstances so as to ensure that any limitation on the right to privacy will be proportionate in practice.

2.63 As the bill has now passed, the committee makes no further comment on this bill.

## Privacy Legislation Amendment (Enforcement and Other Measures) Bill 2022<sup>1</sup>

<b>Purpose</b>	This bill (now an Act) enhances the protection of personal information by increasing penalties, strengthening the Australian Information Commissioner's (the Commissioner) enforcement powers, and providing the Commissioner and Australian Communications and Media Authority (ACMA) with greater information sharing arrangements
<b>Portfolio</b>	Attorney-General
<b>Introduced</b>	House of Representatives, 27 October 2022 (received Royal Assent on 12 December 2022)
<b>Rights</b>	Privacy; criminal process rights

2.64 The committee requested a response from the minister in relation to the bill in [Report 6 of 2022](#).<sup>2</sup>

### Increasing civil penalties

2.65 The bill (now Act) amended the *Privacy Act 1988* (Privacy Act) to increase penalties under section 13G for serious or repeated interferences with the privacy of an individual.<sup>3</sup> Section 13G previously made it a civil penalty punishable by up to 2,000 penalty units (which was \$444,000) if an entity does an act, or engages in a practice, that seriously interferes with the privacy of an individual or repeatedly interferes with the privacy of one or more individuals. The Act increased the maximum civil penalty for breach of this provision for 'a person other than a body corporate' to \$2.5 million. It also significantly increased the penalty applicable to body corporates to up to \$50 million.

### Summary of initial assessment

#### *Criminal process rights*

2.66 The significant proposed increase in this civil penalty to a maximum of \$2.5 million for persons other than a body corporate, to the extent that it applies to

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Privacy Legislation Amendment (Enforcement and Other Measures) Bill 2022, *Report 1 of 2023*; [2023] AUPJCHR 11.

2 Parliamentary Joint Committee on Human Rights, *Report 6 of 2022* (24 November 2022), pp. 50-55.

3 Item 14.

individuals,<sup>4</sup> raises the risk that this penalty may be considered criminal in nature under international human rights law. Under Australian law, civil penalty provisions are dealt with in accordance with the rules and procedures that apply in relation to civil matters (the burden of proof is on the balance of probabilities). However, if the new civil penalties as applicable to individuals are regarded as 'criminal' for the purposes of international human rights law, they would engage the criminal process rights under articles 14 and 15 of the International Covenant on Civil and Political Rights, including the right not to be tried or punished twice<sup>5</sup> and the right to be presumed innocent until proven guilty according to law,<sup>6</sup> which requires that the case against the person be demonstrated on the criminal standard of proof of beyond reasonable doubt.

2.67 The test for whether a civil penalty should be characterised as 'criminal' for the purposes of international human rights law relies on three criteria:

- (a) the domestic classification of the offence as civil or criminal;
- (b) the nature of the penalty; and
- (c) the severity of the penalty.<sup>7</sup>

2.68 As to the nature of the penalties and when the penalties may apply (whether to the public or in a regulatory or disciplinary context), section 13G operates with respect to 'entities', which means an agency, organisation or small business operator.<sup>8</sup> The Privacy Act defines an organisation or small business operator as including an individual.<sup>9</sup> The statement of compatibility states that the existing civil penalties in section 13G 'fall short of community expectations, particularly if it is large multinational organisations being penalised'.<sup>10</sup> However, it is not clear whether and how section 13G may operate with respect to individual persons who are not operating within a specific regulatory or disciplinary context. For example, would it apply to a naturopath, gym-owner or childcare operator who regularly emails their clients a newsletter and accidentally shares their client email addresses in doing so? In this regard, it is unclear whether the nature of conduct which could give rise to a

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4 Noting that human rights apply only to humans, this advice does not consider the proposed increase in relation to bodies corporate.

5 International Covenant on Civil and Political Rights, article 14(7)

6 International Covenant on Civil and Political Rights, article 14(2).

7 For further detail, see the Parliamentary Joint Committee on Human Rights, *Guidance Note 2: Offence provisions, civil penalties and human rights* (December 2014).

8 *Privacy Act 1988*, section 6.

9 *Privacy Act 1988*, subsection 6C(1)(a) and section 6D. An 'agency' may likewise include a person, but only where they are holding or performing the duties of an appointment or office. See, *Privacy Act 1988*, section 6.

10 Statement of compatibility, p. 6.

serious or repeated interference with privacy would be such that penalties for breaches of section 13G would necessarily be restricted to specific regulatory contexts. The term 'serious interference' or 'repeated' is not defined in the Privacy Act,<sup>11</sup> and the explanatory materials accompanying this bill do not elucidate the circumstances which could give rise to a serious or repeated interference with privacy by a person other than a body corporate. There also appears to be limited jurisprudence interpreting section 13G to date. Consequently, some questions remain with respect to the nature of section 13G, and the circumstances in which it may apply. It seems possible that the section could apply beyond specific regulatory or disciplinary contexts and affect the public more generally.

2.69 A penalty is more likely to be considered criminal for the purposes of international human rights law if the penalty carries a term of imprisonment or a substantial pecuniary sanction. While the civil penalty provisions would not carry a term of imprisonment, the maximum penalty amount of \$2.5 million for individuals is a substantial pecuniary sanction.

2.70 The full initial analysis is set out in [Report 6 of 2022](#).

### ***Committee's initial view***

2.71 The committee noted that the objective of the bill is to strengthen protections against unlawful interferences with privacy,<sup>12</sup> and considered that this is an important objective. The committee therefore considered that, in general, these proposed information-sharing and enforcement powers would likely promote the right to privacy.

2.72 The committee noted that these proposed information-sharing and enforcement powers would also limit the right to privacy and the right to a fair hearing, including by compelling the provision of information or documents.<sup>13</sup>

2.73 In relation to those aspects of the bill seeking to increase to \$2.5 million civil penalties applicable to individuals, the committee noted this may engage criminal process rights and sought the Attorney General's advice in relation to:

- (a) examples of the type of individuals section 13G would apply to, and whether any individuals would be covered by the provision who may not fully understand the regulatory context (noting the examples in the advice above);

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11 The explanatory material accompanying the bill which originally established section 13G of the Privacy Act (the Privacy Amendment (Enhancing Privacy Protection) Bill 2012) provides no further explanation of its intended scope of operation.

12 See, statement of compatibility, p. 4.

13 See, for example, items 38–41, which would establish civil penalties contraventions of the obligation to give information, answer a question or produce a record or document under the Privacy Act.

- (b) examples of the types of conduct that may constitute a serious interference, and a repeated interference, with privacy under section 13G of the *Privacy Act 1988*, particularly with respect to conduct by individuals; and
- (c) in those instances where an individual may be subject to a significant penalty under the proposed changes to section 13G, why requiring the courts to apply a higher civil standard of proof would not be appropriate.

### Minister's response<sup>14</sup>

#### 2.74 The minister advised:

The Privacy Act applies to organisations with an annual turnover more than \$3 million, subject to some exceptions. The Privacy Act defines an 'organisation' under section 6C of the Act, which can include an individual such as a sole trader. However, the Privacy Act does not generally apply to an individual acting in a personal capacity but more generally directed to a range of organisations including agencies, a body corporate or other entities.

The Australian Government recognises it is important that organisations understand their obligations under the Privacy Act and that guidance is available. As part of its functions, the Australian Information Commissioner (Commissioner) is responsible for working with entities to help them understand their obligations and the regulatory context. This includes:

- making guidelines for the avoidance of acts or practices that may or might be interferences with the privacy of individuals, or which may otherwise have any adverse effects on the privacy of individuals
- promoting an understanding and acceptance of the Privacy Act, and
- undertaking educational programs for the purposes of promoting the protection of individual privacy.

The Office of the Australian Information Commissioner (OAIC) publishes detailed guidance and advice on its website, as well as training resources and is also able to undertake assessments of an organisation's compliance with the Privacy Act.

Civil penalty orders would only be pursued for the most serious or repeated privacy breaches, and this is outlined in the OAIC's *Privacy regulatory action policy* guidance which notes:

- The OAIC's privacy regulatory action would be proportionate to the situation or conduct concerned.

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14 The minister's response to the committee's inquiries was received on 9 December 2022. This is an extract of the response. The response is available in full on the committee's [website](#).

- The OAIC's preferred regulatory approach is to work with entities to facilitate legal and best practice compliance and that it can use a range of steps as part of this approach, only some of which involve the use of regulatory powers.

In relation to civil penalties proposed under the Bill, I note the following:

1. they are not classified as 'criminal' under Australia law;
2. they are intended to be a strong deterrent against serious or repeated privacy breaches, but do not apply to individuals at large - only individuals that are 'organisations' under the Privacy Act may be subject to the penalties (for example, sole traders that have more than \$3 million in annual revenue); and
3. they do not carry a penalty of imprisonment, and provide for substantial financial penalties to be imposed by a court in relation in the most serious or repeated privacy breaches.

On this basis, the Government considers it is appropriate and proportionate to apply the civil standard of proof in the circumstances where an individual will only be liable to the penalties in section 13G when the individual is an 'organisation' for the purposes of the Privacy Act (that is, generally where they are not acting in a personal capacity), and the threshold for a serious or repeated interference with privacy is high and reserved for the most egregious breaches. While the maximum penalty is being raised, the court retains discretion on determining penalties, and will only apply maximum penalties to appropriate cases taking into account all relevant matters. This will include factors such as the nature and extent of the contravening conduct, the damage or loss suffered, the size of the contravening entity and whether the entity has previously been found to have engaged in similar conduct.

While the Government is acting now to increase penalties under section 13G, I also note that the Attorney-General's Department's review of the Privacy Act (the Review) is considering whether the civil penalty provision for a serious or repeated interference with privacy under section 13G could be made clearer. For example, the legislation could specify those types of factors the OAIC currently considers relevant in its guidance which could include circumstances where the information is highly sensitive, there has been wilful misconduct, or it adversely affects large groups of individuals. Further, the Review is considering whether the current spectrum of regulatory options available are too limited to target the different levels of seriousness with which interferences with privacy occur, and whether it would be appropriate to have tiered penalty provisions. A lower tiered penalty may be appropriate in circumstances where the conduct is not a serious or repeated breach of privacy, but enforcement action is still warranted.



## **Concluding comments**

### ***International human rights legal advice***

2.75 In relation to the types of individuals who may be regulated by the Privacy Act, and so subject to the operation of section 13G, the Attorney-General advised that the Act will only apply to individuals where they are operating as sole traders and their organisation's annual turnover exceeds \$3 million. The Attorney-General stated that the Privacy Act does 'not generally apply to an individual acting in a personal capacity'. That is, 'an individual will only be liable to the penalties in section 13G when the individual is an 'organisation' for the purposes of the Privacy Act (that is, generally where they are not acting in a personal capacity)'. It would appear, therefore, that section 13G is unlikely to operate in relation to individuals, and not in relation to the public at large, but only in a particular regulatory context, where individuals should understand the obligations they owe.

2.76 The Attorney-General also stated that the government considers it is appropriate and proportionate to apply the civil standard of proof in the circumstances, and noted that the court retains discretion on determining penalties, and will only apply maximum penalties to appropriate cases taking into account all relevant matters (which will include: the nature and extent of the contravening conduct; the damage or loss suffered; the size of the contravening entity; and whether the entity has previously been found to have engaged in similar conduct). In addition, the Attorney-General noted that his department is currently considering whether the civil penalty provision for a serious or repeated interference with privacy under section 13G could be made clearer, including whether the legislation could specify relevant factors, such as in 'circumstances where the information is highly sensitive, there has been wilful misconduct, or it adversely affects large groups of individuals'. The explicit inclusion of such matters would appear capable of guiding the application of the potential penalty under section 13G, such that the maximum potential penalty is only available in the most serious cases. Further, having regard to the Attorney-General's advice as to the applicability of the penalty to individuals only in a regulatory context, it appears that the penalty is unlikely to engage criminal process rights under international human rights law.

### **Committee view**

2.77 The committee thanks the Attorney-General for this response. The committee considers, based on this advice, that these amendments to significantly increase civil penalties for serious or repeated interferences with privacy, are unlikely to engage criminal process rights under international human rights law, noting the limited applicability of these penalties to individuals. The committee considers that its concerns have therefore been addressed, and makes no further comment in relation to this bill.

## Telecommunications Legislation Amendment (Information Disclosure, National Interest and Other Measures) Bill 2022<sup>1</sup>

<b>Purpose</b>	This bill seeks to amend the <i>Telecommunications Act 1997</i> to alter the operation of information disclosure provisions and record of disclosure requirements. It would also make technical amendments to the <i>Telstra Corporation and Other Legislation Amendment Act 2021</i>
<b>Portfolio</b>	Infrastructure, Transport, Regional Development, Communications and the Arts
<b>Introduced</b>	House of Representatives, 10 November 2022
<b>Rights</b>	Privacy; effective remedy

2.78 The committee requested a response from the minister in relation to the bill in [Report 6 of 2022](#).<sup>2</sup>

### Increased access to the Integrated Public Number Database

2.79 The bill seeks to amend the *Telecommunications Act 1997* (Telecommunications Act) to expand the information that may be disclosed from the Integrated Public Number Database (Number Database), allowing disclosure of information related to unlisted (and listed) phone numbers in the case of calls to emergency services numbers.<sup>3</sup> It would also insert a requirement that it must be unreasonable or impracticable to obtain the other person's consent to the disclosure or use of their information.

### Summary of initial assessment

#### *Preliminary international human rights legal advice*

##### *Right to privacy*

2.80 Permitting the use and disclosure of personal information related to unlisted phone numbers on the Number Database in emergency call situations engages and limits the right to privacy. The right to privacy may be subject to permissible

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, Telecommunications Legislation Amendment (Information Disclosure, National Interest and Other Measures) Bill 2022, *Report 1 of 2023*; [2023] AUPJCHR 12.

2 Parliamentary Joint Committee on Human Rights, [Report 6 of 2022](#) (24 November 2022), pp. 56-67.

3 Items 1–6. See, *Telecommunications Act 1997*, section 285.

limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective.

2.81 Permitting the disclosure of unlisted phone numbers in such circumstances in order to protect life is a legitimate objective for the purposes of international human rights law, and this measure appears to be rationally connected to (that is, capable of achieving) that objective. But questions remain regarding proportionality.

### **Committee's initial view**

2.82 While noting the important objective of this bill, the committee noted that permitting the disclosure of information relating to unlisted phone numbers (such as mobile phone numbers) on the Integrated Public Number Database in dealing with matters raised by a call to an emergency service number engages and limits the right to privacy and sought the minister's advice as to:

- (a) whom information or documents obtained under this measure may be disclosed, and examples of such disclosure;
- (b) what are the parameters of the term 'dealing with matters raised by' a call to an emergency service number;
- (c) whether and how the alternative basis for disclosing information relating to a call to an emergency services phone number in section 286 interacts with this proposed amendment to section 285, and why the proposed amendment is necessary despite this existing exception; and
- (d) what safeguards would apply to information disclosed under section 285 as amended (including restrictions in terms of how the data must be handled, used, stored, and destroyed).

2.10 The full initial analysis is set out in [Report 6 of 2022](#).

### **Minister's response<sup>4</sup>**

2.83 The minister advised:

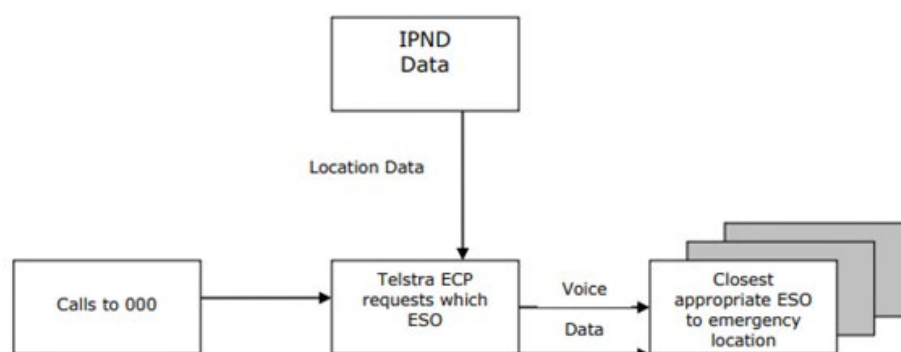
**(a) To whom may information obtained under this measure be disclosed, with examples of disclosure?**

The Bill facilitates the disclosure of information about unlisted numbers from the Manager of the Integrated Public Number Database (IPND) to the Emergency Call Person.

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4 The minister's response to the committee's inquiries was received on 9 December 2022. This is an extract of the response. The response is available in full on the committee's [website](#).

In practice, the information is disclosed to emergency services (police, fire or ambulance). When a caller dials an emergency service number in need of emergency assistance, the call is first answered by the Emergency Call Person (currently Telstra for 000/112, and the National Relay Service provider for 106). The Emergency Call Person asks the caller which emergency service is required – police, fire, or ambulance – and then connects the caller to the relevant emergency service centre that services the caller’s location<sup>5</sup>.



**Figure 1: An overview of what happens on an emergency call**

When the call is transferred to the requested emergency service, the customer name and residential address of the caller is automatically transmitted from the IPND and displayed on the control screen of the emergency service operator handling the call. In most cases, the operator is able to confirm the appropriate dispatch location directly with the caller.

However, if this location cannot be confirmed, assistance is dispatched to the address associated with the phone number of the caller, as listed on the IPND. The IPND, which is managed by Telstra under clause 10 of its carrier license conditions,<sup>6</sup> contains a record of each telephone number issued by carriage service providers to their customers in Australia, including the customer’s name and residential address. Access to information in the IPND – including storage, transfer, use, or disclosure of unlisted information – is strictly regulated through the Act, a number of legislative instruments, and enforceable industry standards. Further information is provided under response (c).

The proposed amendment to section 285 of the Act is mainly focused at promoting clarity in the legislative framework around the disclosure of unlisted number information. As set out in paragraph 13 of the *Notes on*

5 Page 14 of the [IPND Data G619:2017](#) Communications Alliance Industry Guideline outline the processes relating to emergency service calls, including how information derived from the IPND is used for the purpose of emergency call services.

6 See: [Telecommunications \(Carrier Licence Conditions - Telstra Corporation Limited\) Declaration 2019](#)

*Clauses* in the Explanatory Memorandum for the Bill, the intention is to remove unnecessary complexity in the interpretation of the Act – however, the proposed measure also introduces an additional safeguard that it must be unreasonable or impracticable to seek the consent of the person to whom the disclosure relates.

**(b) What are the parameters of 'dealing with matters raised by' a call to an emergency service number?**

Disclosure of unlisted information through the proposed measure will be limited in practice to dispatching services (such as an ambulance) and routing calls to either Triple Zero or the Australian 106 Text Emergency Relay Service for people who have a hearing or speech impairment. In law, they are strictly limited to matters raised by a call to an emergency service number.

**(c) Does the alternative basis for disclosing information relating to a call to an emergency services phone number in section 286 interact with this proposed amendment to section 285, and if so, how? Why is the proposed amendment necessary despite this existing exception?**

No. The exception in section 286 only applies to information that is known or comes into a person's possession because of a call to an emergency service number. It allows the Emergency Call Person to disclose information to the appropriate ESO. It does not extend to the IPND Manager (i.e. information in the IPND does not come into possession of the IPND Manager as a result of a call to an emergency number).

The exception in section 285, and the proposed amendment, applies in a different circumstance and is also narrower. It applies only to information contained in the IPND, only to the Manager of the IPND, and only for purposes of dealing with a matter raised by a call to an emergency service number. The proposed amendment merely clarifies that disclosure about unlisted numbers from the IPND Manager to the Emergency Call Person (for example, to allow the dispatch of an ambulance because the person on the call using an unlisted number is asphyxiating) is lawful.

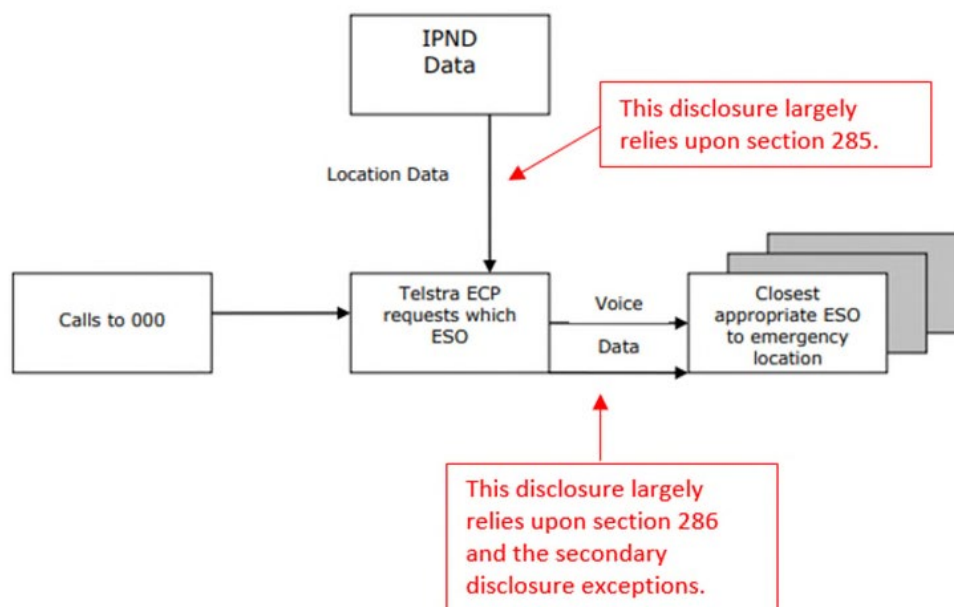


Figure 2: an overview of which provisions apply to which disclosure

**(d) What are the safeguards that would apply to information disclosed under section 285 as amended (including restrictions in terms of how the data must be handled, used, stored, and destroyed)?**

The amendment builds upon the existing Part 13 safeguards by introducing a requirement that it must be unreasonable or impracticable to seek the consent of the person to whom the disclosure relates. The use and disclosure of this data is restricted only to those necessary in providing an emergency service response. Through the interaction between several pieces of legislation which regulate either access to information in the IPND and/or the provision of emergency call services, information disclosure through the measure is restricted to police, fire and ambulance services.

Beyond this, the general safeguards that apply across Part 13 of the Act remain in place. For example, Division 2 of the Act sets out that use or disclosure of information received under these exceptions must be for the authorised purpose, contravention of which is an offence punishable on conviction by 2 years imprisonment, for example.

Telstra, as the IPND Manager and the Emergency Call Person (ECP), has publicly available procedures in place to ensure that information disclosed between the IPND Manager and the ECP is handled appropriately.<sup>7</sup> Obligations on IPND access seekers are specified in an enforceable

7 Part 8 of the [Telecommunications \(Consumer Protection and Service Standards\) Act 1999](#) and the [Telecommunications \(Emergency Call Service\) Determination 2019](#) set out obligations relating to the provision of emergency call services, including call information.

industry code<sup>8</sup> and in the data access agreements with Telstra.<sup>9</sup> These technical implementations limit the ability for disclosures to occur for purposes or to entities separate to those mentioned above.

## Concluding comments

### *International human rights legal advice*

#### *Right to privacy*

2.84 As to whom information or documents obtained under this measure may be disclosed, the minister advised that information is disclosed to emergency services (police, fire or ambulance). When a caller dials an emergency service number, the call is answered by the Emergency Call Person who asks the caller which emergency service is required and then connects the caller to the relevant emergency service centre that services the caller's location. Following the transfer of the call, the caller's name and residential address is automatically transmitted from the Number Database and displayed on the control screen of the emergency service operator handling the call. Consequently, it appears that information or documents obtained under this measure may only be disclosed to emergency services workers.

2.85 In relation to the parameters of 'dealing with matters raised by' a call to an emergency service number, the minister advised that this will be limited in practice to dispatching services (such as an ambulance) and routing calls to either Triple Zero or the Australian 106 Text Emergency Relay Service for people who have a hearing or speech impairment. It would appear, therefore that this provision only operates in respect of immediate responses to calls made to emergency services (and not, for example, to permit disclosure at some later time).

2.86 The minister further advised that this provision is necessary, because existing provisions in the Telecommunications Act (including section 286) operate differently to this proposed amendment. The minister advised that the exception in section 286 only applies to information that is known or comes into a person's possession because of a call to an emergency service number, allowing the Emergency Call Person to disclose information to the appropriate Emergency Services Officer. Section 285 (as amended), by contrast, would apply in different and more narrow circumstances: to information contained on the Number Database, to be relied on by the Number Database Manager, and only for purposes of dealing with a matter raised by a call to an emergency service number (for example, to allow the dispatch of an ambulance because the person on the call using an unlisted number is

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8 See: [Integrated Public Number Database C555:2020](#) (industry code registered under Part 6 of the Act)

9 For example, [Data Users and Data Providers Technical Requirements for IPND](#) outlines technical requirements of the IPND, including for file formatting and storage, data security, and reporting. IPND homepage link: <https://www.telstra.com.au/consumer-advice/ipnd>

asphyxiating). Based on this additional information, it is clear that sections 285 and 286 facilitate the disclosure of information to different workers where an emergency phone call has been made, and therefore operate differently.

2.87 The minister also outlined several safeguards which would apply to information disclosed under section 285 as amended. The minister noted the proposed inclusion of an additional safeguard by introducing a requirement that it must be unreasonable or impracticable to seek the consent of the person to whom the disclosure relates. In addition, the minister noted there is already: an offence to use or disclose information received under these exceptions other than for the authorised purpose; procedures that govern how Telstra, as the Number Database Manager and the Emergency Call Person, must handle information appropriately; as well as obligations on those seeking access to the Number Database via an enforceable industry code and in data access agreements with Telstra. These safeguards assist with the proportionality of the measure.

2.88 Based on this additional information from the minister, it would appear that the power under section 285 (as amended) is appropriately circumscribed and accompanied by valuable safeguards such that it is likely to constitute a proportionate limitation on the right to privacy.

### **Committee view**

2.89 The committee thanks the minister for their comprehensive response to its questions about this measure, and considers that based on this additional information, allowing disclosure of information related to unlisted (and listed) phone numbers, in the case of calls to emergency services numbers, would likely constitute a proportionate limit on the right to privacy. The committee welcomes the minister's advice that the explanatory materials accompanying this bill will be updated to reflect this additional information.

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### **Sharing of information in the case of a threat to a person's life or health**

2.90 The bill seeks to expand a further exception from the use and disclosure offences set out in Part 13 of the Telecommunications Act where the disclosure relates to threats to a person's life or health.<sup>10</sup> Section 287 currently provides that a person may disclose or use information or a document relating to the affairs or personal particulars (including any unlisted telephone number or any address) of another person if the first person 'believes on reasonable grounds that the disclosure or use is reasonably necessary to prevent or lessen a serious and imminent threat to the life or health of a person'. The bill seeks to remove the qualifier that a threat to

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10 Items 7–8 amending section 287.



the life or health of a person be 'imminent' and insert a requirement that it is unreasonable or impracticable to obtain the other person's consent to the disclosure or use of information.

2.91 The bill also seeks to repeal and replace section 300, which provides for the secondary use and disclosure of information that has been obtained under section 287.<sup>11</sup> This would allow for the secondary disclosure or use of information by the person who obtained it from the carriage service provider or carrier where it is unreasonable or impracticable to obtain consent and either: the disclosure or use is for the purpose of, or in connection with, preventing or lessening a serious threat to the life or health of a person; or the first person believes on reasonable grounds that the disclosure or use is reasonably necessary to prevent or lessen a serious threat to the life or health of a person.

## **Summary of initial assessment**

### ***Preliminary international human rights legal advice***

#### *Right to privacy*

2.92 The proposed expansion of the exception from the use and disclosure offences set out in Part 13 of the Telecommunications Act where the disclosure relates to threats to a person's life or health engages and limits the right to privacy. The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective.

2.93 Permitting the disclosure of information (and its onward disclosure) in order to protect life and health of a person is a legitimate objective, and this measure appears to be rationally connected to (that is, capable of achieving) that objective. However, with respect to the proportionality of the measure, the statement of compatibility does not outline whether the measure is appropriately circumscribed and fails to identify any safeguards relating to access to, and the use of, such data.

### ***Committee's initial view***

2.94 The committee considered further information was required to assess the compatibility of the measure with the right to privacy, and sought the minister's advice as to:

- (a) what is the process by which section 287 is invoked (for example, is it only ever police contacting carriage service providers in practice?), and is a warrant or other formal application a part of the process;

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11 Item 9.

- (b) what specific kinds of information may be used or disclosed as a result of the offence provisions not applying. In particular, would it allow for the content of a person's text messages or voicemail or their call log to be made available, or only the GPS phone triangulation;
- (c) how such data is managed on receipt, and whether, how, and for how long such data is then stored;
- (d) to whom that data may then be secondarily disclosed or used under section 300; and
- (e) why is the provision of guidance and training to police regarding the applicability and scope of section 287 not sufficient to achieve the aim of this measure.

2.95 The full initial analysis is set out in [Report 6 of 2022](#).

### Minister's response

2.96 The minister advised:

Shortly prior to finalisation of the explanatory materials required for introduction of the Bill, a number of non-publication orders were made in relation to the *Inquest into the disappearance of CD*, the findings of which were not yet public at the time. As such, references made to the findings in the Explanatory Memorandum to the Bill were either removed or limited as a precautionary measure.

This was to ensure that the Government did not inadvertently contravene an order through its reliance on any materials provided in confidence before the publication of findings. As the findings are now available [online](#), the Government will issue an updated Explanatory Memorandum and statement of compatibility to address the Committee's concerns.

On 24 November 2022, the Senate referred the Bill to the Environment and Communications Legislation Committee. While described generally in the *Inquest into the disappearance of CD* and the response provided, the Government appreciates the position of law enforcement agencies that outlining specific details about the operational methodology of how missing persons investigations are conducted would expose vulnerable people to unjustifiable risk. My Department considers that this information may be of significant value to the Senate Committee in its appraisal and scrutiny of the Bill, and would be happy to facilitate a discussion with relevant agencies if it is of interest to the Committee.

...

**(a) What is the process by which section 287 is invoked (for example, is it only ever police contacting carriage service providers in practice?), and is a warrant or other formal application a part of the process?**

In practice, the provision generally only applies when a carrier or service provider is contacted by the police.<sup>12</sup>

For the proposed exception in section 287 of the Act to apply, the carrier or carriage service provider must believe on reasonable grounds that the disclosure is reasonably necessary to prevent or lessen a serious threat to the life or health of a person. The Bill will also introduce the safeguard that the carrier or carriage service provider must be satisfied that it would be unreasonable or impracticable to obtain the consent of the person to which the information disclosed relates to. The OAIC's Australian Privacy Principle Guidelines (C.5) on [the equivalent use/disclosure principle](#) in the *Privacy Act 1988* provides helpful interpretative guidance about the scope and appropriate meaning of these terms in relation to the circumstances where a use or disclosure is likely to be permitted.

It is the intention of the proposed measure that regulated entities would be largely reliant on the representations made by law enforcement or emergency service organisations to determine whether a threat was 'serious'. This approach is consistent with the existing operational approach of law enforcement agencies, and recognises that law enforcement or emergency service organisations have access to information, systems and resources that telecommunications companies do not.

It is important to note that the amendments to the exception in section 287:

- do not compel the disclosure of information - even in cases where a request from police clearly satisfies the threshold for the exception to apply, disclosure remains at the discretion of the carrier;
- do not provide access to the contents or substance of a communication, or any other information which would ordinarily require a warrant;
- do not allow for information received through the exception to be used for another purpose – the amendments to section 300 of the Act require that any secondary disclosure or use of information by police or emergency service organisations must relate back to the purpose of

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12 The Committee could well ask why the provision is not specifically limited to disclosure to law enforcement agencies. However, doing so would be unnecessarily limiting given the range of circumstances that may involve a serious threat to a person's life or health. For example, the provisions were given consideration in the 2009 Black Saturday Bushfires. In that instance disclosure of location information was of assistance to Emergency Service Organisations to issue warnings to save lives. The current drafting of the Act, which the Bill does not modify, recognises that there are an unlimited number of unpredictable circumstances in which an emergency may manifest itself, and which a disclosure may be necessary to save what is most important – human life.

the original request. Failure to do so is an offence punishable on conviction by 2 years imprisonment.

Rather, the exception provides that a carrier or carriage service provider does not commit a criminal offence for disclosing information about the 'affairs or personal particulars' of a person where it has a reasonable belief that doing so is reasonably necessary for preventing or lessening a threat to the person's life or health.

In relation to missing persons, a formal request from law enforcement agencies to providers is required, but internal procedural requirements also apply for law enforcement to help establish that the thresholds for reasonable belief and reasonable necessity in the exception are met for section 300 of the Act.

This includes mandatory risk assessments, exhaustion of less intrusive methods, and internal authorisation requirements prior to initiating the process for a request. Broadly speaking, this also includes adherence to the Australia New Zealand Policing Advisory Agency *Missing Persons Policy (2020)* and *Guiding Principles*. In both the *Inquest into the death of Thomas Hunt*, and the *Inquest into the disappearance of CD*, a formal request to the provider was never made because NSW Police were not able to satisfy themselves that the threshold could be met by the circumstances.

The Government recognises the particular sensitivity that may attach to the personal information of individuals who have been reported missing. Such individuals may have exercised their free choice to disassociate themselves from friends and family for legitimate reasons, including removing themselves from harmful environments. Accordingly, a claim made by a member of the general public, without support or confirmation from emergency service organisations or law enforcement agencies, would not meet the threshold for the exception to apply. This is made plain in the explanatory memorandum to the Bill. However, the Government will clarify the process through which requests under the section 287 exception are invoked through amendments to the Bill's explanatory materials.

**(b) What specific kinds of information may be used or disclosed as a result of the offence provisions not applying. In particular, would it allow for the content of a person's text messages or voicemail or their call log to be made available, or only the GPS phone triangulation;**

Section 287 of the Act reads:

Division 2 does not prohibit a disclosure or use by a person (the first person) of information or a document if:

- (a) the information or document relates to the affairs or personal particulars (including any unlisted telephone number or any address) of another person; and

(b) the first person believes on reasonable grounds that the disclosure or use is reasonably necessary to prevent or lessen a serious and imminent threat to the life or health of a person.

The exception in section 287 of the Act, and the proposed amendment, does not allow for the content or substance of a communication to be made available in any circumstance. The proposed measure in the Bill will not change or increase the type of information which can be requested and disclosed through the operation of the provision.

The exception only applies to information relating to the ‘affairs or personal particulars of a person’, a meaning which includes location information as clarified by section 275A of the Act. Carriers do not typically have access to GPS information, and triangulations do not use GPS technology. Instead, a triangulation provides an approximate area of where a handset might be located, based on the location of one or more nearby cell towers. While there can be an enormous variance in the accuracy of this information, triangulations remain a useful tool in missing persons investigations, assisting in locating high-risk missing persons in about 20% of occasions in NSW.

As set out in paragraph 177 of the *Inquest into the Disappearance of CD*, if deemed necessary and proportionate following the initial risk assessment of relevant factors in a missing persons case, consideration may also be given to the use of Live CAD – which provides the time and date of activation of a mobile phone to the network, whether those activations consist of incoming or outgoing calls, and cell tower location.

**(c) How such data is managed on receipt, and whether, how, and for how long such data is then stored;**

In consultation with law enforcement agencies, the Department understands that the management of such data is received and managed according to well-established protocols, and also subject to a range of safeguards of which only one is the Act (which, for example, prohibits disclosure except in specified circumstances, and for which the penalty is two years imprisonment). These procedures and protocols are not public, to avoid disclosure of operational police practices. The Department can assist to arrange private briefing with law enforcement agencies with the Committee if that would be of assistance. These protocols and practices are also subject to a range of oversight mechanisms, including at the federal level by a number of oversight bodies, including the National Anti-Corruption Commission.

**(d) To whom that data may then be secondarily disclosed or used under section 300;**

In practice, to law enforcement or Emergency Service Organisations, to the extent that secondary disclosure is necessary (see the discussion above in relation to section 286). The secondary disclosure exception in section 300

of the Act can only be relied upon where doing so was for the purposes of preventing a serious threat, or the first person (i.e. the carrier or carriage service provider) believes on reasonable grounds that the disclosure is reasonably necessary to prevent or lessen a serious threat to life or health.

For example, if a carrier were to rely upon section 287 to disclose triangulation information to the NSW police about a missing person, and the triangulation data showed that the missing person was located in Queensland, the NSW police would be able to rely on section 300 to disclose that triangulation data to Queensland police if the NSW police formed the reasonable belief that doing so would save the person's life.

The Bill introduces a new safeguard into section 300 that it must be impracticable or unreasonable to obtain the consent of the person the disclosure relates to. In doing so, the proposed measures in the Bill ensure that any secondary use or disclosure of information received under these exceptions must be for the authorised purpose, contravention of which is an offence punishable on conviction by 2 years imprisonment.

**(e) Why is the provision of guidance and training to police regarding the applicability and scope of section 287 not sufficient to achieve the aim of this measure?**

Because even with additional guidance or training, the 'imminent' threat threshold adds nothing to the safeguards in the Act, and the delay making out 'imminence' has contributed to the deaths of at least two people. As the Australian Law Reform Commission pointed out more than 10 years ago, any consideration of a serious threat, will give consideration to imminence if that is of relevance to the matter at hand.<sup>13</sup>

In the *Inquest into the Disappearance of CD*, paragraphs 107-137 of Magistrate Kennedy's findings provide further justification about the ongoing challenges experienced with the interpretation of the provision, and the need for legislative reform. Moreover, the Department consulted the Interception Consultative Committee (ICC) several times in relation to these guidelines, and sought their feedback through several revisions. The ICC is a longstanding government consultative committee led by the Attorney-General's Department (AGD), which includes both police agencies and industry representatives. While the clarification provided by the material was welcomed, it became clear that the 'imminence' qualifier in section 287 of the Act presents a legislative barrier in missing persons investigations that is difficult to overcome through guidance or training alone. In the *Inquest into the Disappearance of CD*, Chief Inspector Charlesworth of the NSW Police, who refused the request to triangulate CD's mobile phone because there was insufficient evidence the threat was

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13 See: [For Your Information: Australian Privacy Law and Practice \(ALRC Report 108\) | ALRC](#)

imminent, confirmed he would make the same decision today with the benefit of hindsight due to the lack of imminence.<sup>14</sup>

## Concluding comments

### *International human rights legal advice*

#### *Right to privacy*

2.97 In relation to when a carrier may disclose or use information relating to the affairs or personal particulars of another person, the minister advised that in practice, the provision generally only applies when a carrier or service provider is contacted by the police (although it could also be relied on by other emergency services in cases such as bushfires). The minister advised that in relation to missing persons, a formal request from law enforcement agencies to providers is required. The minister stated that internal procedural requirements also apply for law enforcement to help establish that the thresholds for reasonable belief and reasonable necessity in the exception are met for section 300, as well as mandatory risk assessments, exhaustion of less intrusive methods, and internal authorisation requirements prior to initiating the process for a request. The minister also stated that this broadly also requires adherence to existing policies regarding missing persons. As such, it would appear that while a warrant is not required under section 287, a formal application may be required, and additional requirements must be met in the case of a missing person (although noting that such processes are not publicly available). It is less clear what processes would apply where section 287 was sought to be invoked in relation to other potential threats to life and health (for example, in the case of bushfires or other natural disaster). In this regard, it is noted that the minister has advised that there are 'an unlimited number of unpredictable circumstances in which an emergency may manifest itself' where a disclosure may be necessary to save life. This does raise some questions about the processes required to regulate the exercise of this power in circumstances other than that described in relation to missing persons.

2.98 In this regard, it is noted that the minister stated that section 287 does not compel a provider to disclose information to emergency services: 'even in cases where a request from police clearly satisfies the threshold for the exception to apply, disclosure remains at the discretion of the carrier'. However, it is not clear that a provider would reasonably be in a position to dispute an emergency service operator's assertion that a person's life or health is in danger, and so decline to provide the information (or to otherwise simply decline to provide the information). Indeed, as the minister has advised it is 'the intention of the proposed measure that regulated entities would be largely reliant on the representations made by law enforcement or emergency service organisations to determine whether a threat was

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14 See: *Inquest into the Disappearance of CD – NSW Coroner's Court* at 115.

"serious". As such, the absence of a legal power of compulsion would appear to have limited safeguard value. For this reason, the processes regulating the exercise of this power by law enforcement and other emergency services are important considerations.

2.99 As to the types of information that may be disclosed, the minister advised that section 287 does not allow for the content or substance of a communication to be made available in any circumstance. The minister stated that the provision only applies to information relating to the 'affairs or personal particulars of a person', which includes location information (pursuant to section 275A).<sup>15</sup> The minister advised that this provides only approximate information about where a handset may be located, not a precise location. The minister further advised that, if deemed necessary and proportionate following the initial risk assessment of relevant factors in a missing persons case, consideration may also be given to the use of 'Live CAD' – which provides the time and date of activation of a mobile phone to the network, whether those activations consist of incoming or outgoing calls, and cell tower location.<sup>16</sup> The term 'affairs or personal particulars' is not defined in the Telecommunications Act, and so it would appear that section 287 is capable of permitting the disclosure of a broader range of information than merely location information.<sup>17</sup> However, the fact that the content or substance of a communication cannot be disclosed in any circumstances substantially assists with the proportionality of the measure.

2.100 As to how such data is managed on receipt, and whether, how, and for how long such data is then stored, the minister advised that this occurs according to 'well-established protocols', and subject to a range of safeguards, which are themselves subject to a range of oversight mechanisms. The minister stated that these procedures and protocols are not public, to avoid disclosure of operational police practices. Depending on how robust such protocols and safeguards are, their existence would appear capable of serving as important safeguards in the handling of data received pursuant to section 287, which also assists with the proportionality of the measure.

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15 The term 'affairs or personal particulars' is not defined in the Telecommunications Act, but section 275A does state that location information is taken to be 'information that relates to the affairs of a customer'.

16 For further information, see e.g. Department of Home Affairs, [Advanced Mobile Location](#), 13 September 2021.

17 The Australian Law Reform Commission (ALRC) has previously described 'personal particulars' as a potentially broad category of information which would cover personal information. See, ALRC, [Report 108, For Your Information: Australian Privacy Law and Practice](#) (May 2008) at [71.20].



2.101 The minister further advised that, in practice, data obtained under section 287 may only be secondarily disclosed or used under section 300, to law enforcement or Emergency Service Organisations, and only to the extent that secondary disclosure is necessary. In this regard, the minister noted that section 300 may only be relied on where doing so is for the purposes of preventing a serious threat, or where the first person (i.e. the carrier or carriage service provider) believes on reasonable grounds that the disclosure is reasonably necessary to prevent or lessen a serious threat to life or health. The minister also noted the proposed introduction of a new requirement that it must be impracticable or unreasonable to obtain the consent of the person to whom the disclosure relates, and that any secondary use or disclosure of information received under these exceptions must be for the authorised purpose, contravention of which is an offence. It would appear, therefore, that the secondary disclosure provision in section 300 is circumscribed such that it may only be relied on in narrow circumstances.

2.102 Further information was also sought as to why the provision of guidance and training to police regarding the applicability and scope of section 287 (a recommendation made by a NSW coroner in 2020) is not sufficient to achieve the aim of this measure. The minister advised that the report into a more recent coronial inquiry—*Inquest into the disappearance of CD* (September 2022)—is now public,<sup>18</sup> and includes recommendations relevant to this matter. The coroner's report outlines the narrow interpretation that had been given to section 287 in this case, including as a result of a narrow interpretation being applied to the 'imminent' qualifier. As to why the provision of guidance and training to police regarding the applicability and scope of section 287 would not be sufficient to achieve the aim of this measure, the minister stated that 'even with additional guidance or training, the 'imminent' threat threshold adds nothing to the safeguards in the Act, and the delay making out 'imminence' has contributed to the deaths of at least two people'. As such, the provision of guidance and training to police as to the scope of section 287 (as currently drafted) is unlikely to be an effective less rights-restrictive alternative.

2.103 Having regard to the detailed information provided by the minister, particularly in relation to the type of personal information that may be obtained under section 287; the circumstances in which the provision may be relied on (typically by police); and the rationale as to why the existing provision is unduly restrictive, on balance this measure would appear likely to constitute a proportionate limit on the right to privacy. However, it is noted many of the safeguards provided above are non-legislative in nature and some safeguards depend on robust internal police processes. In particular, the term 'affairs or personal particulars' is not defined in the Telecommunications Act. Further, while it

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18 See, Coroners Court of New South Wales, [Inquest into the disappearance of CD](#), 16 September 2022.

appears there is existing guidance the police must follow to use this provision in the context of missing persons, it is less clear if such guidance exists to regulate the exercise of this power in circumstances other than that described in relation to missing persons (for example, by other emergency services personnel in the context of a natural emergency).

### **Committee view**

2.104 The committee thanks the minister for her comprehensive response in relation to this measure, and advice that the findings of a recent coronial inquest to which this measure relates are now publicly available. The committee notes the importance of removing the existing qualifier in section 287 that a threat to the life or health of a person be 'imminent' before a carrier discloses telecommunications data to police and other emergency services personnel. In particular, the committee notes the minister's advice that the delay in making out 'imminence' has contributed to the deaths of at least two people.

2.105 The committee also notes that disclosing personal telecommunications data limits the right to privacy, particularly in circumstances where a person may voluntarily have gone missing and may not wish to be contacted. The committee notes that the right to privacy may be limited where it is reasonable and necessary to do so. In this instance, based on the comprehensive additional information provided by the minister, the committee considers there are, on the whole, sufficient safeguards built into the existing processes to ensure that the limit on the right to privacy is likely to be proportionate. Of particular importance is the minister's advice that this provision would not allow access to the substance of content of communication in any circumstances and there are robust processes in place before the information can be sought.

2.106 The committee considers that the minister's advice sets out the processes the police must follow before invoking this provision in relation to missing persons, and such processes help with the proportionality of the measure. However, the minister's advice did not provide detail of any existing guidance as to the processes followed in cases not involving missing persons. Further, the committee considers there is some risk that the type of information that might be disclosed using these powers is overly broad, noting that disclosure may relate to the 'affairs or personal particulars of a person' – a term which is not defined in the legislation.

### **Suggested action**

2.107 If it does not already exist, all emergency service providers who may seek to invoke the powers in section 287 (for example, in the context of natural emergencies) should consider making publicly available guidance as to the process to be followed before requests are made under section 287 to access personal information held by carriers.

2.108 The proportionality of this measure may be assisted were the bill to be amended to define what is captured by the term 'the affairs or personal particulars' of a person, to reflect the limited type of information or documents that the minister advised may be disclosed under the powers in section 287, noting that any such definition should not restrict or frustrate the important intention of this provision.

2.109 The committee welcomes the minister's advice that the explanatory materials accompanying the bill will be updated to include the information provided to the committee by the minister.

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### **Immunity from civil liability**

2.110 The bill seeks to amend subsection 313(5)(a) of the Telecommunications Act relating to civil immunities for carriers, carriage service providers and carriage service intermediaries.<sup>19</sup> This amendment would provide that a carrier or carriage service provider or intermediary is not liable to an action or other proceeding for damages for or in relation to an act done (or omitted to be done) in good faith when providing help as is reasonably necessary for specific purposes in connection with preparing for, responding to, or recovering from an emergency.<sup>20</sup>

### **Summary of initial assessment**

#### ***Preliminary international human rights legal advice***

##### *Right to an effective remedy*

2.111 By extending immunity of these bodies from civil liability to include an act done or omitted in good faith when providing help in connection with an emergency, this measure engages the right to an effective remedy. This is because, if such an act done or omitted by a carrier or carriage service provider/intermediary resulted in a violation of a person's human rights (such as the right to privacy), they would be unable to seek a civil remedy for that violation from the various carriers.

2.112 The right to an effective remedy requires the availability of a remedy which is effective with respect to any violation of rights and freedoms recognised by the

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19 Item 10.

20 That is, duties established under subsections 313(4A) or (4B)).

covenant.<sup>21</sup> It includes the right to have such a remedy determined by competent judicial, administrative or legislative authorities or by any other competent authority provided for by the legal system of the state. While limitations may be placed in particular circumstances on the nature of the remedy provided (judicial or otherwise), States parties must comply with the fundamental obligation to provide a remedy that is effective.<sup>22</sup>

2.113 The statement of compatibility fails to acknowledge the engagement of this right. As such, no information is provided as to whether and how this proposed amendment is consistent with the right.

### **Committee's initial view**

2.114 The committee noted that extending the immunity of carriers and carriage service providers (such as mobile service providers) from civil liability engages the right to an effective remedy. The committee noted that the statement of compatibility does not identify the engagement of this right, and therefore sought the minister's advice as to:

- (a) whether the measure is consistent with the right to an effective remedy; and
- (b) what alternative remedies are available to persons where performance of a duty under subsections 313(4A) and (4B) results in a violation of their human rights.

2.115 The full initial analysis is set out in [Report 6 of 2022](#).

### **Minister's response<sup>23</sup>**

2.116 The minister advised:

Section 313(5) of the Act provides that a carrier or carriage service provider is not liable to an action or other proceeding for damages if an act is done or omitted in good faith under subsections 313 (1), (1A), (2), (2A), (3) or (4) of the Act. However, it does not include subsections 313(4A) and

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21 International Covenant on Civil and Political Rights, article 2(3). See, *Kazantzis v Cyprus*, UN Human Rights Committee Communication No. 972/01 (2003) and *Faure v Australia*, UN Human Rights Committee Communication No. 1036/01 (2005). States parties must not only provide remedies for violations of the ICCPR but must also provide forums in which a person can pursue arguable if unsuccessful claims of violations of the ICCPR. Per *C v Australia*, UN Human Rights Committee Communication No. 900/99 (2002), remedies sufficient for the purposes of article 5(2)(b) of the ICCPR must have a binding obligatory effect.

22 See UN Human Rights Committee, *General Comment 29: States of Emergency (Article 4)* (2001) [14].

23 The minister's response to the committee's inquiries was received on 9 December 2022. This is an extract of the response. The response is available in full on the committee's [website](#).

(4B). The amendment in the Bill is consistent with similar provisions relating to safeguarding national security and public revenue in the Act, and corrects a [sic] error in the National Emergency Declaration Bill 2020, introduced by the former Government.

Under the National Emergency Declaration (Consequential Amendments) Act 2020 (NED(CA) Act), subsections 313(4A) and (4B) were inserted into the Act. These subsections introduce a duty on telecommunications providers to provide reasonably necessary help during certain emergencies.

It was intended that these entities would not be liable to an action or other proceeding for damages for or in relation to an act done or omitted in good faith in fulfilment of that duty. The policy intention was set out in the Explanatory Memorandum to the National Emergency Declaration (Consequential Amendments) Bill 2020 that immunities would extend to the duties under subsections 313(4A) and (4B). Due to an error in drafting, the measures were not included in the Bill, and unfortunately section 313(5) was not amended to give effect to the then Parliament's intention.

**(a) whether the measure is consistent with the right to an effective remedy;**

The Government believes that these measures are consistent with the right to an effective remedy, as laid out in Article 2(3)(a) of the International Covenant on Civil and Political Rights (ICCPR).

By extending the existing civil immunities to a carrier or carriage service provider when fulfilling a duty under subsections 313(4A) and (4B) to give officers and authorities of the Commonwealth and of States and Territories such help as is reasonably necessary in disaster and emergency circumstances, including national emergencies, the Bill engages the right to an effective remedy for any unlawful or arbitrary violation to the rights of individuals infringed in the process of providing that help. The proposed extension of the existing civil immunity serves the legitimate objective of ensuring that an officer, employee or agent acting on behalf of a carrier or carriage service provider are able to provide the reasonably necessary help before, during and after disasters and national emergencies, fulfilling their statutory duty in good faith and in the national interest.

The immunities are rationally connected to that important objective by managing the risk that carriers or carriage service providers would limit their conduct and in turn, the level of assistance given to the requesting government body to minimise any real or perceived risk of incurring personal civil liability. The immunity is proportionate to achieving this important objective, it is not arbitrary, unfair or based on irrational considerations and is limited to circumstances where a telecommunications company is assisting in good faith in specified situations (as noted above) and is only related to actions or other proceedings for damages (e.g. a cause of action in tort or negligence).

**(b) what alternative remedies are available to persons where performance of a duty under subsections 313(4A) and (4B) results in a violation of their human rights;**

While the Government believes that the Bill does engage the right to an effective remedy under article 2(3) of the ICCPR, to the extent that it does limit that right, the limitation is reasonable, necessary and proportionate to the objective. Alternative remedies are available to persons where performance of the duty results in a violation of their human rights.

In cases where the performance of the duty was done in good faith, an affected person could still seek an effective remedy for loss or damage suffered in the purported exercise of the assistance against the relevant Commonwealth, State, or Territory body or government official initiating the request for assistance.

In relation to the right of privacy that the Committee has queried, in giving (requested) help in accordance with subsections 313(4A) and (4B), carriers and carriage service providers must still comply with all applicable laws, including the *Privacy Act 1988* (Cth) and the Act itself. For example, Part 13 sets out strict rules for carriers, carriage service providers and others in their use and disclosure of personal information. A request for help in accordance with subsections 313(4A) and (4B) that included the provision of information would in and of itself not provide the legal basis for a carrier to disclose personal information of an individual (an exception to the prohibition in Part 13 would need to be found).

Private citizens may also seek recourse through other avenues where, in giving help, a carrier or carriage service provider has allegedly interfered unlawfully with an individual's right to privacy. For example, a complaint could be made to the Australian Communications and Media Authority (ACMA) if there was a concern that a carrier or carriage service provider had breached Part 13 of the Act or concerns about how the duties under subsections 313(4A) and (4B) were carried out. The ACMA could take enforcement action against the carrier or provider, including court injunctive relief. Similarly, a complaint could be made by the individual directly to the Privacy Commissioner for investigation (noting that privacy breaches will attract fines etc).

## **Concluding comments**

### ***International human rights legal advice***

#### ***Right to an effective remedy***

2.117 The minister advised that the measure engages, and is consistent with, the right to an effective remedy. The minister noted that this provision only limits liability in respect of actions for damages. The minister advised that alternative remedies would be available to persons where performance of a duty in good faith under subsections 313(4A) and (4B) results in a violation of their human rights. In particular,

the minister advised that a person could bring a claim for loss or damage suffered in the purported exercise of the assistance against the relevant Commonwealth, State, or Territory body or government official initiating the request for assistance. The minister also noted the operation of the *Privacy Act 1988* (Privacy Act), in relation to an unlawful use of information, and the ability of persons to complain to the Australia Communications and Media Authority (ACMA) 'if there was a concern that a carrier or carriage service provider had breached Part 13 of the Act or concerns about how the duties under subsections 313(4A) and (4B) were carried out'. The ACMA could then obtain injunctive relief from a court. Similarly, a person could also complain to the Privacy Commissioner about a suspected privacy breach.

2.118 Having regard to the availability of these alternative remedies in relation to any loss or damage suffered as a result of the performance of a duty (in good faith) under section 313 (resulting in a corresponding violation of human rights, such as the right to privacy), this measure would appear to be consistent with the right to an effective remedy.<sup>24</sup>

### **Committee view**

2.119 The committee considers that, based on the additional information provided by the minister about the availability of other remedies, extending the immunity of carriers and carriage service providers (such as mobile service providers) from civil liability is compatible with the right to an effective remedy. The committee welcomes the minister's advice that the statement of compatibility will be updated to reflect the engagement of this right.

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## **Records relating to authorised disclosures of information or documents**

2.120 Items 12–14 of Schedule 1 of the bill seek to amend section 306 of the Telecommunications Act, which establishes the record-keeping requirements where an eligible person or eligible number-database person<sup>25</sup> has disclosed information or

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24 In this regard, it is noted that under international human rights law, while limitations may be placed in particular circumstances on the nature of a remedy provided (judicial or otherwise), States must comply with the fundamental obligation to provide a remedy that is effective. This means that, in assessing whether a particular measure is consistent with the right to an effective remedy, the assessment will turn on whether there are sufficient remedies so as to be 'effective' (including considering what alternative remedies are available in spite of the limitation of a particular remedy). The standard limitation test (legitimate objective, rational connection, proportionality), which has been drawn on in the minister's response, is not applicable to this assessment.

25 An 'eligible person' is a carrier; carriage service provider; telecommunications contractor; or employee of such. An 'eligible number-database person' is a number-database operator or contractor, or employee of such. See, Telecommunications Act, ss. 271–272.

a document as authorised by a provision of Division 3 of the Telecommunications Act, or as authorised under specified sections of the *Telecommunication (Interception and Access) Act 1979* (TIA Act).<sup>26</sup>

2.121 The measure would expand the circumstances in which section 306 would require the creation of a record to include where a disclosure has been made pursuant to section 187AA(1) of the TIA Act.<sup>27</sup> Subsection 187AA(1) sets out the kinds of information that a service provider must keep, or cause to be kept. This includes: the name and address of a telecommunications subscriber; the source and destination of their communications (i.e. the device a communication was sent from and where it was sent); the type of communication (e.g. email, voicemail); and the location of the equipment used for the communication (e.g. cell towers or wi-fi hot spots). The bill would provide that, if the information or document that was lawfully disclosed included information of a kind specified in subsection 187AA(1), a record of the disclosure must set out the number of the item<sup>28</sup> and a description of the content of those items to the extent that the content relates to the information or document.

## Summary of initial assessment

### *Preliminary international human rights legal advice*

#### *Right to privacy*

2.122 Requiring the creation of a record of disclosure under section 306 of the Telecommunications Act engages and limits the right to privacy. This is because the information required to be retained by a service provider under subsection 187AA(1) would include personal information (including the name and address of a service subscriber, and information relating to all of their communications on a device).

2.123 The right to privacy may be subject to permissible limitations which are provided by law and are not arbitrary. In order for limitations not to be arbitrary, the measure must pursue a legitimate objective and be rationally connected to (that is, effective to achieve) and proportionate to achieving that objective.

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26 Namely, sections 177, 178 or 179, subsection 180(3) or section 180A of the *Telecommunication (Interception and Access) Act 1979*.

27 Specifically, item 15 of the bill would insert a new paragraph 306(5)(g) which would require the creation of a record where the information or document includes information specified in a table that is (a) specified in a determination made by the Minister, by legislative instrument under new subsection 305(5A) (inserted by Item 16); or (b) if there is no determination, the table in subsection 187AA(1) of the *Telecommunications (Interception and Access) Act 1979*.

28 The table in subsection 187AA(1) groups the types of information into six numbered groups. For example, recording the number of the item as 'Item No. 6' would indicate that the information relates to the location of the equipment used in connection with a communication.



### **Committee's initial view**

2.124 The committee noted that expanding the requirement to record where an authorised disclosure of information, including personal information, has occurred engages and may limit the right to privacy and sought the minister's advice as to:

- (a) whether the measure is consistent with the right to privacy; and
- (b) in particular, what safeguards would operate in respect of information required to be recorded under section 306 (including with respect to requirements for the data's storage, and its destruction after it is no longer required to be retained).

2.125 The full initial analysis is set out in [Report 6 of 2022](#).

### **Minister's response**<sup>29</sup>

2.126 The minister advised:

**(a) Is the measure consistent with the right to privacy?**

**(b) What safeguards operate in respect of information required to be recorded under section 306?**

The Government does not consider that any aspects of the measure will limit the right to privacy.

Prior to introduction of the Bill, the Office of the Australian Information Commissioner (OAIC) was consulted on an exposure draft of the proposed measures, and requested an additional amendment to include a description of the type of content disclosed. A revision to Clause 13 of the Bill was made to include a requirement to this effect. This measure introduces a requirement to keep a record of the type of information which was disclosed by reference to the table in subsection 187AA(1) of the *Telecommunications (Interception and Access) Act 1979* - e.g. 'subscriber address'; 'billing information'; 'call charge record from x date' - to assist in the OAIC's assessment of proportionality.

It does not, however, require providers to record the actual information disclosed, or otherwise retain any personally identifiable information in the record of disclosure. This issue was specifically addressed in consultation with major carriers and the Communications Alliance, and a revision to the explanatory materials of the Bill will be tabled to clarify the intended operation of the measure and that the disclosure record should not contain personally identifiable information.

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29 The minister's response to the committee's inquiries was received on 9 December 2022. This is an extract of the response. The response is available in full on the committee's [website](#).

Telecommunication providers subject to the *Privacy Act 1988* will continue to have obligations requiring that reasonable steps must be taken to protect personal information held under Australian Privacy Principle 11.

## **Concluding comments**

### ***International human rights legal advice***

#### *Right to privacy*

2.127 The minister stated that this measure does not engage the right to privacy, as the requirement to create a record of the type of information which has been disclosed does not require the creation of a record that itself sets out personal information, for example, a customer's address. Rather it requires only the creation of a record that information of that nature was disclosed. The minister explained that this measure does not require providers to record the actual information disclosed, or otherwise retain any personally identifiable information in the record of disclosure. The minister also noted that telecommunication providers subject to the Privacy Act will continue to have obligations to take reasonable steps to protect personal information.

2.128 Noting that the proposed record keeping requirement set out in items 12–14 of Schedule 1 of the bill will not require the creation of an additional record that itself includes any personal information, it would appear that this measure does not limit the right to privacy.

### **Committee view**

2.129 The committee thanks the minister for this response. The committee notes the minister's advice that this additional record-keeping requirement would not lead to the creation of a new record that includes any personal information. As such, the committee considers that this measure does not limit the right to privacy.

2.130 The committee welcomes the minister's advice that a revision to the explanatory materials of the bill will be tabled to clarify the intended operation of the measure and that the disclosure record should not contain personally identifiable information.

## Legislative instruments

### Data Availability and Transparency (Consequential Amendments) Transitional Rules 2022 [[F2022L01260](#)]<sup>1</sup>

<b>Purpose</b>	This legislative instrument makes transitional arrangements for the data sharing scheme established by the <i>Data Availability and Transparency Act 2022</i> by prescribing six Australian entities as transitional entities, which are taken to be accredited data service providers for the purposes of the Act for a limited transition period
<b>Portfolio</b>	Finance
<b>Authorising legislation</b>	<i>Data Availability and Transparency (Consequential Amendment) Act 2022</i>
<b>Last day to disallow</b>	15 sitting days after tabling (tabled in the Senate and House of Representatives on 25 October 2022). Notice of motion to disallow in the Senate must be given by 9 February 2023
<b>Introduced</b>	House of Representatives, 22 September 2022
<b>Right</b>	Privacy

2.131 The committee requested a response from the minister in relation to the rules in [Report 6 of 2022](#).<sup>2</sup>

#### Facilitating access to Australian Government data

2.132 This legislative instrument prescribes six entities<sup>3</sup> as transitional entities for the purposes of the data sharing scheme established by the *Data Availability and Transparency Act 2022* (the Act). Transitional entities are taken to be accredited data

1 This entry can be cited as: Parliamentary Joint Committee on Human Rights, *Data Availability and Transparency (Consequential Amendments) Transitional Rules 2022*, *Report 1 of 2023*; [2023] AUPJCHR 13.

2 Parliamentary Joint Committee on Human Rights, *Report 6 of 2022* (24 November 2022), pp. 68-73.

3 Australian Bureau of Statistics, Australian Institute of Family Studies, Australian Institute of Health and Welfare, Commonwealth Social Services Department (DSS), Queensland Treasury, and Victorian Department of Health, see section 7.

service providers (ADSPs) for the purposes of the Act for a transition period (up to 30 July 2025).<sup>4</sup>

2.133 Under the Act, departments and agencies that control Australian Government data are treated as ‘data custodians’ and may share the data they control with ‘accredited users’ under a data sharing agreement. This data may be shared through an ADSP, which acts as an intermediary. An ADSP is a provider that is meant to have ‘particular expertise in data sharing and the provision of data services’,<sup>5</sup> and may provide: de-identification data services; secure access data services; and complex data integration services.<sup>6</sup> Consequently, this measure has the effect that these six entities may facilitate the sharing of Australian government data during the transitional period.

## Summary of initial assessment

### *International human rights legal advice*

#### *Right to privacy*

2.134 By authorising the provision of controlled access to Australian government data to the six prescribed entities until 30 July 2025, this measure engages and limits the right to privacy.

2.135 The right to privacy is multi-faceted. It comprises respect for informational privacy, including the right to respect for private and confidential information, particularly the storing, use and sharing of such information.<sup>7</sup> It prohibits arbitrary and unlawful interferences with an individual's privacy, family, correspondence or home.<sup>8</sup> This includes a requirement that the state does not arbitrarily interfere with a person's private and home life,<sup>9</sup> meaning that any interference with a person's privacy—including one provided for by law—should be in accordance with the

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4 Section 7.

5 Explanatory statement, p. 1.

6 *Data Availability and Transparency Act 2022* (Data Availability and Transparency Act), sections 16C–16D. This instrument limits the types of services that some of these transitional entities may provide: DSS must not provide secure access services; Queensland Treasury must not provide secure access services and is limited in providing de-identification services; and the Victorian Department of Health is restricted in terms of any of the three services an ADSP can provide (in that any services must be provided by the Centre for Victorian Data Linkage). See, section 5.

7 International Covenant on Civil and Political Rights, article 17.

8 UN Human Rights Committee, *General Comment No. 16: Article 17 (Right to Privacy)* (1988) [3]-[4].

9 The UN Human Rights Committee further explains that this right is required to be guaranteed against all such interferences and attacks whether they emanate from State authorities or from natural or legal persons: *General Comment No. 16: Article 17 (Right to Privacy)* (1988).

provisions, aims and objectives of the International Covenant on Civil and Political Rights, and be reasonable in the particular circumstances.<sup>10</sup> It also includes the right to control the dissemination of information about one's private life, and requires that States Parties take effective measures to ensure that information concerning a person's private life does not reach the hands of persons who are not authorised by law to receive, process and use it.<sup>11</sup> It also requires that legislation must specify in detail the precise circumstances in which an interference with privacy will be permitted.<sup>12</sup> The right to privacy may be subject to permissible limitations where the limitation pursues a legitimate objective, is rationally connected to that objective, and is a proportionate means of achieving it.

2.136 To the extent that the scheme overall seeks to facilitate controlled access to public sector data for specific purposes, this would appear capable of constituting a legitimate objective. Further, to the extent that the prescription of these six entities as 'intermediaries' for a transitional period will facilitate such controlled data sharing, the measure would appear to be rationally connected to that objective.

2.137 The primary issue is whether this measure constitutes a proportionate means by which to achieve the stated objective, having regard to the extent of the interference with the right to privacy and the question of whether the measure is appropriately circumscribed. It is also necessary to consider the presence of safeguards, the possibility of oversight, the availability of review, and any less rights restrictive alternatives. The extent to which this measure is likely to constitute a proportionate limit on the right to privacy also depends on whether the data-sharing scheme itself constitutes a proportionate limit on the right to privacy.<sup>13</sup>

### ***Committee's initial view***

2.138 The committee considered further information was required to assess the compatibility of this measure with this right, and as such sought the minister's advice as to:

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10 UN Human Rights Committee, *General Comment No. 16: Article 17 (Right to Privacy)* (1988) [4].

11 UN Human Rights Committee, *General Comment No. 16: Article 17 (Right to Privacy)* (1988) [10].

12 UN Human Rights Committee, *General Comment No. 16: Article 17 (Right to Privacy)* (1988) [8].

13 The committee published its consideration of the bill that gave effect to this scheme—the Data Availability and Transparency Bill 2022—in its scrutiny [Report 2 of 2021](#) and [Report 4 of 2021](#). At that time, the committee retained concerns that this scheme, as drafted, may not be a proportionate means by which to achieve its objectives. However, when that bill was passed by the Parliament, it included [251 amendments](#) which were not considered by this committee.

- (a) the type of data, the sharing of which these prescribed entities may facilitate as ADSPs, and whether this could include personal information that may be identifiable; and
- (b) whether the prescription of these six entities may have particular implications with respect to the right to privacy as it applies to children (including, whether this measure may have the effect of facilitating the sharing of particular information that relates to children, or whether it may facilitate data-sharing agreements that may have a particular impact on children).

2.139 The committee also wrote to the minister asking departmental officials to provide a briefing to the committee secretariat about how the scheme as a whole operates, whether the amendments to the bill establishing the scheme have addressed the committee's previous concerns, and the interaction of this legislative instrument with the scheme as a whole.

2.10 The full initial analysis is set out in [Report 6 of 2022](#).

### Minister's response<sup>14</sup>

2.140 The minister advised:

**(a) the type of data, the sharing of which these prescribed entities may facilitate as ADSPs, and whether this could include personal information that may be identifiable.**

The *Data Availability and Transparency Act 2022* (the Act) establishes a scheme authorising Commonwealth bodies to share public sector data with accredited users in a controlled way. The data may be shared directly with an accredited user, or through an accredited data service provider (ADSP) as an intermediary. The scheme is underpinned by strong safeguards, which include:

- That sharing, collection and use of data must be authorised and the privacy protections in the Act must be complied with by all scheme participants, including minimising the sharing of personal information. Penalties apply where actions are not authorised and participants do not comply with the privacy protections;
- Requirements for the accreditation of scheme participants who are able to request access to data or be an ADSP, including that these entities have the necessary skills and capability to ensure privacy and protection of data; and
- Establishment of the National Data Commissioner as a regulator of the scheme along with enforcement mechanisms available to them.

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14 The minister's response to the committee's inquiries was received on 19 December 2022. This is an extract of the response. The response is available in full on the committee's [website](#).

The Act defines public sector data to mean data that has been lawfully collected, created or held by or on behalf of a Commonwealth body. This also includes ADSP-enhanced data, which is the copy of the shared public sector data collected by the ADSP and any data that results from the ADSP's use of the public sector data shared with them.

Public sector data is defined broadly and captures data that contains 'personal information' and 'sensitive information', as defined by the *Privacy Act 1988* (Cth) (the Privacy Act), as well as data that does not contain personal information.

For example, public sector data could include data generated within a Commonwealth body in the course of developing policies, administering programs and making decisions, as well as data obtained from outside that body, including from other Commonwealth, State and Territory government bodies or other legal persons - such as third party individuals or companies. This means the public sector data shared through an ADSP could include personal information that may be identifiable.

However, the Act prescribes additional requirements that must be met where a Commonwealth body is proposing to share any data that includes personal information within the meaning of the Privacy Act. These requirements, including the privacy protections set out in Part 2.4 of the Act and those in a data code to be made by the National Data Commissioner, must be met before the sharing will be authorised. For example, the Act prohibits the sharing of biometric data under the scheme unless the individual to whom the biometric data relates expressly consents to the sharing.

The *Data Availability and Transparency Regulations 2022* (the Regulations) also prescribes certain secrecy or non-disclosure provisions to ensure highly sensitive data containing personal information is prohibited from being shared under the scheme. For example, data sharing is barred where it is prohibited by the *National Redress Scheme for Institutional Child Sexual Abuse Act 2018*, the *Child Support (Assessment) Act 1989* and *Child Support (Registration and Collection) Act 1988* and the *Witness Protection Act 1994*. Health information data that is held within the My Health Record system, or the health records of current or former immigration detainees, is also barred from being shared under the scheme.

**(b) whether the prescription of these six entities may have particular implications with respect to the right to privacy as it applies to children (including, whether this measure may have the effect of facilitating the sharing of particular information that relates to children, or whether it may facilitate data-sharing agreements that may have a particular impact on children).**

The Act establishes entities known as ADSPs, who are expert intermediaries in the data sharing process and who provide specialised data services (such as complex integration, secure access, and de-identification) to support sharing by data custodians with accredited users.

The six entities prescribed by the Rules have the same obligations under the Act during the transitional period as though they were an entity accredited by the National Data Commissioner as an ADSP.

As well as the general privacy protections in the Act<sup>15</sup> that protect the personal information of individuals, including children, the Act also has purpose-specific privacy protections for the sharing of personal information that depend on the data sharing purpose of the project.

The involvement of an ADSP as an expert intermediary in a data sharing project could be a privacy enhancing measure.

If data is to be shared for the purpose of informing government policy and programs, or research and development, doing so may require sharing of data to involve an ADSP. For example, where a data custodian uses an ADSP to prepare data for sharing with the accredited user so the data does not include any personal information (performing a de-identification data service), or where sharing is ADSP-controlled access to data. ADSP-controlled access involves access to data within the controlled settings of the ADSP which enhances the privacy of individuals, including children, where their personal information is to be shared.

Requiring ADSP-controlled access means that, rather than a data custodian sharing data with an accredited user so the accredited user stores the shared data in its systems, the data is stored on the ADSP systems and particular designated individuals with appropriate experience, qualifications or training are provided with access to the ADSP systems to use the shared data. The ADSP is able to put a number of controls in place in this environment to significantly reduce the risks associated with sharing personal information.

The Act also requires that any sharing of data is consistent with the data sharing principles in the Act before sharing takes place:

- The project can reasonably be expected to serve the public interest, and appropriate ethics processes will be observed (project principle);
  - Data is only made available to appropriate persons, both at the accredited entity level and individual level (people principle);
  - Data is only shared, collected, and used in an appropriately controlled environment (setting principle);
  - Appropriate protections are applied to shared data (data principle);
- and

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15 The general privacy protections are minimising the sharing of personal information, prohibition of the reidentification of the data that has been de-identified, prohibitions on the storage or access of personal information outside Australia, and a requirement that express consent is always required to share biometric data (see section 16A of the *Data Availability and Transparency Act 2022*).



- The only output of a project is the final output (as agreed by the parties involved in the project) and such output reasonably necessary or incidental to the creation of the final output. The final output must only contain the data reasonably necessary to achieve the applicable data sharing purpose or purposes (output principle).

Before any sharing of personal information, including that of children, can occur, data custodians, accredited users and ADSPs must all be satisfied they have applied each of the five data sharing principles to the project in such a way that, viewed as a whole, the risks associated with the sharing, collection and use of data as part of the data sharing project are appropriately mitigated.

The data sharing agreement covering the project must then specify, among other things, how the project will be consistent with the data sharing principles and how the parties to the agreement will give effect to the principles. For example, imposing controls on what designated individuals of the accredited user may use data containing personal information of individuals, including children, for. The data sharing agreement must also specify the circumstances where the ADSP is to share ADSP-enhanced data containing personal information with the accredited user, and prohibit the ADSP from providing access to, or releasing, ADSP-enhanced data containing personal information in any other circumstances.

## **Concluding comments**

### ***International human rights legal advice***

#### ***Right to privacy***

2.141 As previously noted, the extent to which this measure is likely to constitute a proportionate limit on the right to privacy depends on whether the data-sharing scheme itself constitutes a proportionate limit on the right to privacy. In addition to the minister's response above, the National Data Commissioner and departmental officials provided the secretariat with a briefing about how the scheme operates, whether the amendments to the bill establishing the scheme addressed the committee's previous concerns, and the interaction of this legislative instrument with the scheme.

2.142 In considering the bill that gave effect to this scheme, the committee queried sufficiency of the safeguards in the bill.<sup>16</sup> The bill was amended considerably prior to its passage, and many of the amendments made directly addressed the committee's

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16 See, *Report 2 of 2021*, pp. (24 February 2021), pp. 5-18 and *Report 4 of 2021* (31 March 2021), pp. 26-46.

earlier concerns.<sup>17</sup> Several other amendments also assist in the proportionality of its limitation on the right to privacy. For example, the now *Data Availability and Transparency Act 2022* (the Act) includes several explicit privacy protections regulating the disclosure and use of personal information,<sup>18</sup> and the minister is now required to cause periodic review of the operation of the Act to be undertaken.<sup>19</sup> Further, it is noted that aspects of the Data Availability and Transparency Code 2022, registered on 21 December 2022, also directly address privacy concerns raised previously by the committee.<sup>20</sup>

2.143 These amendments to the Act assist with the proportionality of the scheme as a whole, and accordingly with the proportionality of this instrument. Overall, these privacy protections significantly reduce the risk that prescribing these six entities<sup>21</sup> as transitional entities, and therefore as ADSPs, arbitrarily interferes with the right to privacy. It is noted that no entities are yet accredited as users under the Act and, accordingly, no data has yet been shared under the scheme.<sup>22</sup> This raises the question as to why it is necessary to prescribe these six entities as transitional ADSPs up to 30 June 2025, and not a shorter period subject to a requirement that they seek accreditation. Overall, given the intended breadth of the data sharing scheme, and the myriad types of information that may be shared pursuant to the scheme, its proportionality with respect to the right to privacy will depend considerably on the manner in which it is applied, and the strength of its safeguards in practice.

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17 In particular: the definition of 'delivery of government services' in section 15 now clarifies the breadth of this provision including as it relates to services that relate to the provision of a benefit, payment or entitlement; a complaint mechanism for members of the public has been established in section 94; and the functions the National Data Commissioner in section 42 no longer require the office-holder to 'advocate for the acceptance of the benefits of sharing and releasing public sector data', thereby addressing an earlier concern regarding the capacity for the Commissioner to provide genuine independent regulatory oversight of the scheme.

18 Part 2.4.

19 Section 142.

20 In particular, the Data Availability and Transparency Code [F2022L01719] provides further detail as to the meaning of 'public interest' for the purposes of the data sharing principles in section 16 of the Act, and 'unreasonable or impracticable to obtain consent' for the purposes of section 16B.

21 Australian Bureau of Statistics, Australian Institute of Family Studies, Australian Institute of Health and Welfare, Commonwealth Social Services Department (DSS), Queensland Treasury, and Victorian Department of Health, see section 7.

22 Data Availability and Transparency Code [F2022L01719], explanatory memorandum, p. 1. In this regard, the minister has stated that data shared under the scheme may relate to children, hence the reference to the rights of children to privacy in the statement of compatibility.

## **Committee view**

2.144 The committee thanks the minister for this response. The committee thanks the National Data Commissioner and departmental officials for their assistance in providing the committee's secretariat with a useful briefing about the operation of the Data Availability and Transparency Scheme.

2.145 The committee notes that 251 amendments were made to the legislation giving effect to this scheme - the Data Availability and Transparency Bill 2021 - before it passed into law. The committee is pleased to note that many of those amendments were made in response to the committee's recommendations and considers that this assists with the proportionality of the scheme with respect to the right to privacy, and therefore with the proportionality of this legislative instrument made for the purposes of the scheme. Overall, these privacy protections significantly reduce the risk that this instrument, in prescribing six entities as transitional entities, and therefore as ADSPs, arbitrarily interferes with the right to privacy.

2.146 However, the committee considers that, noting the wide breadth of the scheme, and the fact that no data has yet been shared under the scheme, much will depend on how the scheme is applied, and the strength of its safeguards, in practice. The committee notes in particular the new requirement in the Act for the minister to cause periodic reviews of the implementation of the scheme. The committee considers that this will be of great value in ensuring important oversight of the scheme and looks forward to the results of these reviews.

2.147 The committee draws its comments to the attention of the minister and the Parliament.

**Mr Josh Burns MP**

**Chair**