

HOUSE OF REPRESENTATIVES STANDING COMMITTEE ON ECONOMICS

**REVIEW OF THE FOUR MAJOR BANKS AND OTHER FINANCIAL INSTITUTIONS
SUPERANNUATION SECTOR**

StatePlus

SP3QW: Details of all ASIC fines and infringement notices incurred over the past three years.

Answer: To the best of our knowledge, and based on a thorough review of our records, StatePlus has not received any ASIC fines or infringement notices in the past three years.

StatePlus have reported a number of potential and actual breaches; however, these have not resulted in any ASIC fines or infringement notices.