



PARLIAMENTARY JOINT COMMITTEE ON CORPORATIONS AND FINANCIAL SERVICES

PUBLIC HEARING: ROUNDTABLE PROGRAM

Gatekeepers and 'expectation gaps' in Australia's financial system

Date: 21 June 2013
Start: 10.00 am
Venue: Macquarie Room, New South Wales State Library, SYDNEY

10.00 am – 12.30 pm **Research Houses**

Lonsec

Mr Richard Everingham, General Manager—Strategy and Development

van Eyk

Mr Mark Thomas, Chief Executive Officer, Director

Custodians

Australian Custodial Services Association (ACSA)

Mr Pierre Jond, Managing Director, BNP Paribas Securities Services, and Chairman of ACSA

Mr Paul Khoury, Senior Vice President and Chief Operating Officer, State Street Australia Limited, and Deputy Chairman of ACSA

Auditors

Ernst & Young

Mr Tony Smith, Partner

Mr Graeme McKenzie, Partner

CPA Australia

Mr Amir Ghandar, Policy Adviser—Audit & Assurance

Trustees

Australian Institute of Superannuation Trustees

Ms Karen Volpato, Senior Policy Adviser

Financial Services Council

Mr Martin Codina, Director of Policy & International Markets

Ms Eve Brown, Senior Policy Manager—Trustees

Chair: Ms O'Neill MP

Deputy Chair: Senator Boyce

Members: Senator K.Carr, Senator Cormann, Mr Fletcher MP, the Hon Mr Griffin MP, the Hon Mr Smith MP, Ms Smyth MP, Senator Urquhart

Financial Planners and Financial Advisers

Dixon Advisory

Ms Nerida Cole, Managing Director, Financial Advisory

Macquarie Group

Mr Tony Graham, Executive Director, Macquarie Group Limited, and
Head of Macquarie Adviser Services

Responsible Entities

BT Financial Group

Mr Royce Brennan, General Manager, Risk

One Investment Group

Mr Justin Epstein, Executive Director, One Investment Group

12.30 pm – 1.30 pm *Lunch*

Australian Securities and Investments Commission

Mr Peter Kell, Deputy Chairman

Mr John Price, Commissioner

Mr Greg Tanzer, Commissioner

1.30 pm – 3.00 pm

Ms Cathie Armour, Commissioner

Mr Chris Savundra, Senior Executive Leader

Ms Bronwyn Hill, Senior Manager

Ms Calissa Aldridge, Senior Manager

Chair: Ms O'Neill MP

Deputy Chair: Senator Boyce

Members: Senator K.Carr, Senator Cormann, Mr Fletcher MP, the Hon Mr Griffin MP, the Hon Mr Smith MP, Ms Smyth MP,
Senator Urquhart