

**HOUSE OF REPRESENTATIVES STANDING COMMITTEE ON ECONOMICS
REVIEW OF THE FOUR MAJOR BANKS AND OTHER FINANCIAL INSTITUTIONS
SUPERANNUATION SECTOR**

BT - Westpac

BT9QW: Details of each individual asset currently held at a value other than available listed market prices (an unlisted asset) partly owned by the fund or funds under your control, including:

- a. The asset name and location.
- b. The frequency of the revaluation of the asset.
- c. The most recent valuation.
- d. A copy of that valuation.
- e. The methodology used for its valuation.
- f. Whether the valuation was completed internally or externally.
- g. The details of any external party involved in the valuation

Answer: Below are details of the unlisted investments as detailed in BT5QW.

Assumptions

- Unlisted investments as at 30 June 2019 per the financial statements.

BT9QW - Retirement Wrap									
a. The asset name	a. Location	b. The frequency of the revaluation of the asset.	c. The most recent valuation	d. A copy of that valuation.	e. The methodology used for its valuation.	f. Whether the valuation was completed internally or externally	g. The details of any external party involved in the valuation.	\$,000 As at 30/6/19	APIR Code
Suspended managed investment schemes									
Astarra Alpha Strategic Fund Class B Wholesale Pool	Australia	Infrequent	2009	NA refer to e.	When no price is available from Responsible Entity, liquidator or receiver of Fund, a price of 1 cent is applied to the Fund by Westpac	Internal	NA	12	TOL0055AU
Aurora Fortitude Absolute Return Fund	Australia	Monthly	30/06/2019	NA refer to e.	Latest valuation provided by the Responsible Entity of the managed investment scheme	External	NA	129	AFM0005AU
Basis Pac-Rim Sub Trust	Australia	Infrequent	2012	NA refer to e.	When no price is available from Responsible Entity, liquidator or receiver of Fund, a price of 1 cent is applied to the Fund by Westpac	Internal	NA	0	BCF0100AB
Basis Yield Alpha Sub Trust 2	Australia	Infrequent	2012	NA refer to e.	When no price is available from Responsible Entity, liquidator or receiver of Fund, a price of 1 cent is applied to the Fund by Westpac	Internal	NA	0	BCF0001BB
GAM Absolute Return Bond Fund AU	Australia	Daily	27/06/2019	NA refer to e.	Latest valuation provided by the Responsible Entity of the managed investment scheme	External	NA	413	AFM0002AU
LM Wholesale First Mortgage Income Fund Flexi Account	Australia	Infrequent	30/06/2017	NA refer to e.	Latest valuation provided by the court appointed receiver of the fund	External	NA	1,328	LMI0007AU
LM Mortgage Income Fund 12 Month Fixed	Australia	Infrequent	30/06/2017	NA refer to e.	As above	External	NA	875	LMI0008AU
Multiplex Development and Opportunity Fund	Australia	6 Monthly	30/06/2019	NA refer to e.	Latest valuation provided by the Responsible Entity of the managed investment scheme	External	NA	106	MTP0001AU
van Eyk Blueprint Balanced Fund	Australia	Infrequent	31/12/2018	NA refer to e.	As above	External	NA	330	MAQ0290AU
van Eyk Blueprint Capital Stable Fund	Australia	Infrequent	31/12/2018	NA refer to e.	As above	External	NA	58	MAQ0291AU
van Eyk Blueprint High Growth Fund	Australia	Infrequent	31/12/2018	NA refer to e.	As above	External	NA	52	MAQ0292AU
Closed ended funds / alternative assets									
No redemption windows									
AMP Capital Wholesale Office Fund	Australia	Monthly	30/06/2019	NA refer to e.	Latest valuation provided by the Manager	External	NA	102,850	N/A
ANZ Energy Infrastructure	Australia	Monthly	30/06/2019	NA refer to e.	As above	External	NA	49,981	N/A
Australian Prime Property Fund Commercial	Australia	Monthly	30/06/2019	NA refer to e.	As above	External	NA	36,902	N/A
Australian Prime Property Fund Retail	Australia	Monthly	30/06/2019	NA refer to e.	As above	External	NA	41,391	N/A
Franklin Asian Real Estate	USA	Quarterly	30/06/2019	NA refer to e.	As above	External	NA	2,868	N/A
Macquarie Global Infrastructure III A	Australia	Monthly	31/05/2019	NA refer to e.	As above	External	NA	13,154	N/A
Newbury Equity Partners II	USA	Quarterly	31/03/2019	NA refer to e.	As above	External	NA	9,724	N/A
Russel Global Property Fund I	USA	Quarterly (180 days post quarter end)	31/12/2018	NA refer to e.	As above	External	NA	8,117	N/A
Siguler Guff Distressed Opportunities Fund III	USA	Quarterly	31/03/2019	NA refer to e.	As above	External	NA	7,934	N/A
Siguler Guff Distressed Opportunities Fund IV	USA	Quarterly	31/03/2019	NA refer to e.	As above	External	NA	25,822	N/A
Offer redemption windows in the last 12 months									
LHP Institutional Diversified Investments Fund	Australia	Monthly	31/05/2019	NA refer to e.	As above	External	NA	52,347	N/A
OZ Overseas Fund II	USA	Monthly	31/05/2019	NA refer to e.	As above	External	NA	76,264	N/A
								430,659	

BT9QW - Asgard Independence Plan - Division 2									
a. The asset name	a. Location	b. The frequency of the revaluation of the asset.	c. The most recent valuation	d. A copy of that valuation.	e. The methodology used for its valuation.	f. Whether the valuation was completed internally or externally	g. The details of any external party involved in the valuation.	\$,000 As at 30/6/19	APIR Code
Suspended managed investment schemes									
Astarra Alpha Strategic Fund Class B Wholesale Pool	Australia	Infrequent	2009	NA refer to e.	When no price is available from Responsible Entity, liquidator or receiver of Fund, a price of 1 cent is applied to the Fund by Westpac	Internal	NA	1	TOL0055AU
Aurora Fortitude Absolute Return Fund	Australia	Monthly	30/06/2019	NA refer to e.	Latest valuation provided by the Responsible Entity of the managed investment scheme	External	NA	374	AFM005AU
LM Wholesale First Mortgage Income Fund Flexi Account	Australia	Infrequent	30/06/2017	NA refer to e.	Latest valuation provided by the court appointed receiver of the fund	External	NA	1,871	LMI007AU
van Eyk Blueprint Balanced Fund	Australia	Infrequent	31/12/2018	NA refer to e.	Latest valuation provided by the Responsible Entity of the managed investment scheme	External	NA	33	MAQ0290AU
van Eyk Blueprint Capital Stable Fund	Australia	Infrequent	31/12/2018	NA refer to e.	As above	External	NA	3	MAQ0291AU
van Eyk Blueprint High Growth Fund	Australia	Infrequent	31/12/2018	NA refer to e.	As above	External	NA	14	MAQ0292AU
								2,296	