

2.3 **QW:** The Committee requests information on the bank's internal processes for disciplining senior executives who are involved in malfeasance.

**Answer**

NAB's process for disciplining staff is NAB's Code of Conduct and Employee Conduct Management policy, which applies to all employees at NAB including senior executives.

A copy of the Code of Conduct and the Employee Conduct Management Policy is provided with this response. In summary:

- NAB Code of Conduct – Details the standards of responsibility and ethical conduct required of every employee and everyone working on NAB's behalf including contractors, consultants and directors. (**Annexure E**).
- NAB Employee Conduct Management Policy – Details how to manage a suspected breach of the Code of Conduct and the associated disciplinary outcomes where the Code has been breached. (**Annexure F**). NAB requests the Committee keep this Policy confidential.