## **Appendix 1**

## **Submissions**

- 1. Financial Services Institute of Australia
- 2. Law Council of Australia

## Additional information received by the committee

- 1. Australian Securities and Investments Commission, *Opening statement*, 28 March 2014.
- 2. Mr Sean Hughes, *Opening statement*, 5 September 2014.
- 3. Mr Sean Hughes, New Zealand Financial Management Authority Enforcement Policy, tabled 5 September 2014.
- 4. Mr Sean Hughes, New Zealand Financial Management Authority Compliance Focus for 2013, tabled 5 September 2014.
- 5. Australian Securities and Investments Commission, *Opening statement*, 5 September 2014.

## **Answers to questions on notice**

- 1. Answers to questions on notice asked at a public hearing on 28 March 2014, received from the Takeovers Panel on 2 April 2014.
- 2. Answer to written question on notice, received from Treasury on 30 April 2014.
- 3. Answer to question on notice asked at a public hearing on 28 March 2014, received from the Takeovers Panel on 17 April 2014.
- 4. Answers to questions on notice asked at a public hearing on 28 March 2014, received from Australian Securities and Investments Commission on 9 May 2014.
- 5. Answers to questions on notice asked at a public hearing on 5 September 2014, received from Mr Sean Hughes on 12 September 2014.
- 6. Answers to questions on notice asked at a public hearing on 5 September 2014, received from Australian Securities and Investments Commission on 3 October 2014.
- 7. Answers to questions on notice asked at a public hearing on 5 September 2014, received from Australian Securities and Investments Commission on 17 November 2014.